

ISO 20022

General Meeting - Maintenance 2023 - 2024

Message Definition Report - Part 2

Approved by the Securities SEG on 31 January 2024

This document provides details of the Message Definitions for General Meeting - Maintenance 2023 - 2024.

March 2024

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1 Message Set Overview

Introduction

This document describes the General Meeting message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2023-2024 (See MCR #1344) and approved by the Securities Standards Evaluation Group on 31 January 2024.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.001.001.11 MeetingNotificationV11	<p>The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.</p>
seev.002.001.09 MeetingCancellationV09	<p>The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the</p>

MessageDefinition	Definition
	original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.
seev.003.001.09 MeetingEntitlementNotificationV09	The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.
seev.004.001.09 MeetingInstructionV09	The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.
seev.005.001.09 MeetingInstructionCancellationRequestV09	The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.
seev.006.001.10 MeetingInstructionStatusV10	The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message. The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.
seev.007.001.10 MeetingVoteExecutionConfirmationV10	The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.
seev.008.001.09 MeetingResultDisseminationV09	The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	11
	From <Fr>	[1..1]			11
{Or	OrganisationIdentification <OrgId>	[1..1]	±		11
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12
	To <To>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		13
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		13
	BusinessService <BizSvc>	[0..1]	Text		13
	MarketPractice <MktPrctc>	[0..1]			13
	Registry <Regy>	[1..1]	Text		14
	Identification <Id>	[1..1]	Text		14
	CreationDate <CreDt>	[1..1]	DateTime		14
	BusinessProcessingDate <BizPrccDt>	[0..1]	DateTime		14
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		14
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		15
	Priority <Prty>	[0..1]	CodeSet	C7	15
	Signature <Sgntr>	[0..1]	(External Schema)		16
	Related <Rltd>	[0..*]			16
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	17
	From <Fr>	[1..1]			17
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17
	To <To>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		19
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		19

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		19
	CreationDate <CreDt>	[1..1]	DateTime		19
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		19
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		20
	Priority <Prty>	[0..1]	CodeSet	C7	20
	Signature <Sgntr>	[0..1]	(External Schema)		21

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 383

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		11
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		293
	PostalAddress <PstlAdr>	[0..1]	±		293
	Identification <Id>	[0..1]	±		294
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	294
	ContactDetails <CtctDtls>	[0..1]	±		295

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		254
	BranchIdentification <BrnchId>	[0..1]	±		255

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		12

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		293
	PostalAddress <PstlAdr>	[0..1]	±		293
	Identification <Id>	[0..1]	±		294
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	294
	ContactDetails <CtctDtls>	[0..1]	±		295

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		254
	BranchIdentification <BrnchId>	[0..1]	±		255

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 391

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 391

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 391

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		14
	Identification <Id>	[1..1]	Text		14

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 391

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 391

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 386

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 386

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 367

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 388](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 366](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	17
	From <Fr>	[1..1]			17
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17
	To <To>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		19
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		19
	BusinessService <BizSvc>	[0..1]	Text		19
	CreationDate <CreDt>	[1..1]	DateTime		19
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		19
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		20
	Priority <Prty>	[0..1]	CodeSet	C7	20
	Signature <Sgntr>	[0..1]	(External Schema)		21

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 383

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		293
	PostalAddress <PstlAdr>	[0..1]	±		293
	Identification <Id>	[0..1]	±		294
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	294
	ContactDetails <CtctDtls>	[0..1]	±		295

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		254
	BranchIdentification <BrnchId>	[0..1]	±		255

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		18

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		293
	PostalAddress <PstlAdr>	[0..1]	±		293
	Identification <Id>	[0..1]	±		294
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	294
	ContactDetails <CtctDtls>	[0..1]	±		295

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		254
	BranchIdentification <BrnchId>	[0..1]	±		255

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 391

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 391

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 391

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 386

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 367

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 366

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.001.001.11 MeetingNotificationV11**

3.1 **MessageDefinition Functionality**

Scope

The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.

Usage

The MeetingNotification message is used to announce a meeting, for example, it provides information on the participation details and requirements for the meeting, the vote parameters and the resolutions. The MeetingNotification message may also be used to announce an update.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingNotificationV11 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type and status.

C. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

D. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

E. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

F. MeetingDetails

Dates and details of the shareholders meeting.

G. Issuer

Institution that is the issuer of the security to which the meeting applies.

H. IssuerAgent

Agent of the issuer.

I. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

J. Resolution

Detailed information of a resolution proposed to the vote.

K. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

L. PowerOfAttorneyRequirements

Information about the use of power of attorney.

M. AdditionalInformation

Additional narrative information about the meeting event.

N. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgNtfctn>	[1..1]		C6, C13, C19, C20, C23, C24	
	Pagination <Pgntn>	[0..1]	±		31
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]			31
	NotificationIdentification <NtfctnId>	[0..1]	Text		32
	NotificationType <NtfctnTp>	[1..1]	CodeSet		32
	NotificationStatus <NtfctnSts>	[1..1]			32
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		33
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		33
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		33
	ConfirmationOfHoldingRequired <ConfOfHldgReqrd>	[0..1]	Indicator		33
	NotificationUpdate <NtfctnUpd>	[0..1]			33
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		34
	ReconfirmInstructions <RcnfrmInstrs>	[0..1]	Indicator		34
	EventsLinkage <EvtsLkg>	[0..*]			34
	EventIdentification <EvtId>	[1..1]			34
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		35
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		35
	LinkageType <LkgTp>	[0..1]	CodeSet		35
	Meeting <Mtg>	[1..1]			35
	MeetingIdentification <MtgId>	[1..1]	Text		37
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		37
	Type <Tp>	[1..1]	CodeSet		37
	Classification <Clssfctn>	[0..1]	±		38
	AnnouncementDate <AnncmntDt>	[0..1]	±		38
	OneManOneVoteIndicator <OneManOneVoteInd>	[0..1]	Indicator		38
	Participation <Prtcptn>	[0..*]			39
	ParticipationMethod <PrtcptnMtd>	[1..1]	±		39
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]	±		39

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupportedByAccountServicer <SpprtdByAcctSvcr>	[0..1]	Indicator		39
	ResponseDeadlineForVoting <RspnDdlnForVtng>	[0..1]	±		40
	Attendance <Attdnc>	[0..1]			40
	AdmissionConditions <AdmssnConds>	[0..7]	±		40
	ConfirmationInformation <ConfInf>	[0..1]	Text		40
	ConfirmationDeadline <ConfDdln>	[0..1]	±		40
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		41
	AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>	[0..*]	Text		41
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		41
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			41
	AdditionalRight <AddtlRght>	[1..1]	±		42
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		42
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		42
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		42
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]	±		43
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>	[0..1]	±		43
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		43
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		43
	ProxyChoice <PrxyChc>	[0..1]			44
{Or	Proxy <Prxy>	[1..1]	±		44
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		44
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			45
	ContactPerson <CtctPrsn>	[0..1]	±		45
	EmployingParty <EmplngPty>	[0..1]	±		45
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		46
	ResultPublicationDate <RsltPblctnDt>	[0..1]	±		46
	SecuritiesBlockingPeriodEndDate <SciesBlckgPrdEndDt>	[0..1]	±		46
	EntitlementFixingDate <EnttlmntFxdDt>	[0..1]	±		46
	RegistrationSecuritiesDeadline <RegnSciesDdln>	[0..1]	±		47
	RegistrationSecuritiesMarketDeadline <RegnSciesMktDdln>	[0..1]	±		47

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingDetails <MtgDtls>	[1..5]		C9	47
	DateAndTime <DtAndTm>	[1..1]	±		48
	DateStatus <DtSts>	[0..1]	CodeSet		48
	QuorumRequired <QrmReqrd>	[0..1]	Indicator		48
	Location <Lctn>	[1..5]	±		49
	QuorumQuantity <QrmQty>	[0..1]	±		49
	URLAddress <URLAdr>	[0..1]	Text		49
	Issuer <Issr>	[1..1]	±		49
	IssuerAgent <IssrAgt>	[0..10]	±		50
	Security <Scty>	[1..200]			50
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	51
	Position <Pos>	[0..1000]		C1, C2, C3, C14, C21	52
	AccountIdentification <AcctId>	[0..1]	Text		53
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		53
	AccountOwner <AcctOwnr>	[0..1]			53
{Or	LegalPerson <LglPrsn>	[1..1]	±		53
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		54
	HoldingBalance <HldgBal>	[0..15]			54
	Balance <Bal>	[1..1]	±		55
	BalanceType <BalTp>	[1..1]	CodeSet		55
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		56
	Date <Dt>	[0..1]	Date		57
	RightsHolder <RghtsHldr>	[0..250]			57
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		58
	Resolution <Rsln>	[0..1000]		C25	59
	IssuerLabel <IssrLabl>	[1..1]	Text		59
	Description <Desc>	[0..*]			60
	Language <Lang>	[1..1]	CodeSet		60

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Title <Titl>	[0..1]	Text		60
	Description <Desc>	[0..*]	Text		60
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		60
	Type <Tp>	[0..1]	CodeSet		60
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		61
	VoteType <VoteTp>	[0..1]	CodeSet		61
	Status <Sts>	[1..1]	CodeSet		61
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		61
	RightToWithdrawIndicator <RghtToWdrwInd>	[0..1]	Indicator		62
	VoteInstructionType <VoteInstrTp>	[0..*]	±	C5	62
	ManagementRecommendation <MgmtRcmmdtn>	[0..1]	CodeSet		62
	NotifyingPartyRecommendation <NtifngPtyRcmmdtn>	[0..1]	CodeSet		63
	Entitlement <Entitlmnt>	[0..1]	±		64
	VotingRightsThresholdForApproval <VtngRghtsThrshldForAprvl>	[0..*]	±		64
	URLAddress <URLAdr>	[0..1]	Text		64
	Vote <Vote>	[0..1]			64
	SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>	[0..1]	±		65
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		66
	SplitVoteAllowed <SplVoteAllwd>	[1..1]	Indicator		66
	VoteDeadline <VoteDdlIn>	[0..1]	±		66
	VoteMarketDeadline <VoteMktDdlIn>	[0..1]	±		66
	VoteMethods <VoteMthds>	[0..1]			67
	VoteThroughNetwork <VoteThrhNtwk>	[0..1]			67
{Or	VoteChannel <VoteChanl>	[1..1]	CodeSet		67
Or}	VoteDirectlyToIssuer <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	68
	VoteByMail <VoteByMail>	[0..1]			68
	Correspondence <Crspdc>	[0..5]	±		68
	EmailAddress <EmailAdr>	[0..5]	Text		69
	ElectronicVote <ElctrncVote>	[0..5]			69
	URLAddress <URLAdr>	[0..1]	Text		69
	VoteByTelephone <VoteByTel>	[0..5]	Text		69

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	VotingBallotElectronicAddress <VtngBlItElctrncAdr>	[0..1]	±		69
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		70
	RevocabilityDeadline <RvcbltyDdln>	[0..1]	±		70
	RevocabilityMarketDeadline <RvcbltyMktDdln>	[0..1]	±		70
	BeneficialOwnerDisclosure <BnfcIOwnrDsclsr>	[0..1]	Indicator		71
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]	±		71
	IncentivePremium <IncntivPrm>	[0..1]	±		71
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>	[0..1]	±		72
	VoteWithPremiumDeadline <VoteWthPrmDdln>	[0..1]	±		72
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>	[0..1]	±		72
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		73
	PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>	[0..1]	Indicator		73
	PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>	[0..1]		C17	73
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		74
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		74
	DocumentSubmissionDeadline <DocSubmissnDdln>	[0..1]	±		74
	AdditionalInformation <AddtlInf>	[0..1]			74
	Disclaimer <Dsclmr>	[0..*]			75
	Language <Lang>	[1..1]	CodeSet		75
	AdditionalInformation <AddtlInf>	[1..1]	Text		75
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]	Text		75
	SupplementaryData <SplmtryData>	[0..*]	±	C22	75

3.3 Constraints

C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalInformationRule

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

C6 AgentRole1Rule

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

This constraint is defined at the MessageDefinition level.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 DateStatus1Rule

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

C10 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 DescriptionUsageRule

Description must be used alone as the last resort.

C12 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C13 EntitlementDate1Guideline

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

This constraint is defined at the MessageDefinition level.

C14 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C15 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C17 OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 PreviousNotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present.

On Condition

/NotificationGeneralInformation/NotificationType is equal to value 'Replacement'

Following Must be True

/NotificationUpdate/PreviousNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C20 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

This constraint is defined at the MessageDefinition level.

C21 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C23 VoteAsPerIntermediary1Rule

If Vote/VoteMethod/VoteThroughNetwork/VoteChannel code value is VOPI (VoteAsPerIntermediaryInformation), then at least one occurrence of AdditionalInformation/ProcessingTextForNextIntermediary must be present.

On Condition

/Vote/VoteMethods/VoteThroughNetwork/VoteChannel is equal to value 'VoteAsPerIntermediaryInformation'

Following Must be True

/AdditionalInformation/ProcessingTextForNextIntermediary[*] Must be present

This constraint is defined at the MessageDefinition level.

C24 VoteInstructionType1Guideline

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

This constraint is defined at the MessageDefinition level.

C25 VotingRightsTresholdForApproval1Rule

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		288
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		289

3.4.2 NotificationGeneralInformation <NtfctnGnllnf>

Presence: [1..1]

Definition: General information about the event notification type and status.

NotificationGeneralInformation <NtfctnGnlInf> contains the following **NotificationGeneralInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationIdentification <NtfctnId>	[0..1]	Text		32
	NotificationType <NtfctnTp>	[1..1]	CodeSet		32
	NotificationStatus <NtfctnSts>	[1..1]			32
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		33
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		33
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		33
	ConfirmationOfHoldingRequired <ConfOfHldgReqrd>	[0..1]	Indicator		33

3.4.2.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Unique identification of the group of meeting notification messages when the notification is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 391

3.4.2.2 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType3Code" on page 373

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.3 NotificationStatus <NtfctnSts>

Presence: [1..1]

Definition: Status of the details of the event.

NotificationStatus <NtfctnSts> contains the following **EventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		33
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		33

3.4.2.3.1 EventCompletenessStatus <EvtCmpltnsSts>*Presence:* [1..1]*Definition:* Specifies whether the details provided about an event are complete or incomplete.*Datatype:* "EventCompletenessStatus1Code" on page 369

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

3.4.2.3.2 EventConfirmationStatus <EvtConfSts>*Presence:* [1..1]*Definition:* Specifies the status of the occurrence of an event.*Datatype:* "EventConfirmationStatus1Code" on page 369

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

3.4.2.4 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>*Presence:* [0..1]*Definition:* Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.2.5 ConfirmationOfHoldingRequired <ConfOfHldgReqrd>*Presence:* [0..1]*Definition:* Indicates whether a specific confirmation of holding together with a participation and/or vote instruction is required by the issuer (for instance as required in the German Stock Corporation Act paragraph 67c section 3).*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.3 NotificationUpdate <NtfctnUpd>*Presence:* [0..1]*Definition:* Information specific to notification amendment as for instance the identification to a previous meeting notification.

NotificationUpdate <NtfctnUpd> contains the following **NotificationUpdate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		34
	ReconfirmInstructions <RcnfrmlInstrs>	[0..1]	Indicator		34

3.4.3.1 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [1..1]

Definition: Identification of a previously sent meeting notification message.

Datatype: "Max35Text" on page 391

3.4.3.2 ReconfirmInstructions <RcnfrmlInstrs>

Presence: [0..1]

Definition: Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.4 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

EventsLinkage <EvtsLkg> contains the following **MeetingEventReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			34
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtglId>	[1..1]	Text		35
Or}	LinkedMeetingIdentification <LkdMtglId>	[1..1]	Text		35
	LinkageType <LkgTp>	[0..1]	CodeSet		35

3.4.4.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked meeting event.

EventIdentification <EvtId> contains one of the following **MeetingEventReference1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		35
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		35

3.4.4.1.1 LinkedIssuerMeetingIdentification <LkdIssrMtgId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

Datatype: "Max35Text" on page 391

3.4.4.1.2 LinkedMeetingIdentification <LkdMtgId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related meeting event.

Datatype: "Max35Text" on page 391

3.4.4.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when the event is to be processed relative to the linked event.

Datatype: "ProcessingPosition3Code" on page 376

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

3.4.5 Meeting <Mtg>

Presence: [1..1]

Definition: Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

Meeting <Mtg> contains the following **MeetingNotice8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtglId>	[1..1]	Text		37
	IssuerMeetingIdentification <IssrMtglId>	[0..1]	Text		37
	Type <Tp>	[1..1]	CodeSet		37
	Classification <Clssfctn>	[0..1]	±		38
	AnnouncementDate <AnncmntDt>	[0..1]	±		38
	OneManOneVoteIndicator <OneManOneVoteInd>	[0..1]	Indicator		38
	Participation <Prtcptn>	[0..*]			39
	ParticipationMethod <PrtcptnMtd>	[1..1]	±		39
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]	±		39
	SupportedByAccountServicer <SpptdByAcctSvcr>	[0..1]	Indicator		39
	ResponseDeadlineForVoting <RspnDdlnForVtng>	[0..1]	±		40
	Attendance <Attdnc>	[0..1]			40
	AdmissionConditions <AdmssnConds>	[0..7]	±		40
	ConfirmationInformation <ConfInf>	[0..1]	Text		40
	ConfirmationDeadline <ConfDdln>	[0..1]	±		40
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		41
	AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>	[0..*]	Text		41
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		41
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			41
	AdditionalRight <AddtlRght>	[1..1]	±		42
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		42
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		42
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		42
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]	±		43
	TotalNumberOfSecuritiesOutstanding <TtlNbOfScitiesOutsdng>	[0..1]	±		43
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		43
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		43
	ProxyChoice <PrxyChc>	[0..1]			44
{Or	Proxy <Prxy>	[1..1]	±		44

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		44
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			45
	ContactPerson <CtctPrsn>	[0..1]	±		45
	EmployingParty <EmplngPty>	[0..1]	±		45
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		46
	ResultPublicationDate <RsltPblctnDt>	[0..1]	±		46
	SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>	[0..1]	±		46
	EntitlementFixingDate <EntitlmntFxdDt>	[0..1]	±		46
	RegistrationSecuritiesDeadline <RegnSctiesDdln>	[0..1]	±		47
	RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>	[0..1]	±		47

3.4.5.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

Datatype: "Max35Text" on page 391

3.4.5.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

3.4.5.3 Type <Tp>

Presence: [1..1]

Definition: Type of security holders meeting.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and

CodeName	Name	Definition
		directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

3.4.5.4 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification of the meeting.

Classification <Clssfctn> contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

3.4.5.5 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Official meeting announcement date.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		247
Or}	DateTime <DtTm>	[1..1]	DateTime		247

3.4.5.6 OneManOneVoteIndicator <OneManOneVoteInd>

Presence: [0..1]

Definition: Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.7 Participation <Prtcptn>

Presence: [0..*]

Definition: Method of voting participation to the general meeting and related voting deadline per method of participation.

Participation <Prtcptn> contains the following **ParticipationMethod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcptnMtd>	[1..1]	±		39
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]	±		39
	SupportedByAccountServicer <SpprtdByAcctSvcr>	[0..1]	Indicator		39
	ResponseDeadlineForVoting <RspnDdlnForVtng>	[0..1]	±		40

3.4.5.7.1 ParticipationMethod <PrtcptnMtd>

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcptnMtd> contains one of the following elements (see "ParticipationMethod3Choice" on page 272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		272
Or}	Proprietary <Prtry>	[1..1]	±		273

3.4.5.7.2 IssuerDeadlineForVoting <IssrDdlnForVtng>

Presence: [1..1]

Definition: Deadline for voting on agenda resolutions at the general meeting.

IssuerDeadlineForVoting <IssrDdlnForVtng> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.7.3 SupportedByAccountServicer <SpprtdByAcctSvcr>

Presence: [0..1]

Definition: Indicates whether the specific participation method proposed by the issuer is supported by the account servicer.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.7.4 ResponseDeadlineForVoting <RspnDdlnForVtng>

Presence: [0..1]

Definition: Account servicer deadline for voting on agenda resolutions at the general meeting.

ResponseDeadlineForVoting <RspnDdlnForVtng> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.8 Attendance <AttnDnc>

Presence: [0..1]

Definition: Information and conditions for physical attendance at the general meeting.

Attendance <AttnDnc> contains the following **Attendance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdmissionConditions <AdmssnConds>	[0..7]	±		40
	ConfirmationInformation <Conflnf>	[0..1]	Text		40
	ConfirmationDeadline <ConfDdln>	[0..1]	±		40
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		41

3.4.5.8.1 AdmissionConditions <AdmssnConds>

Presence: [0..7]

Definition: Conditions for physical admittance to the general meeting.

AdmissionConditions <AdmssnConds> contains the following elements (see "AttendanceAdmissionConditions2" on page 282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		282
	AdditionalInformation <AddtlInf>	[0..1]	Text		283

3.4.5.8.2 ConfirmationInformation <Conflnf>

Presence: [0..1]

Definition: Specifies how to order the attendance card or to give notice of attendance.

Datatype: "Max350Text" on page 391

3.4.5.8.3 ConfirmationDeadline <ConfDdln>

Presence: [0..1]

Definition: Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

ConfirmationDeadline <ConfDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.8.4 ConfirmationMarketDeadline <ConfMktDdln>

Presence: [0..1]

Definition: Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

ConfirmationMarketDeadline <ConfMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.9 AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>

Presence: [0..*]

Definition: Address to use over the www (HTTP) service where additional information on the meeting may be found.

Datatype: "Max2048Text" on page 391

3.4.5.10 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "Max2048Text" on page 391

3.4.5.11 AdditionalProcedureDetails <AddtlPrcdrDtls>

Presence: [0..5]

Definition: Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

AdditionalProcedureDetails <AddtlPrcdrDtls> contains the following **AdditionalRights3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalRight <AddtlRght>	[1..1]	±		42
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		42
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		42
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		42
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]	±		43

3.4.5.11.1 AdditionalRight <AddtlRght>

Presence: [1..1]

Definition: Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

AdditionalRight <AddtlRght> contains one of the following elements (see ["AdditionalRightCode1Choice"](#) on page 261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		261
Or}	Proprietary <Prtry>	[1..1]	±		262

3.4.5.11.2 AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

Datatype: ["Max2048Text"](#) on page 391

3.4.5.11.3 AdditionalRightDeadline <AddtlRghtDdln>

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

AdditionalRightDeadline <AddtlRghtDdln> contains one of the following elements (see ["DateFormat58Choice"](#) on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.11.4 AdditionalRightMarketDeadline <AddtlRghtMktDdln>

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

AdditionalRightMarketDeadline <AddtlRghtMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.11.5 AdditionalRightThreshold <AddtlRghtThrshld>

Presence: [0..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

AdditionalRightThreshold <AddtlRghtThrshld> contains one of the following elements (see "AdditionalRightThreshold1Choice" on page 278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		278
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		278

3.4.5.12 TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as an amount and a currency.

TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

3.4.5.13 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 389

3.4.5.14 ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>

Presence: [0..1]

Definition: Address where the information on the proxy should be sent.

ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

3.4.5.15 ProxyChoice <PrxyChc>

Presence: [0..1]

Definition: Specifies the proxy or whether a proxy is not allowed.

ProxyChoice <PrxyChc> contains one of the following **Proxy5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Proxy <Prxy>	[1..1]	±		44
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		44

3.4.5.15.1 Proxy <Prxy>

Presence: [1..1]

Definition: Proxy assignment and registration information.

Proxy <Prxy> contains the following elements (see "ProxyAppointmentInformation6" on page 268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationMethod <RegnMtd>	[0..1]	Text		268
	Deadline <Ddln>	[0..1]	±		269
	MarketDeadline <MktDdln>	[0..1]	±		269
	AuthorisedProxy <AuthrsdPrxy>	[0..10]	±		269

3.4.5.15.2 ProxyNotAllowed <PrxyNotAllwd>

Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "ProxyNotAllowed1Code" on page 376

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

3.4.5.16 ContactPersonDetails <CtctPrsnDtls>

Presence: [0..12]

Definition: Contact person at the party organising the meeting, at the issuer or at an intermediary.

ContactPersonDetails <CtctPrsnDtls> contains the following **MeetingContactPerson3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[0..1]	±		45
	EmployingParty <EmplngPty>	[0..1]	±		45
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		46

3.4.5.16.1 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification1](#)" on page 324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		325
	NamePrefix <NmPrfx>	[0..1]	CodeSet		325
	GivenName <GvnNm>	[0..1]	Text		325
	Role <Role>	[0..1]	Text		325
	PhoneNumber <PhneNb>	[0..1]	Text		325
	FaxNumber <FaxNb>	[0..1]	Text		326
	EmailAddress <EmailAdr>	[0..1]	Text		326

3.4.5.16.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

3.4.5.16.3 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Datatype: "MICIdentifier" on page 388

3.4.5.17 ResultPublicationDate <RsItPblctnDt>

Presence: [0..1]

Definition: Date on which the company publishes the results of its meeting.

ResultPublicationDate <RsItPblctnDt> contains one of the following elements (see "DateFormat3Choice" on page 243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateCode <DtCd>	[1..1]	CodeSet		243

3.4.5.18 SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>

Presence: [0..1]

Definition: Date by which the blocking period for the securities should end.

SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt> contains one of the following elements (see "DateFormat60Choice" on page 244 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		244
Or}	DateCode <DtCd>	[1..1]			244
{Or	Code <Cd>	[1..1]	CodeSet		244
Or}	Proprietary <Prtry>	[1..1]	±		245

3.4.5.19 EntitlementFixingDate <EntitlmntFvgDt>

Presence: [0..1]

Definition: Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

EntitlementFixingDate <EntitlmtFxdDt> contains the following elements (see "DateFormat1" on page 245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		245
	DateMode <DtMd>	[0..1]	CodeSet		246

3.4.5.20 RegistrationSecuritiesDeadline <RegnSctiesDdln>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is specified by an intermediary.

RegistrationSecuritiesDeadline <RegnSctiesDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.5.21 RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is set by the issuer.

RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.6 MeetingDetails <MtgDtls>

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

Impacted by: C9 "DateStatus1Rule"

MeetingDetails <MtgDtls> contains the following **Meeting6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndTime <DtAndTm>	[1..1]	±		48
	DateStatus <DtSts>	[0..1]	CodeSet		48
	QuorumRequired <QrmReqrd>	[0..1]	Indicator		48
	Location <Lctn>	[1..5]	±		49
	QuorumQuantity <QrmQty>	[0..1]	±		49
	URLAddress <URLAdr>	[0..1]	Text		49

Constraints

- **DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

On Condition

/DateAndTime/DateCode is equal to value 'Unknown'

Following Must be True

/DateStatus Must be absent

3.4.6.1 DateAndTime <DtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

DateAndTime <DtAndTm> contains one of the following elements (see ["DateFormat58Choice"](#) on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.6.2 DateStatus <DtSts>

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: ["MeetingDateStatus2Code"](#) on page 371

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

3.4.6.3 QuorumRequired <QrmReqrd>

Presence: [0..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.4 Location <Lctn>

Presence: [1..5]

Definition: Location at which the meeting will take place.

Location <Lctn> contains one of the following elements (see ["LocationFormat1Choice"](#) on page 281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		281
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		282

3.4.6.5 QuorumQuantity <QrmQty>

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

QuorumQuantity <QrmQty> contains one of the following elements (see ["QuorumQuantity1Choice"](#) on page 272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		272
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		272

3.4.6.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: ["Max2048Text"](#) on page 391

3.4.7 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains the following elements (see ["IssuerInformation3"](#) on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		287
	URLAddress <URLAdr>	[0..1]	Text		287

3.4.8 IssuerAgent <IssrAgt>

Presence: [0..10]

Definition: Agent of the issuer.

IssuerAgent <IssrAgt> contains the following elements (see "IssuerAgent3" on page 312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		312
	Role <Role>	[0..1]	CodeSet		313

3.4.9 Security <Scty>

Presence: [1..200]

Definition: Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

Security <Scty> contains the following **SecurityPosition16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	51
	Position <Pos>	[0..1000]		C1, C2, C3, C14, C21	52
	AccountIdentification <AcctId>	[0..1]	Text		53
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		53
	AccountOwner <AcctOwnr>	[0..1]			53
{Or	LegalPerson <LglPrsn>	[1..1]	±		53
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		54
	HoldingBalance <HldgBal>	[0..15]			54
	Balance <Bal>	[1..1]	±		55
	BalanceType <BalTp>	[1..1]	CodeSet		55
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		56
	Date <Dt>	[0..1]	Date		57
	RightsHolder <RghtsHldr>	[0..250]			57
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		58

3.4.9.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C15 "ISINGuideline", C16 "ISINPresenceRule", C18 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

3.4.9.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C14 "HoldingBalanceTypeRule", C21 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		53
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		53
	AccountOwner <AcctOwnr>	[0..1]			53
{Or	LegalPerson <LglPrsn>	[1..1]	±		53
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		54
	HoldingBalance <HldgBal>	[0..15]			54
	Balance <Bal>	[1..1]	±		55
	BalanceType <BalTp>	[1..1]	CodeSet		55
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		56
	Date <Dt>	[0..1]	Date		57
	RightsHolder <RghtsHldr>	[0..250]			57
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		58

Constraints

- AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present
Or /BlockChainAddressOrWallet Must be present

- AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present
Following Must be True
/AccountIdentification Must be absent

- **AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockchainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

3.4.9.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

3.4.9.2.2 BlockchainAddressOrWallet <BlickChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

3.4.9.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		53
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		54

3.4.9.2.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

3.4.9.2.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

3.4.9.2.4 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		55
	BalanceType <BalTp>	[1..1]	CodeSet		55
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		56
	Date <Dt>	[0..1]	Date		57

3.4.9.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat14](#)" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

3.4.9.2.4.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

3.4.9.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification </Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification </Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

3.4.9.2.4.4 Date <Dt>*Presence:* [0..1]*Definition:* Date of the entitlement.*Datatype:* "ISODate" on page 386**3.4.9.2.5 RightsHolder <RghtsHldr>***Presence:* [0..250]*Definition:* Owner of the voting rights.**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		58

3.4.9.2.5.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

3.4.9.2.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

3.4.10 Resolution <Rsltn>

Presence: [0..1000]

Definition: Detailed information of a resolution proposed to the vote.

Impacted by: C25 "VotingRightsTresholdForApproval1Rule"

Resolution <Rsltn> contains the following **Resolution7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		59
	Description <Desc>	[0..*]			60
	Language <Lang>	[1..1]	CodeSet		60
	Title <Titl>	[0..1]	Text		60
	Description <Desc>	[0..*]	Text		60
	ListingGroupResolutionLabel <ListgGrpRsltnLbl>	[0..1]	Text		60
	Type <Tp>	[0..1]	CodeSet		60
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		61
	VoteType <VoteTp>	[0..1]	CodeSet		61
	Status <Sts>	[1..1]	CodeSet		61
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		61
	RightToWithdrawIndicator <RghtToWdrwInd>	[0..1]	Indicator		62
	VoteInstructionType <VoteInstrTp>	[0..*]	±	C5	62
	ManagementRecommendation <MgmtRcmmndtn>	[0..1]	CodeSet		62
	NotifyingPartyRecommendation <NtifngPtyRcmmndtn>	[0..1]	CodeSet		63
	Entitlement <Entitlmnt>	[0..1]	±		64
	VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>	[0..*]	±		64
	URLAddress <URLAdr>	[0..1]	Text		64

Constraints

- **VotingRightsTresholdForApproval1Rule**

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

3.4.10.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 391

3.4.10.2 Description <Desc>

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

Description <Desc> contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		60
	Title <Titl>	[0..1]	Text		60
	Description <Desc>	[0..*]	Text		60

3.4.10.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 371

3.4.10.2.2 Title <Titl>

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max1025Text" on page 390

3.4.10.2.3 Description <Desc>

Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: "Max8000Text" on page 393

3.4.10.3 ListingGroupResolutionLabel <ListgGrpRslnLbL>

Presence: [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 391

3.4.10.4 Type <Tp>

Presence: [0..1]

Definition: Type of resolution.

Datatype: "ResolutionType2Code" on page 379

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

3.4.10.5 ForInformationOnly <ForInfOnly>

Presence: [1..1]

Definition: Indicates whether the resolution is listed for information or for voting.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.6 VoteType <VoteTp>

Presence: [0..1]

Definition: Impact of vote results on an agenda resolution.

Datatype: "[VoteType1Code](#)" on page 385

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

3.4.10.7 Status <Sts>

Presence: [1..1]

Definition: Specifies whether the resolution is active or withdrawn.

Datatype: "[ResolutionStatus1Code](#)" on page 379

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

3.4.10.8 SubmittedBySecurityHolder <SubmittBySctyHldr>

Presence: [0..1]

Definition: Indicates whether the resolution has been submitted by the security holder.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.9 RightToWithdrawIndicator <RghtToWdrwInd>

Presence: [0..1]

Definition: Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 VoteInstructionType <VoteInstrTp>

Presence: [0..*]

Definition: Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

Impacted by: C5 "[AdditionalInformationRule](#)"

VoteInstructionType <VoteInstrTp> contains the following elements (see "[VoteInstructionType1](#)" on page 277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		277
	AdditionalInformation <AddtlInf>	[0..1]	Text		277

Constraints

- **AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True

/VoteInstructionTypeCode/Proprietary/Identification Must be present

3.4.10.11 ManagementRecommendation <MgmtRcmmdtn>

Presence: [0..1]

Definition: Specifies how the management of the issuing company wishes the security holders to vote.

Datatype: "[VoteInstruction5Code](#)" on page 383

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.

CodeName	Name	Definition
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

3.4.10.12 NotifyingPartyRecommendation <NtifngPtyRcmmndtn>

Presence: [0..1]

Definition: Specifies how the notifying party recommends that the security holders vote.

Datatype: "VoteInstruction5Code" on page 383

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

3.4.10.13 Entitlement <Entitlmnt>

Presence: [0..1]

Definition: Number of votes assigned per resolution to one security.

Entitlement <Entitlmnt> contains one of the following elements (see "[Entitlement1Choice](#)" on page 276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		277
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		277

3.4.10.14 VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>

Presence: [0..*]

Definition: Voting rights threshold and threshold basis to have a meeting resolution approved.

VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl> contains the following elements (see "[VotingRightsThreshold1](#)" on page 270 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		271
	ThresholdBasis <ThrshldBsis>	[0..1]			271
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272

3.4.10.15 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

Datatype: "[Max2048Text](#)" on page 391

3.4.11 Vote <Vote>

Presence: [0..1]

Definition: Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

Vote <Vote> contains the following **VoteParameters8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>	[0..1]	±		65
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		66
	SplitVoteAllowed <SpltVoteAllwd>	[1..1]	Indicator		66
	VoteDeadline <VoteDdln>	[0..1]	±		66
	VoteMarketDeadline <VoteMktDdln>	[0..1]	±		66
	VoteMethods <VoteMthds>	[0..1]			67
	VoteThroughNetwork <VoteThrhNtwk>	[0..1]			67
{Or	VoteChannel <VoteChanl>	[1..1]	CodeSet		67
Or}	VoteDirectlyToIssuer <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	68
	VoteByMail <VoteByMail>	[0..1]			68
	Correspondence <Crspdc>	[0..5]	±		68
	EmailAddress <EmailAdr>	[0..5]	Text		69
	ElectronicVote <ElctrncVote>	[0..5]			69
	URLAddress <URLAdr>	[0..1]	Text		69
	VoteByTelephone <VoteByTel>	[0..5]	Text		69
	VotingBallotElectronicAddress <VtngBlItElctrncAdr>	[0..1]	±		69
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		70
	RevocabilityDeadline <RvcbltyDdln>	[0..1]	±		70
	RevocabilityMarketDeadline <RvcbltyMktDdln>	[0..1]	±		70
	BeneficialOwnerDisclosure <BnfclOwnrDsclsr>	[0..1]	Indicator		71
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]	±		71
	IncentivePremium <IncntivPrm>	[0..1]	±		71
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>	[0..1]	±		72
	VoteWithPremiumDeadline <VoteWthPrmDdln>	[0..1]	±		72
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>	[0..1]	±		72
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		73
	PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>	[0..1]	Indicator		73

3.4.11.1 SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>

Presence: [0..1]

Definition: Number of holdings required for a vote.

SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

3.4.11.2 PartialVoteAllowed <PrtlVoteAllwd>

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.3 SplitVoteAllowed <SpltVoteAllwd>

Presence: [1..1]

Definition: Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.4 VoteDeadline <VoteDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary.

VoteDeadline <VoteDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.5 VoteMarketDeadline <VoteMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer.

VoteMarketDeadline <VoteMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.6 VoteMethods <VoteMthds>

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

VoteMethods <VoteMthds> contains the following **VoteMethods5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteThroughNetwork <VoteThrhNtwk>	[0..1]			67
{Or	VoteChannel <VoteChanl>	[1..1]	CodeSet		67
Or}	VoteDirectlyToIssuer <VoteDrctlyTolssr>	[1..5]	IdentifierSet	C7	68
	VoteByMail <VoteByMail>	[0..1]			68
	Correspondence <Crspdc>	[0..5]	±		68
	EmailAddress <EmailAdr>	[0..5]	Text		69
	ElectronicVote <ElctrncVote>	[0..5]			69
	URLAddress <URLAdr>	[0..1]	Text		69
	VoteByTelephone <VoteByTel>	[0..5]	Text		69

3.4.11.6.1 VoteThroughNetwork <VoteThrhNtwk>

Presence: [0..1]

Definition: Network address through which a voting party can cast its vote via a structured message.

VoteThroughNetwork <VoteThrhNtwk> contains one of the following **VoteThroughNetwork1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteChannel <VoteChanl>	[1..1]	CodeSet		67
Or}	VoteDirectlyToIssuer <VoteDrctlyTolssr>	[1..5]	IdentifierSet	C7	68

3.4.11.6.1.1 VoteChannel <VoteChanl>

Presence: [1..1]

Definition: Voting is performed via message sent through an alternate network channel, that is, either via the chain of intermediaries or via the channel as described in the processing text for next intermediary information field.

Datatype: "VoteChannel1Code" on page 383

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

3.4.11.6.1.2 VoteDirectlyToIssuer <VoteDrctlyToIssr>

Presence: [1..5]

Definition: Voting is performed by sending a vote message directly to the issuer.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 387

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.11.6.2 VoteByMail <VoteByMail>

Presence: [0..1]

Definition: Address where the voting ballot can be sent.

VoteByMail <VoteByMail> contains the following **MailAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Correspondence <Crspdc>	[0..5]	±		68
	EmailAddress <EmailAdr>	[0..5]	Text		69

3.4.11.6.2.1 Correspondence <Crspdc>

Presence: [0..5]

Definition: Physical mail address for correspondence.

Correspondence <Crspdc> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

3.4.11.6.2.2 EmailAddress <EmailAdr>

Presence: [0..5]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

3.4.11.6.3 ElectronicVote <ElctrncVote>

Presence: [0..5]

Definition: Electronic address, e-mail or web site, where a security holder can vote.

ElectronicVote <ElctrncVote> contains the following **CommunicationAddress12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	URLAddress <URLAdr>	[0..1]	Text		69

3.4.11.6.3.1 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 391

3.4.11.6.4 VoteByTelephone <VoteByTel>

Presence: [0..5]

Definition: Telephone number providing access to an automated voting system.

Datatype: "Max35Text" on page 391

3.4.11.7 VotingBallotElectronicAddress <VtngBlltElctrncAdr>

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

VotingBallotElectronicAddress <VtngBlItElctrncAdr> contains the following elements (see "CommunicationAddress11" on page 283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		284
	URLAddress <URLAdr>	[0..1]	Text		284

3.4.11.8 VotingBallotRequestAddress <VtngBlItReqAdr>

Presence: [0..1]

Definition: Postal address where the voting ballot can be requested.

VotingBallotRequestAddress <VtngBlItReqAdr> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

3.4.11.9 RevocabilityDeadline <RvcbltyDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

RevocabilityDeadline <RvcbltyDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.10 RevocabilityMarketDeadline <RvcbltyMktDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

RevocabilityMarketDeadline <RvcbltyMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.11 BeneficialOwnerDisclosure <BnfclOwnrDsclsr>

Presence: [0..1]

Definition: Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.12 EarlyIncentivePremium <EarlyIncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

EarlyIncentivePremium <EarlyIncntivPrm> contains the following elements (see "IncentivePremium5" on page 279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		279
	Amount <Amt>	[1..1]	±		279
	Type <Tp>	[1..1]			279
{Or	PerSecurity <PerScty>	[1..1]	Quantity		280
Or	PerVote <PerVote>	[1..*]			280
	VoteInstructionType <VoteInstrTp>	[1..1]	±		280
	VoteQuantity <VoteQty>	[1..1]	Quantity		280
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		280
	PaymentDate <PmtDt>	[0..1]	±		281

3.4.11.13 IncentivePremium <IncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting.

IncentivePremium <IncntivPrm> contains the following elements (see "IncentivePremium5" on page 279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		279
	Amount <Amt>	[1..1]	±		279
	Type <Tp>	[1..1]			279
{Or	PerSecurity <PerScty>	[1..1]	Quantity		280
Or	PerVote <PerVote>	[1..*]			280
	VoteInstructionType <VoteInstrTp>	[1..1]	±		280
	VoteQuantity <VoteQty>	[1..1]	Quantity		280
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		280
	PaymentDate <PmtDt>	[0..1]	±		281

3.4.11.14 EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.15 VoteWithPremiumDeadline <VoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

VoteWithPremiumDeadline <VoteWthPrmDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.16 VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.11.17 AdditionalVotingRequirements <AddtlVtngRqrmnts>

Presence: [0..1]

Definition: Additional information on specific requirements for allowing a person to vote.

Datatype: "Max350Text" on page 391

3.4.11.18 PreviousInstructionInvalidityIndicator <PrvsInstrInvlDtyInd>

Presence: [0..1]

Definition: Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.12 PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>

Presence: [0..1]

Definition: Information about the use of power of attorney.

Impacted by: C17 "OtherDocumentation1Guideline"

PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts> contains the following **PowerOfAttorneyRequirements4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		74
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		74
	DocumentSubmissionDeadline <DocSubmissnDdln>	[0..1]	±		74

Constraints

- **OtherDocumentation1Guideline**

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

3.4.12.1 LegalRequirement <LglRqrmnt>

Presence: [0..4]

Definition: Authority that must validate the power of attorney.

Datatype: "PowerOfAttorneyLegalisation1Code" on page 375

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

3.4.12.2 OtherDocumentation <OthrDcmnttn>

Presence: [0..1]

Definition: Documents needed to obtain a valid power of attorney.

Datatype: "Max350Text" on page 391

3.4.12.3 DocumentSubmissionDeadline <DocSubmissnDdln>

Presence: [0..1]

Definition: Date by which the requested documents must be provided.

DocumentSubmissionDeadline <DocSubmissnDdln> contains one of the following elements (see "DateFormat58Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

3.4.13 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional narrative information about the meeting event.

AdditionalInformation <AddtlInf> contains the following **CorporateEventNarrative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Disclaimer <Dsclmr>	[0..*]			75
	Language <Lang>	[1..1]	CodeSet		75
	AdditionalInformation <AddtlInf>	[1..1]	Text		75
	ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>	[0..*]	Text		75

3.4.13.1 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **LanguageSpecifiedNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		75
	AdditionalInformation <AddtlInf>	[1..1]	Text		75

3.4.13.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 371

3.4.13.1.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information in the specified language.

Datatype: "Max8000Text" on page 393

3.4.13.2 ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

Datatype: "Max8000Text" on page 393

3.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C22 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.002.001.09 MeetingCancellationV09**

4.1 **MessageDefinition Functionality**

Scope

The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.

Usage

The MeetingCancellation message is used to advise that the meeting has been cancelled by the account servicer or withdrawn by the issuer.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingCancellationV09 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

B. Security

Identification of the security for which the meeting was organised.

C. Reason

Justification for the cancellation.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgCxl>	[1..1]		C5	
	MeetingReference <MtgRef>	[1..1]			80
	MeetingIdentification <MtgId>	[1..1]	Text		80
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		80
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		81
	Type <Tp>	[1..1]	CodeSet		81
	Classification <Clssfctn>	[0..1]	±		81
	Location <Lctn>	[0..5]	±		82
	Issuer <Issr>	[0..1]	±		82
	Security <Scty>	[1..200]			82
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	83
	Position <Pos>	[0..1000]		C1, C2, C3, C10, C14	84
	AccountIdentification <AcctId>	[0..1]	Text		86
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		86
	AccountOwner <AcctOwnr>	[0..1]			86
{Or	LegalPerson <LglPrsn>	[1..1]	±		86
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		87
	HoldingBalance <HldgBal>	[0..15]			87
	Balance <Bal>	[1..1]	±		88
	BalanceType <BalTp>	[1..1]	CodeSet		88
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		89
	Date <Dt>	[0..1]	Date		90
	RightsHolder <RghtsHldr>	[0..250]			90
{Or	LegalPerson <LglPrsn>	[1..1]	±		90
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		91
	Reason <Rsn>	[1..1]			92
	CancellationReasonCode <CxIRsnCd>	[0..1]			92

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		92
Or}	Proprietary <Prtry>	[1..1]	±		92
	CancellationReason <CxlRsn>	[0..1]	Text		93
	SupplementaryData <SplmtryData>	[0..*]	±	C15	93

4.3 Constraints

C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Cancellation1Guideline

It is recommended that a meeting is cancelled with the use of MeetingReference/ MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

This constraint is defined at the MessageDefinition level.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 DescriptionUsageRule

Description must be used alone as the last resort.

C9 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C10 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C14 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		80
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		80
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		81
	Type <Tp>	[1..1]	CodeSet		81
	Classification <Clssfctn>	[0..1]	±		81
	Location <Lctn>	[0..5]	±		82
	Issuer <Issr>	[0..1]	±		82

4.4.1.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

4.4.1.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

4.4.1.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

4.4.1.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

4.4.1.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

4.4.1.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "[PostalAddress1](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

4.4.1.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

4.4.2 Security <Scty>

Presence: [1..200]

Definition: Identification of the security for which the meeting was organised.

Security <Scty> contains the following **SecurityPosition17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	83
	Position <Pos>	[0..1000]		C1, C2, C3, C10, C14	84
	AccountIdentification <AcctId>	[0..1]	Text		86
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		86
	AccountOwner <AcctOwnr>	[0..1]			86
{Or	LegalPerson <LglPrsn>	[1..1]	±		86
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		87
	HoldingBalance <HldgBal>	[0..15]			87
	Balance <Bal>	[1..1]	±		88
	BalanceType <BalTp>	[1..1]	CodeSet		88
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		89
	Date <Dt>	[0..1]	Date		90
	RightsHolder <RghtsHldr>	[0..250]			90
{Or	LegalPerson <LglPrsn>	[1..1]	±		90
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		91

4.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C13 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrlId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

4.4.2.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C10 "HoldingBalanceTypeRule", C14 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		86
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		86
	AccountOwner <AcctOwnr>	[0..1]			86
{Or	LegalPerson <LglPrsn>	[1..1]	±		86
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		87
	HoldingBalance <HldgBal>	[0..15]			87
	Balance <Bal>	[1..1]	±		88
	BalanceType <BalTp>	[1..1]	CodeSet		88
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		89
	Date <Dt>	[0..1]	Date		90
	RightsHolder <RghtsHldr>	[0..250]			90
{Or	LegalPerson <LglPrsn>	[1..1]	±		90
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		91

Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

4.4.2.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

4.4.2.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

4.4.2.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		86
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		87

4.4.2.2.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

4.4.2.2.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

4.4.2.2.4 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		88
	BalanceType <BalTp>	[1..1]	CodeSet		88
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		89
	Date <Dt>	[0..1]	Date		90

4.4.2.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat14](#)" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

4.4.2.2.4.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

4.4.2.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification </Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

4.4.2.2.4.4 Date <Dt>*Presence:* [0..1]*Definition:* Date of the entitlement.*Datatype:* "ISODate" on page 386**4.4.2.2.5 RightsHolder <RghtsHldr>***Presence:* [0..250]*Definition:* Owner of the voting rights.**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		90
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		91

4.4.2.2.5.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

4.4.2.2.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

4.4.3 Reason <Rsn>

Presence: [1..1]

Definition: Justification for the cancellation.

Reason <Rsn> contains the following **MeetingCancellationReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[0..1]			92
{Or	Code <Cd>	[1..1]	CodeSet		92
Or}	Proprietary <Prtry>	[1..1]	±		92
	CancellationReason <CxIRsn>	[0..1]	Text		93

4.4.3.1 CancellationReasonCode <CxIRsnCd>

Presence: [0..1]

Definition: Reason for cancelling a meeting.

CancellationReasonCode <CxIRsnCd> contains one of the following **MeetingCancellationReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		92
Or}	Proprietary <Prtry>	[1..1]	±		92

4.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in coded form.

Datatype: "MeetingCancellationReason2Code" on page 371

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

4.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in free text form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		259
	SchemeName <SchmeNm>	[0..1]	Text		259
	Issuer <Issr>	[1..1]	Text		259

4.4.3.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Provides more information on the reason for cancelling a meeting in free format form.

Datatype: "[Max140Text](#)" on page 390

4.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: [C15 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.003.001.09 MeetingEntitlementNotificationV09**

5.1 **MessageDefinition Functionality**

Scope

The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

Usage

This message is sent to advise the quantity of securities held by an account owner. The balance is specified for the securities for which the meeting is taking place. The message is also used to amend a previously sent MeetingEntitlementNotification.

The MeetingEntitlementNotification message may be sent either before receiving a voting instruction to confirm the entitlement; or after having received a voting instruction to confirm details of the person attending the meeting.

The message may also be used in place of an attendance card or to confirm entitlements in the case of bearer shares.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingEntitlementNotificationV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. NotificationType
Type of notification.
- B. PreviousEntitlementNotificationIdentification
Identification of the original meeting notification entitlement message which is amended.
- C. MeetingReference
Set of elements to allow the unambiguous identification of a meeting.
- D. Issuer
Institution that is the issuer of the security to which the meeting applies.
- E. Security
Security for which the meeting is organised, the account and the positions of the security holder.
- F. Eligibility
Date determining eligibility.
- G. MeetingAttendee
Person physically attending the meeting as a natural or legal person.
- H. Proxy

Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgEntitlmntNtfctn>	[1..1]		C13	
	NotificationType <NtfctnTp>	[1..1]	CodeSet		98
	PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>	[0..1]	Text		99
	MeetingReference <MtgRef>	[1..1]			99
	MeetingIdentification <MtgId>	[1..1]	Text		99
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		99
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		99
	Type <Tp>	[1..1]	CodeSet		100
	Classification <Clssfctn>	[0..1]	±		100
	Location <Lctn>	[0..5]	±		100
	Issuer <Issr>	[0..1]	±		101
	Issuer <Issr>	[1..1]	±		101
	Security <Scty>	[1..200]			102
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	103
	Position <Pos>	[0..1000]		C1, C2, C3, C9, C14	104
	AccountIdentification <AcctId>	[0..1]	Text		105
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		105
	AccountOwner <AcctOwnr>	[0..1]			105
	Identification <Id>	[1..1]			106
{Or	LegalPerson <LglPrsn>	[1..1]	±		106
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		107
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			107
{Or	BirthDate <BirthDt>	[1..1]	Date		108
Or}	RegistrationIdentification <RegnId>	[1..1]	±		108
	HoldingBalance <HldgBal>	[0..15]			108
	Balance <Bal>	[1..1]	±		108
	BalanceType <BalTp>	[1..1]	CodeSet		109

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		110
	Date <Dt>	[0..1]	Date		110
	RightsHolder <RghtsHldr>	[0..250]			110
	Identification <Id>	[1..1]			111
{Or	LegalPerson <LglPrsn>	[1..1]	±		111
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		112
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			113
{Or	BirthDate <BirthDt>	[1..1]	Date		113
Or}	RegistrationIdentification <RegnId>	[1..1]	±		113
	Eligibility <Elgblty>	[1..1]			113
	EntitlementFixingDate <EntitlmntFxdDt>	[1..1]	Date		113
	MeetingAttendee <MtgAttndee>	[0..1]			114
{Or	LegalPerson <LglPrsn>	[1..1]	±		114
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		114
	Proxy <Prxy>	[0..1]			115
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		116
	SupplementaryData <SplmtryData>	[0..*]	±	C15	116

5.3 Constraints

C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C10 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C11 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C12 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C13 PreviousEntitlementNotificationIdentificationRule

If NotificationType is REPL (Replacement), then PreviousEntitlementNotificationIdentification must be present.

On Condition

/NotificationType is equal to value 'Replacement'

Following Must be True

/PreviousEntitlementNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C14 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType2Code" on page 373

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

5.4.2 PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>

Presence: [0..1]

Definition: Identification of the original meeting notification entitlement message which is amended.

Datatype: "Max35Text" on page 391

5.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		99
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		99
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		99
	Type <Tp>	[1..1]	CodeSet		100
	Classification <Clssfctn>	[0..1]	±		100
	Location <Lctn>	[0..5]	±		100
	Issuer </issr>	[0..1]	±		101

5.4.3.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

5.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

5.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

5.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

5.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

5.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

5.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

5.4.4 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

5.4.5 Security <Scty>

Presence: [1..200]

Definition: Security for which the meeting is organised, the account and the positions of the security holder.

Security <Scty> contains the following **SecurityPosition18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	103
	Position <Pos>	[0..1000]		C1, C2, C3, C9, C14	104
	AccountIdentification <AcctId>	[0..1]	Text		105
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		105
	AccountOwner <AcctOwnr>	[0..1]			105
	Identification <Id>	[1..1]			106
{Or	LegalPerson <LglPrsn>	[1..1]	±		106
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		107
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			107
{Or	BirthDate <BirthDt>	[1..1]	Date		108
Or}	RegistrationIdentification <RegnId>	[1..1]	±		108
	HoldingBalance <HldgBal>	[0..15]			108
	Balance <Bal>	[1..1]	±		108
	BalanceType <BalTp>	[1..1]	CodeSet		109
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		110
	Date <Dt>	[0..1]	Date		110
	RightsHolder <RghtsHldr>	[0..250]			110
	Identification <Id>	[1..1]			111
{Or	LegalPerson <LglPrsn>	[1..1]	±		111
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		112
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			113
{Or	BirthDate <BirthDt>	[1..1]	Date		113
Or}	RegistrationIdentification <RegnId>	[1..1]	±		113

5.4.5.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

5.4.5.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C9 "HoldingBalanceTypeRule", C14

"RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		105
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		105
	AccountOwner <AcctOwnr>	[0..1]			105
	Identification <Id>	[1..1]			106
{Or	LegalPerson <LglPrsn>	[1..1]	±		106
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		107
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			107
{Or	BirthDate <BirthDt>	[1..1]	Date		108
Or}	RegistrationIdentification <RegnId>	[1..1]	±		108
	HoldingBalance <HldgBal>	[0..15]			108
	Balance <Bal>	[1..1]	±		108
	BalanceType <BalTp>	[1..1]	CodeSet		109
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		110
	Date <Dt>	[0..1]	Date		110
	RightsHolder <RghtsHldr>	[0..250]			110
	Identification <Id>	[1..1]			111
{Or	LegalPerson <LglPrsn>	[1..1]	±		111
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		112
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			113
{Or	BirthDate <BirthDt>	[1..1]	Date		113
Or}	RegistrationIdentification <RegnId>	[1..1]	±		113

Constraints

- **AccountIdentificationOrBlockchainAddress1Rule**

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockchainAddressOrWallet Must be present

- **AccountIdentificationOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockchainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockchainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

5.4.5.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

5.4.5.2.2 BlockchainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

5.4.5.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains the following **PartyIdentification241** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			106
{Or	LegalPerson <LglPrsn>	[1..1]	±		106
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		107
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			107
{Or	BirthDate <BirthDt>	[1..1]	Date		108
Or}	RegistrationIdentification <RegnId>	[1..1]	±		108

5.4.5.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		106
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		107

5.4.5.2.3.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

5.4.5.2.3.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

5.4.5.2.3.2 AdditionalIdentificationInformation <AddtlIdInf>

Presence: [0..1]

Definition: Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtlIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		108
Or}	RegistrationIdentification <RegnId>	[1..1]	±		108

5.4.5.2.3.2.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date of birth of an individual person.

Datatype: "ISODate" on page 386

5.4.5.2.3.2.2 RegistrationIdentification <RegnId>

Presence: [1..1]

Definition: Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following elements (see "OrganisationIdentification5" on page 275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		276
	RegisterName <RegNm>	[0..1]	Text		276

5.4.5.2.4 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		108
	BalanceType <BalTp>	[1..1]	CodeSet		109
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		110
	Date <Dt>	[0..1]	Date		110

5.4.5.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat14](#)" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

5.4.5.2.4.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CodeName	Name	Definition
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

5.4.5.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification <Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

5.4.5.2.4.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 386

5.4.5.2.5 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains the following **PartyIdentification270** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			111
{Or	LegalPerson <LglPrsn>	[1..1]	±		111
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		112
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			113
{Or	BirthDate <BirthDt>	[1..1]	Date		113
Or}	RegistrationIdentification <RegnId>	[1..1]	±		113

5.4.5.2.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		111
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		112

5.4.5.2.5.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

5.4.5.2.5.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

5.4.5.2.5.2 AdditionalIdentificationInformation <AddtlIdInf>*Presence:* [0..1]*Definition:* Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtlIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		113
Or}	RegistrationIdentification <RegnId>	[1..1]	±		113

5.4.5.2.5.2.1 BirthDate <BirthDt>*Presence:* [1..1]*Definition:* Date of birth of an individual person.*Datatype:* "ISODate" on page 386**5.4.5.2.5.2.2 RegistrationIdentification <RegnId>***Presence:* [1..1]*Definition:* Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following elements (see "OrganisationIdentification5" on page 275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		276
	RegisterName <RegrNm>	[0..1]	Text		276

5.4.6 Eligibility <Elgblty>*Presence:* [1..1]*Definition:* Date determining eligibility.

Eligibility <Elgblty> contains the following **EligibilityDates1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitlementFixingDate <EntitlmntFxdDt>	[1..1]	Date		113

5.4.6.1 EntitlementFixingDate <EntitlmntFxdDt>*Presence:* [1..1]

Definition: Date at which the positions are struck to note which parties will receive the entitlement, e.g. record date, book close date.

Datatype: "ISODate" on page 386

5.4.7 MeetingAttendee <MtgAttndee>

Presence: [0..1]

Definition: Person physically attending the meeting as a natural or legal person.

MeetingAttendee <MtgAttndee> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		114
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		114

5.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification221](#)" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

5.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

5.4.8 Proxy <Prxy>

Presence: [0..1]

Definition: Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

Proxy <Prxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		116

5.4.8.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

5.4.8.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

5.4.9 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C15 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.004.001.09** **MeetingInstructionV09**

6.1 **MessageDefinition Functionality**

Scope

The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.

Usage

The MeetingInstruction message is used to vote, to require attendance to a meeting, to request registration of securities and assign a proxy. One instruction or multiple instructions can be carried within the same message.

Once the message is sent, it cannot be modified. It must be cancelled by a MeetingInstructionCancellationRequest. Only after receipt of a confirmed cancelled status via the

MeetingInstructionStatus message, a new MeetingInstruction message can be sent.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

B. MeetingInstructionIdentification

Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

C. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

D. FinancialInstrumentIdentification

Security for which the meeting is organised.

E. InstructionCancellationRequestIdentification

Identification of the cancellation request message requesting cancellation of individual instruction(s).

F. CancelledInstructionIdentification

Identification of the message and individual instruction(s) for which the cancellation was requested.

G. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

H. Instruction

The position of the instructing party and the action that it wants to take.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstr>	[1..1]			
	Pagination <Pgntn>	[0..1]	±		124
	MeetingInstructionIdentification <MtgInstrId>	[0..1]	Text		124
	MeetingReference <MtgRef>	[1..1]			124
	MeetingIdentification <MtglId>	[1..1]	Text		125
	IssuerMeetingIdentification <IssrMtglId>	[0..1]	Text		125
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		125
	Type <Tp>	[1..1]	CodeSet		125
	Classification <Clssfctn>	[0..1]	±		126
	Location <Lctn>	[0..5]	±		126
	Issuer <Issr>	[0..1]	±		126
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C15	127
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..*]			128
	MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>	[1..1]	Text		128
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		128
	CancelledInstructionIdentification <CancInstrId>	[0..*]			128
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		129
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		129
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		129
	Instruction <Instr>	[1..*]		C13	129
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		132
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		132
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		132
	AccountDetails <AcctDtls>	[1..1]		C1, C2, C3, C5, C17	132
	AccountIdentification <AcctId>	[0..1]	Text		134
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		134
	AccountOwner <AcctOwnr>	[0..1]			134

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		134
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		135
	SubAccountIdentification <SubAcctId>	[0..1]	Text		135
	InstructedBalance <InstdBal>	[1..15]			136
	Balance <Bal>	[1..1]	±		136
	BalanceType <BalTp>	[0..1]	CodeSet		136
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		137
	RightsHolder <RghtsHldr>	[0..250]			138
{Or	LegalPerson <LglPrsn>	[1..1]	±		138
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		139
	PledgeDetails <PldgDtls>	[0..1]			140
	Pledger <Pldgr>	[1..1]			140
{Or	LegalPerson <LglPrsn>	[1..1]	±		140
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		141
	ThirdParty <ThrdPty>	[0..1]			141
	Role <Role>	[1..1]	CodeSet		142
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		142
	PledgeType <PldgTp>	[1..1]	±		143
	ReturnSecuritiesIndicator <RtrSctiesInd>	[0..1]	Indicator		143
	Proxy <Prxy>	[0..1]		C16	143
	ProxyType <PrxyTp>	[1..1]	CodeSet		144
	PersonDetails <PrsnDtls>	[0..1]			144
	PreassignedProxy <PrssgndPrxy>	[0..1]			144
{Or	LegalPerson <LglPrsn>	[1..1]	±		144
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		145
	EmployingParty <EmplngPty>	[0..1]	±		145
	AttendanceCardDetails <AttdncCardDtls>	[1..1]	±	C14	146
	VoteDetails <VoteDtls>	[0..1]			146
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>	[1..1]			147
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]		C10	147
{Or	VoteInstruction <VoteInstr>	[1..1000]	±		148

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			149
	IssuerLabel <IssrLbl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		150
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			150
{Or	VoteIndication <VoteIndctn>	[1..1]	±		150
Or}	Shareholder <Shrhldr>	[1..1]	±		150
	MeetingAttendee <MtgAtndee>	[0..*]			151
	Identification <Id>	[0..1]			151
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		152
	ParticipationMethod <PrtcptnMtd>	[0..1]	CodeSet		152
	EmployingParty <EmplngPty>	[0..1]	±		153
	AttendanceCardDetails <AtndncCardDtls>	[1..1]	±	C14	153
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			153
	ParticipationMethod <PrtcptnMtd>	[0..1]	±		154
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		154
	SupplementaryData <SplmtryData>	[0..*]	±	C20	154

6.3 Constraints

C1 AccountIdentificationOrBlockchainAddress1Rule

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockchainAddress2Rule

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockchainAddress3Rule

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BalanceQall1Rule

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 DescriptionUsageRule

Description must be used alone as the last resort.

C9 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C10 InFavourListingGroup1Rule

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 MeetingAttendeeRule

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

C14 OtherAddressCode1Rule

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

C15 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C16 ProxyAppointment1Rule

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

C17 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C18 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C19 StructuredOrUnstructured1Guideline

It is recommended that Structured be used.

C20 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C21 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		288
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		289

6.4.2 MeetingInstructionIdentification <MtgInstrId>

Presence: [0..1]

Definition: Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

Datatype: "[Max35Text](#)" on page 391

6.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		125
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		125
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		125
	Type <Tp>	[1..1]	CodeSet		125
	Classification <Clssfctn>	[0..1]	±		126
	Location <Lctn>	[0..5]	±		126
	Issuer <Issr>	[0..1]	±		126

6.4.3.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

6.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

6.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

6.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

6.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

6.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

6.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

6.4.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

6.4.5 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..*]

Definition: Identification of the cancellation request message requesting cancellation of individual instruction(s).

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following **MeetingInstructionCancellation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>	[1..1]	Text		128
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		128

6.4.5.1 MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>

Presence: [1..1]

Definition: Identification of the meeting instruction cancellation request message containing the individual instruction(s) for which the cancellation was requested.

Datatype: "Max35Text" on page 391

6.4.5.2 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction for which the cancellation was requested.

Datatype: "Max35Text" on page 391

6.4.6 CancelledInstructionIdentification <CnclInstrId>

Presence: [0..*]

Definition: Identification of the message and individual instruction(s) for which the cancellation was requested.

CancelledInstructionIdentification <CancInstrId> contains the following **MeetingInstructionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		129
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		129

6.4.6.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the previous meeting instruction message containing the individual instruction(s) for which the cancellation was requested.

Datatype: "Max35Text" on page 391

6.4.6.2 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction for which the cancellation was requested.

Datatype: "Max35Text" on page 391

6.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other messages/documents as well as the messages/documents number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 247 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			247
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		247
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		248
	DocumentNumber <DocNb>	[0..1]	±	C18	248
	LinkageType <LkgTp>	[0..1]	±	C21	248

6.4.8 Instruction <Instr>

Presence: [1..*]

Definition: The position of the instructing party and the action that it wants to take.

Impacted by: C13 "MeetingAttendeeRule"

Instruction <Instr> contains the following **Instruction7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		132
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		132
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		132
	AccountDetails <AcctDtls>	[1..1]		C1, C2, C3, C5, C17	132
	AccountIdentification <AcctId>	[0..1]	Text		134
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		134
	AccountOwner <AcctOwnr>	[0..1]			134
{Or	LegalPerson <LglPrsn>	[1..1]	±		134
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		135
	SubAccountIdentification <SubAcctId>	[0..1]	Text		135
	InstructedBalance <InstdBal>	[1..15]			136
	Balance <Bal>	[1..1]	±		136
	BalanceType <BalTp>	[0..1]	CodeSet		136
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		137
	RightsHolder <RghtsHldr>	[0..250]			138
{Or	LegalPerson <LglPrsn>	[1..1]	±		138
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		139
	PledgeDetails <PldgDtls>	[0..1]			140
	Pledger <Pldgr>	[1..1]			140
{Or	LegalPerson <LglPrsn>	[1..1]	±		140
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		141
	ThirdParty <ThrdPty>	[0..1]			141
	Role <Role>	[1..1]	CodeSet		142
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		142
	PledgeType <PldgTp>	[1..1]	±		143
	ReturnSecuritiesIndicator <RtrScitiesInd>	[0..1]	Indicator		143
	Proxy <Prxy>	[0..1]		C16	143
	ProxyType <PrxyTp>	[1..1]	CodeSet		144
	PersonDetails <PrsnDtls>	[0..1]			144
	PreassignedProxy <PrssgndPrxy>	[0..1]			144

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		144
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		145
	EmployingParty <EmplingPty>	[0..1]	±		145
	AttendanceCardDetails <AttdncCardDtls>	[1..1]	±	C14	146
	VoteDetails <VoteDtls>	[0..1]			146
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[1..1]			147
{Or	VotePerAgendaResolution <VotePerAgndRsltn>	[1..1]		C10	147
{Or	VoteInstruction <VoteInstr>	[1..1000]	±		148
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			149
	IssuerLabel <IssrLabl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRsltns>	[1..1]	±		150
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>	[0..1]			150
{Or	VoteIndication <VoteIndctn>	[1..1]	±		150
Or}	Shareholder <Shrhldr>	[1..1]	±		150
	MeetingAttendee <MtgAtndee>	[0..*]			151
	Identification <Id>	[0..1]			151
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		152
	ParticipationMethod <PrtcptnMtd>	[0..1]	CodeSet		152
	EmployingParty <EmplingPty>	[0..1]	±		153
	AttendanceCardDetails <AttdncCardDtls>	[1..1]	±	C14	153
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			153
	ParticipationMethod <PrtcptnMtd>	[0..1]	±		154
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		154

Constraints

• MeetingAttendeeRule

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ ParticipationMethod/Code must be PHYS (InPerson).

On Condition

/MeetingAttendee[*] is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value
'InPerson'

6.4.8.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction.

Datatype: "Max35Text" on page 391

6.4.8.2 RequestedExecutionDate <ReqdExctnDt>

Presence: [0..1]

Definition: Date at which the instruction must be executed.

Datatype: "ISODateTime" on page 386

6.4.8.3 VoteExecutionConfirmation <VoteExctnConf>

Presence: [1..1]

Definition: Indicates that a vote execution confirmation is requested.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.8.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Identification of the securities account.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2
"AccountIdentificationOrBlockChainAddress2Rule", C3
"AccountIdentificationOrBlockChainAddress3Rule", C5 "BalanceQall1Rule", C17
"RightsHolderMultiplicity1Guideline"

AccountDetails <AcctDtls> contains the following **SafekeepingAccount15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		134
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		134
	AccountOwner <AcctOwnr>	[0..1]			134
{Or	LegalPerson <LglPrsn>	[1..1]	±		134
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		135
	SubAccountIdentification <SubAcctId>	[0..1]	Text		135
	InstructedBalance <InstdBal>	[1..15]			136
	Balance <Bal>	[1..1]	±		136
	BalanceType <BalTp>	[0..1]	CodeSet		136
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		137
	RightsHolder <RghtsHldr>	[0..250]			138
{Or	LegalPerson <LglPrsn>	[1..1]	±		138
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		139
	PledgeDetails <PldgDtls>	[0..1]			140
	Pledger <Pldgr>	[1..1]			140
{Or	LegalPerson <LglPrsn>	[1..1]	±		140
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		141
	ThirdParty <ThrdPty>	[0..1]			141
	Role <Role>	[1..1]	CodeSet		142
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		142
	PledgeType <PldgTp>	[1..1]	±		143
	ReturnSecuritiesIndicator <RtrScitiesInd>	[0..1]	Indicator		143

Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True
/AccountIdentification Must be absent

- **AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition
/AccountIdentification is present
Following Must be True
/BlockchainAddressOrWallet Must be absent

- **BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition
/SubAccountIdentification is present
Following Must be True
/InstructedBalance[*]/Balance/Quantity/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

6.4.8.4.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 391

6.4.8.4.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

6.4.8.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		134
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		135

6.4.8.4.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

6.4.8.4.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

6.4.8.4.4 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "Max35Text" on page 391

6.4.8.4.5 InstructedBalance <InstdBal>*Presence:* [1..15]*Definition:* Quantity of securities to which this instruction applies.**InstructedBalance <InstdBal>** contains the following **HoldingBalance12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		136
	BalanceType <BalTp>	[0..1]	CodeSet		136
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		137

6.4.8.4.5.1 Balance <Bal>*Presence:* [1..1]*Definition:* Total quantity of financial instrument for the referenced holding.**Balance <Bal>** contains the following elements (see "[SignedQuantityFormat15](#)" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		345
	Quantity <Qty>	[1..1]		C9	345
{Or	Unit <Unit>	[1..1]	Quantity		345
Or	FaceAmount <FaceAmt>	[1..1]	Amount		345
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		345
Or}	Code <Cd>	[1..1]	CodeSet		346

6.4.8.4.5.2 BalanceType <BalTp>*Presence:* [0..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.

CodeName	Name	Definition
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

6.4.8.4.5.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification <Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

6.4.8.4.6 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		138
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		139

6.4.8.4.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

6.4.8.4.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

6.4.8.4.7 PledgeDetails <PldgDtls>*Presence:* [0..1]*Definition:* Detailed information about the pledge.**PledgeDetails <PldgDtls>** contains the following **PledgeInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledger <Pldgr>	[1..1]			140
{Or	LegalPerson <LglPrsn>	[1..1]	±		140
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		141
	ThirdParty <ThrdPty>	[0..1]			141
	Role <Role>	[1..1]	CodeSet		142
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		142
	PledgeType <PldgTp>	[1..1]	±		143
	ReturnSecuritiesIndicator <RtrSctiesInd>	[0..1]	Indicator		143

6.4.8.4.7.1 Pledger <Pldgr>*Presence:* [1..1]*Definition:* Entity that provide assets to a counterparty as a guarantee.**Pledger <Pldgr>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		140
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		141

6.4.8.4.7.1.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

6.4.8.4.7.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

6.4.8.4.7.2 ThirdParty <ThrdPty>

Presence: [0..1]

Definition: Third party, usually a bank, involved in the pledge.

ThirdParty <ThrdPty> contains the following **ThirdPartyIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Role <Role>	[1..1]	CodeSet		142
	LegalPersonIdentification <LglPrsnld>	[0..1]	±		142

6.4.8.4.7.2.1 Role <Role>

Presence: [1..1]

Definition: Role played by the third party.

Datatype: "PartyRole3Code" on page 374

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

6.4.8.4.7.2.2 LegalPersonIdentification <LglPrsnld>

Presence: [0..1]

Definition: Identification of the third party legal entity.

LegalPersonIdentification <LglPrsnld> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

6.4.8.4.7.3 PledgeType <PldgTp>*Presence:* [1..1]*Definition:* Identifies the type of pledge.**PledgeType <PldgTp>** contains the following elements (see "[GenericIdentification36](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		261
	SchemeName <SchmeNm>	[0..1]	Text		261

6.4.8.4.7.4 ReturnSecuritiesIndicator <RtrSctiesInd>*Presence:* [0..1]*Definition:* Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.8.5 Proxy <Prxy>*Presence:* [0..1]*Definition:* Identification of the person appointed by the security holder as the proxy.*Impacted by:* [C16 "ProxyAppointment1Rule"](#)**Proxy <Prxy>** contains the following **Proxy12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		144
	PersonDetails <PrsnDtls>	[0..1]			144
	PreassignedProxy <PrssgndPrxy>	[0..1]			144
{Or	LegalPerson <LglPrsn>	[1..1]	±		144
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		145
	EmployingParty <EmplngPty>	[0..1]	±		145
	AttendanceCardDetails <AttdncCardDtls>	[1..1]	±	C14	146

Constraints• **ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition

/ProxyType is equal to value 'Discretionary'

Or /ProxyType is equal to value 'SecurityHolder'
 Following Must be True
 /PersonDetails Must be present

6.4.8.5.1 ProxyType <PrxyTp>

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType3Code" on page 376

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

6.4.8.5.2 PersonDetails <PrsnDtls>

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

PersonDetails <PrsnDtls> contains the following **IndividualPerson42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			144
{Or	LegalPerson <LglPrsn>	[1..1]	±		144
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		145
	EmployingParty <EmplngPty>	[0..1]	±		145
	AttendanceCardDetails <AttnDncCardDtls>	[1..1]	±	C14	146

6.4.8.5.2.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		144
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		145

6.4.8.5.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

6.4.8.5.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

6.4.8.5.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

6.4.8.5.2.3 AttendanceCardDetails <AttdncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: C14 "OtherAddressCode1Rule"

AttendanceCardDetails <AttdncCardDtls> contains the following elements (see "AttendanceCard3" on page 274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		275
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		275
	OtherAddress <OthrAdr>	[0..1]	±		275

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

6.4.8.6 VoteDetails <VoteDtls>

Presence: [0..1]

Definition: Detailed voting instructions.

VoteDetails <VoteDtls> contains the following **VoteDetails6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>	[1..1]			147
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]		C10	147
{Or	VoteInstruction <VoteInstr>	[1..1000]	±		148
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			149
	IssuerLabel <IssrLbl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		150
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			150
{Or	VoteIndication <VoteIndctn>	[1..1]	±		150
Or}	Shareholder <Shrhldr>	[1..1]	±		150

6.4.8.6.1 VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>

Presence: [1..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

VoteInstructionForAgendaResolution <VoteInstrForAgndRsln> contains one of the following **Vote15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]		C10	147
{Or	VoteInstruction <VoteInstr>	[1..1000]	±		148
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			149
	IssuerLabel <IssrLbl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		150

6.4.8.6.1.1 VotePerAgendaResolution <VotePerAgndRsln>

Presence: [1..1]

Definition: Vote instruction is provided individually for each agenda resolution.

Impacted by: C10 "InFavourListingGroup1Rule"

VotePerAgendaResolution <VotePerAgndRsIttn> contains one of the following **Vote16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteInstruction <VoteInstr>	[1..1000]	±		148
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			149
	IssuerLabel <IssrLbl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149

Constraints

- **InFavourListingGroup1Rule**

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

6.4.8.6.1.1.1 VoteInstruction <VoteInstr>

Presence: [1..1000]

Definition: Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

VoteInstruction <VoteInstr> contains the following elements (see "Vote14" on page 263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		264
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		264
	For <For>	[0..1]	±		264
	Against <Agnst>	[0..1]	±		265
	Abstain <Abstn>	[0..1]	±		265
	Withhold <Wthhld>	[0..1]	±		265
	WithManagement <WthMgmt>	[0..1]	±		265
	AgainstManagement <AgnstMgmt>	[0..1]	±		266
	Discretionary <Dscrtnry>	[0..1]	±		266
	OneYear <OneYr>	[0..1]	±		266
	TwoYears <TwoYrs>	[0..1]	±		266
	ThreeYears <ThreeYrs>	[0..1]	±		267
	NoAction <NoActn>	[0..1]	±		267
	Blank <Blnk>	[0..1]	±		267
	Proprietary <Prtry>	[0..4]			267
	Code <Cd>	[1..1]	±		268
	Quantity <Qty>	[1..1]	±		268

6.4.8.6.1.1.2 GlobalVoteInstruction <GblVoteInstr>

Presence: [1..1000]

Definition: Instruction specifying a vote instruction per resolution for the entire entitlement.

GlobalVoteInstruction <GblVoteInstr> contains the following **Vote15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		149
	VoteOption <VoteOptn>	[1..1]	±		149

6.4.8.6.1.1.2.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 391

6.4.8.6.1.1.2.2 VoteOption <VoteOptn>

Presence: [1..1]

Definition: Vote instructed.

VoteOption <VoteOptn> contains one of the following elements (see "[VoteInstructionType2Choice](#)" on page 262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		262
Or}	Proprietary <Prtry>	[1..1]	±		263

6.4.8.6.1.2 VoteForAllAgendaResolutions <VoteForAllAgndRsItns>

Presence: [1..1]

Definition: One single vote instruction is provided to cover all agenda resolutions.

VoteForAllAgendaResolutions <VoteForAllAgndRsItns> contains one of the following elements (see "[VoteInstructionType1Choice](#)" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		284
Or}	Proprietary <Prtry>	[1..1]	±		285

6.4.8.6.2 VoteInstructionForMeetingResolution <VoteInstrForMtgRsIttn>

Presence: [0..1]

Definition: Vote instructions for the resolutions that may arise or may be amended at the meeting but were not previously provided in the agenda.

VoteInstructionForMeetingResolution <VoteInstrForMtgRsIttn> contains one of the following **VoteInstructionForMeetingResolution3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteIndication <VoteIndctn>	[1..1]	±		150
Or}	Shareholder <Shrhldr>	[1..1]	±		150

6.4.8.6.2.1 VoteIndication <VoteIndctn>

Presence: [1..1]

Definition: Vote recommendation for resolutions added during the meeting.

VoteIndication <VoteIndctn> contains one of the following elements (see "[VoteInstructionType1Choice](#)" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		284
Or}	Proprietary <Prtry>	[1..1]	±		285

6.4.8.6.2.2 Shareholder <Shrhldr>

Presence: [1..1]

Definition: Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

Shareholder <Shrhldr> contains the following elements (see "NameAndAddress9" on page 341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]	±	C19	341

6.4.8.7 MeetingAttendee <MtgAttndee>

Presence: [0..*]

Definition: Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

MeetingAttendee <MtgAttndee> contains the following **IndividualPerson41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			151
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		152
	ParticipationMethod <PrtcpnMtd>	[0..1]	CodeSet		152
	EmployingParty <EmplngPty>	[0..1]	±		153
	AttendanceCardDetails <AttdncCardDtls>	[1..1]	±	C14	153

6.4.8.7.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

Identification <Id> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		152

6.4.8.7.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "[PartyIdentification221](#)" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

6.4.8.7.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "[PartyIdentification238](#)" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

6.4.8.7.2 ParticipationMethod <PrtcptnMtd>

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

Datatype: "[VotingParticipationMethod2Code](#)" on page 385

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

6.4.8.7.3 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

6.4.8.7.4 AttendanceCardDetails <AttdncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: C14 "OtherAddressCode1Rule"

AttendanceCardDetails <AttdncCardDtls> contains the following elements (see "AttendanceCard3" on page 274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		275
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		275
	OtherAddress <OthrAdr>	[0..1]	±		275

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

6.4.8.8 SpecificInstructionRequest <SpfcInstrReq>

Presence: [0..1]

Definition: Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

SpecificInstructionRequest <SpfcInstrReq> contains the following **SpecificInstructionRequest4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcpnMtd>	[0..1]	±		154
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		154

6.4.8.8.1 ParticipationMethod <PrtcpnMtd>

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcpnMtd> contains one of the following elements (see "[ParticipationMethod3Choice](#)" on page 272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		272
Or}	Proprietary <Prtry>	[1..1]	±		273

6.4.8.8.2 SecuritiesRegistration <SctiesRegn>

Presence: [0..1]

Definition: Indicates a request to register the securities for the meeting.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.9 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C20 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.005.001.09**

MeetingInstructionCancellationRequestV09

7.1 **MessageDefinition Functionality**

Scope

The MeetingInstructionCancellationRequest message is sent by the same party that sent the

MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.

Usage

This message must be answered by a MeetingInstructionStatus message.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionCancellationRequestV09 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

B. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrCxlReq>	[1..1]		C6	
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		158
	MeetingReference <MtgRef>	[1..1]			158
	MeetingIdentification <MtgId>	[1..1]	Text		158
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		159
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		159
	Type <Tp>	[1..1]	CodeSet		159
	Classification <Clssfctn>	[0..1]	±		159
	Location <Lctn>	[0..5]	±		160
	Issuer <Issr>	[0..1]	±		160
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C13	160
	ToBeCancelledInstruction <ToBeCancInstr>	[0..*]			161
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		162
	InstructedPosition <InstdPos>	[0..1]		C1, C2, C3, C5, C14	162
	AccountIdentification <AcctId>	[0..1]	Text		164
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		164
	AccountOwner <AcctOwnr>	[0..1]			164
{Or	LegalPerson <LglPrsn>	[1..1]	±		164
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		165
	SubAccountIdentification <SubAcctId>	[0..1]	Text		165
	InstructedBalance <InstdBal>	[1..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[0..1]	CodeSet		166
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	RightsHolder <RghtsHldr>	[0..250]			168
{Or	LegalPerson <LglPrsn>	[1..1]	±		168
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		169

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C15	170

7.3 Constraints

C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BalanceQall1Rule

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C6 Cancellation3Guideline

It is recommended that the request to cancel a meeting instruction with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

This constraint is defined at the MessageDefinition level.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C9 DescriptionUsageRule

Description must be used alone as the last resort.

C10 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C14 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

Datatype: "Max35Text" on page 391

7.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		158
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		159
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		159
	Type <Tp>	[1..1]	CodeSet		159
	Classification <Clssfctn>	[0..1]	±		159
	Location <Lctn>	[0..5]	±		160
	Issuer <Issr>	[0..1]	±		160

7.4.2.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

7.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

7.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

7.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

7.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

7.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

7.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

7.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C8 "DescriptionPresenceRule", C9 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C13 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrlId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

7.4.4 ToBeCancelledInstruction <ToBeCancelInstr>

Presence: [0..*]

Definition: Specifies one or more instructions for which the cancellation is requested.

ToBeCancelledInstruction <ToBeCancInstr> contains the following **CancellInstruction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		162
	InstructedPosition <InstdPos>	[0..1]		C1, C2, C3, C5, C14	162
	AccountIdentification <AcctId>	[0..1]	Text		164
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		164
	AccountOwner <AcctOwnr>	[0..1]			164
{Or	LegalPerson <LglPrsn>	[1..1]	±		164
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		165
	SubAccountIdentification <SubAcctId>	[0..1]	Text		165
	InstructedBalance <InstdBal>	[1..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[0..1]	CodeSet		166
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	RightsHolder <RghtsHldr>	[0..250]			168
{Or	LegalPerson <LglPrsn>	[1..1]	±		168
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		169

7.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction previously sent.

Datatype: "Max35Text" on page 391

7.4.4.2 InstructedPosition <InstdPos>

Presence: [0..1]

Definition: Account and instructed positions to which the meeting instruction cancellation request applies.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C5 "BalanceQall1Rule", C14 "RightsHolderMultiplicity1Guideline"

InstructedPosition <InstdPos> contains the following **SafekeepingAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		164
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		164
	AccountOwner <AcctOwnr>	[0..1]			164
{Or	LegalPerson <LglPrsn>	[1..1]	±		164
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		165
	SubAccountIdentification <SubAcctId>	[0..1]	Text		165
	InstructedBalance <InstdBal>	[1..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[0..1]	CodeSet		166
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	RightsHolder <RghtsHldr>	[0..250]			168
{Or	LegalPerson <LglPrsn>	[1..1]	±		168
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		169

Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True
/InstructedBalance[*]/Balance/Quantity/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

7.4.4.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 391

7.4.4.2.2 BlockchainAddressOrWallet <BlickChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

7.4.4.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		164
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		165

7.4.4.2.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

7.4.4.2.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

7.4.4.2.4 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "Max35Text" on page 391

7.4.4.2.5 InstructedBalance <InstdBal>*Presence:* [1..15]*Definition:* Quantity of securities in the sub-balance.**InstructedBalance <InstdBal>** contains the following **HoldingBalance12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[0..1]	CodeSet		166
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167

7.4.4.2.5.1 Balance <Bal>*Presence:* [1..1]*Definition:* Total quantity of financial instrument for the referenced holding.**Balance <Bal>** contains the following elements (see "[SignedQuantityFormat15](#)" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		345
	Quantity <Qty>	[1..1]		C9	345
{Or	Unit <Unit>	[1..1]	Quantity		345
Or	FaceAmount <FaceAmt>	[1..1]	Amount		345
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		345
Or}	Code <Cd>	[1..1]	CodeSet		346

7.4.4.2.5.2 BalanceType <BalTp>*Presence:* [0..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.

CodeName	Name	Definition
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

7.4.4.2.5.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification <Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

7.4.4.2.6 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		168
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		169

7.4.4.2.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

7.4.4.2.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

7.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C15 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8 **seev.006.001.10** **MeetingInstructionStatusV10**

8.1 **MessageDefinition Functionality**

Scope

The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.

The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.

Usage

The MeetingInstructionStatus message is used for four purposes.

First, it is used to provide a global processing or rejection status of a MeetingInstruction message.

Second, it provides the status on the processing of a MeetingInstructionCancellationRequest message, for example, whether the request message is rejected or accepted.

Third, it is used to provide a detailed processing or rejection status of one or more instructions within the MeetingInstruction message, for example, for each instruction in the MeetingInstruction message the processing or rejection status is individually reported by using the SingleInstructionIdentification element. This identification allows the receiver of the status message to link the status confirmation to its original instruction.

Fourth, it is used to confirm that the related vote instruction has been confirmed as cast by the issuer or its agent.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionStatusV10 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. InstructionType
Type of instruction.
- B. MeetingReference
Set of elements to allow the unambiguous identification of a meeting.
- C. FinancialInstrumentIdentification
Security for which the meeting is organised.
- D. InstructionTypeStatus
Type of instruction status.
- E. Position
Balance status for securities that are eligible for the vote.
- F. ConfirmingParty

Party that confirms the receipt of the vote cast.

G. VoteCastingParty

Party that cast the voting ballot.

H. RightsHolder

Owner of the voting rights.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <MtgInstrSts>	[1..1]		C19, C20	
	InstructionType <InstrTp>	[1..1]			176
{Or	InstructionIdentification <InstrId>	[1..1]	Text		176
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		176
	MeetingReference <MtgRef>	[1..1]			177
	MeetingIdentification <MtgId>	[1..1]	Text		177
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		177
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		177
	Type <Tp>	[1..1]	CodeSet		177
	Classification <Clssfctn>	[0..1]	±		178
	Location <Lctn>	[0..5]	±		178
	Issuer <Issr>	[0..1]	±		179
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C12, C13, C16, C17, C18	179
	InstructionTypeStatus <InstrTpSts>	[1..1]			180
{Or	InstructionStatus <InstrSts>	[1..*]		C21	181
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		182
	AccountIdentification <AcctId>	[0..1]	Text		182
	SubAccountIdentification <SubAcctId>	[0..1]	Text		182
	InstructionStatus <InstrSts>	[1..1]			182
{Or	ProcessingStatus <PrpgSts>	[1..1]	±	C10	182
Or	Rejected <Rjctd>	[1..1]	±		183
Or}	Pending <Pdg>	[1..1]	±		183
Or}	CancellationStatus <CxISts>	[1..1]	±		183
	Position <Pos>	[0..1]		C1, C2, C3, C15	184
	AccountIdentification <AcctId>	[0..1]	Text		185
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		185
	AccountOwner <AcctOwnr>	[0..1]			185

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		185
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		186
	HoldingBalance <HldgBal>	[1..3]			186
	Balance <Bal>	[1..1]	±		187
	BalanceType <BalTp>	[1..1]	CodeSet		187
	ConfirmingParty <CnfrmgPty>	[1..1]			187
{Or	LegalPerson <LglPrsn>	[1..1]	±		188
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		188
	VoteCastingParty <VoteCstgPty>	[1..1]			189
{Or	LegalPerson <LglPrsn>	[1..1]	±		189
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		190
	RightsHolder <RghtsHldr>	[0..250]			191
{Or	LegalPerson <LglPrsn>	[1..1]	±		191
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		192
	SupplementaryData <SplmtryData>	[0..*]	±	C23	193

8.3 Constraints

C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

C4 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AdditionalReasonInformationRule

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 AttendanceCardNumber1Rule

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

C11 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C15 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C16 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 Status1Rule

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present.

On Condition

/InstructionType/InstructionIdentification is present

Following Must be True

/InstructionTypeStatus/InstructionStatus[*] Must be present

This constraint is defined at the MessageDefinition level.

C20 Status2Rule

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present.

On Condition

/InstructionType/InstructionCancellationIdentification is present

Following Must be True

/InstructionTypeStatus/CancellationStatus Must be present

This constraint is defined at the MessageDefinition level.

C21 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C22 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C23 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 InstructionType <InstrTp>

Presence: [1..1]

Definition: Type of instruction.

InstructionType <InstrTp> contains one of the following **InstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionIdentification <InstrId>	[1..1]	Text		176
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		176

8.4.1.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

Datatype: "Max35Text" on page 391

8.4.1.2 InstructionCancellationIdentification <InstrCxId>

Presence: [1..1]

Definition: Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

Datatype: "Max35Text" on page 391

8.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		177
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		177
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		177
	Type <Tp>	[1..1]	CodeSet		177
	Classification <Clssfctn>	[0..1]	±		178
	Location <Lctn>	[0..5]	±		178
	Issuer <Issr>	[0..1]	±		179

8.4.2.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

8.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

8.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODatetime" on page 386

8.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example,

CodeName	Name	Definition
		approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

8.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

8.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

8.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

8.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C16 "ISINGuideline", C17 "ISINPresenceRule", C18 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrlId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

8.4.4 InstructionTypeStatus <InstrTpSts>

Presence: [1..1]

Definition: Type of instruction status.

InstructionTypeStatus <InstrTpSts> contains one of the following **InstructionTypeStatus6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionStatus <InstrSts>	[1..*]		C21	181
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		182
	AccountIdentification <AcctId>	[0..1]	Text		182
	SubAccountIdentification <SubAcctId>	[0..1]	Text		182
	InstructionStatus <InstrSts>	[1..1]			182
{Or	ProcessingStatus <PrcgSts>	[1..1]	±	C10	182
Or	Rejected <Rjctd>	[1..1]	±		183
Or}	Pending <Pdg>	[1..1]	±		183
Or}	CancellationStatus <CxlSts>	[1..1]	±		183

8.4.4.1 InstructionStatus <InstrSts>

Presence: [1..*]

Definition: Status of each individual meeting instruction(s) included in the instruction message identified in InstructionType/InstructionIdentification.

Impacted by: C21 "SubAccount1Rule"

InstructionStatus <InstrSts> contains the following **DetailedInstructionStatus20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		182
	AccountIdentification <AcctId>	[0..1]	Text		182
	SubAccountIdentification <SubAcctId>	[0..1]	Text		182
	InstructionStatus <InstrSts>	[1..1]			182
{Or	ProcessingStatus <PrcgSts>	[1..1]	±	C10	182
Or	Rejected <Rjctd>	[1..1]	±		183
Or}	Pending <Pdg>	[1..1]	±		183

Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True
/AccountIdentification Must be present

8.4.4.1.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message element InstructionIdentification, for which the status is provided.

Datatype: "Max35Text" on page 391

8.4.4.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

8.4.4.1.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 391

8.4.4.1.4 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of the individual meeting instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionStatus12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±	C10	182
Or	Rejected <Rjctd>	[1..1]	±		183
Or}	Pending <Pdg>	[1..1]	±		183

8.4.4.1.4.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction.

Impacted by: C10 "AttendanceCardNumber1Rule"

ProcessingStatus <PrcgSts> contains the following elements (see "InstructionProcessingStatus5" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		274
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		274
	AdditionalInformation <AddtlInf>	[0..1]	Text		274

Constraints

- **AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True

/Status Must be equal to value 'AttendanceConfirmed'

8.4.4.1.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction and on the reason for the rejection.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus55Choice](#)" on page 351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		352
Or}	Reason <Rsn>	[1..*]		C5	352
	ReasonCode <RsnCd>	[1..1]			352
{Or	Code <Cd>	[1..1]	CodeSet		353
Or}	Proprietary <Prtry>	[1..1]	±		354
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		354

8.4.4.1.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Status advising that the instruction is pending and advising on the reason for the pending status.

Pending <Pdg> contains one of the following elements (see "[PendingStatus70Choice](#)" on page 350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		350
Or}	Reason <Rsn>	[1..*]		C6	351
	ReasonCode <RsnCd>	[1..1]	±		351
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		351

8.4.4.2 CancellationStatus <CxlSts>

Presence: [1..1]

Definition: Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/ InstructionCancellationIdentification.

CancellationStatus <CxISts> contains one of the following elements (see "CancellationStatus27Choice" on page 355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]	±		356
Or}	DetailedCancellationStatus <DtldCxISts>	[1..*]		C22	356
	SingleInstructionCancellationIdentification <SnglInstrCxIld>	[1..1]	Text		357
	AccountIdentification <AcctId>	[0..1]	Text		357
	SubAccountIdentification <SubAcctId>	[0..1]	Text		357
	InstructionCancellationStatus <InstrCxISts>	[1..1]	±		357

8.4.5 Position <Pos>

Presence: [0..1]

Definition: Balance status for securities that are eligible for the vote.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C15 "HoldingBalanceTypeRule"

Position <Pos> contains the following **EligiblePosition17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		185
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		185
	AccountOwner <AcctOwnr>	[0..1]			185
{Or	LegalPerson <LglPrsn>	[1..1]	±		185
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		186
	HoldingBalance <HldgBal>	[1..3]			186
	Balance <Bal>	[1..1]	±		187
	BalanceType <BalTp>	[1..1]	CodeSet		187

Constraints

- AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition
 /BlockchainAddressOrWallet is present
 Following Must be True
 /AccountIdentification Must be absent

- **AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition
 /AccountIdentification is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

8.4.5.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

8.4.5.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

8.4.5.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		185
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		186

8.4.5.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

8.4.5.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

8.4.5.4 HoldingBalance <HldgBal>

Presence: [1..3]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		187
	BalanceType <BalTp>	[1..1]	CodeSet		187

8.4.5.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat14](#)" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

8.4.5.4.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType3Code](#)" on page 381

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

8.4.6 ConfirmingParty <CnfrmgPty>

Presence: [1..1]

Definition: Party that confirms the receipt of the vote cast.

ConfirmingParty <CnfrmgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		188
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		188

8.4.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification224](#)" on page 308 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			309
	Name <Nm>	[1..1]	Text		310
	Address <Adr>	[0..1]			310
	AddressType <AdrTp>	[0..1]	CodeSet		310
	AddressLine <AdrLine>	[0..5]	Text		310
	StreetName <StrtNm>	[0..1]	Text		311
	BuildingNumber <BldgNb>	[0..1]	Text		311
	PostBox <PstBx>	[0..1]	Text		311
	PostCode <PstCd>	[0..1]	Text		311
	TownName <TwnNm>	[0..1]	Text		311
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		311
	Country <Ctry>	[1..1]	CodeSet	C3	311
	EmailAddress <EmailAdr>	[0..1]	Text		312
	Identification <Id>	[0..1]	±		312

8.4.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification222" on page 313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			314
	FirstName <FrstNm>	[1..1]	Text		315
	Surname <SrnM>	[1..1]	Text		315
	Address <Adr>	[0..1]			315
	AddressType <AdrTp>	[0..1]	CodeSet		316
	AddressLine <AdrLine>	[0..5]	Text		316
	StreetName <StrtNm>	[0..1]	Text		316
	BuildingNumber <BldgNb>	[0..1]	Text		316
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		317
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		317
	Country <Ctry>	[1..1]	CodeSet	C3	317
	EmailAddress <EmailAdr>	[0..1]	Text		317
	Identification <Id>	[1..1]	±		317

8.4.7 VoteCastingParty <VoteCstgPty>

Presence: [1..1]

Definition: Party that cast the voting ballot.

VoteCastingParty <VoteCstgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		189
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		190

8.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 308 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			309
	Name <Nm>	[1..1]	Text		310
	Address <Adr>	[0..1]			310
	AddressType <AdrTp>	[0..1]	CodeSet		310
	AddressLine <AdrLine>	[0..5]	Text		310
	StreetName <StrtNm>	[0..1]	Text		311
	BuildingNumber <BldgNb>	[0..1]	Text		311
	PostBox <PstBx>	[0..1]	Text		311
	PostCode <PstCd>	[0..1]	Text		311
	TownName <TwnNm>	[0..1]	Text		311
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		311
	Country <Ctry>	[1..1]	CodeSet	C3	311
	EmailAddress <EmailAdr>	[0..1]	Text		312
	Identification <Id>	[0..1]	±		312

8.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification222" on page 313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			314
	FirstName <FrstNm>	[1..1]	Text		315
	Surname <Srm>	[1..1]	Text		315
	Address <Adr>	[0..1]			315
	AddressType <AdrTp>	[0..1]	CodeSet		316
	AddressLine <AdrLine>	[0..5]	Text		316
	StreetName <StrtNm>	[0..1]	Text		316
	BuildingNumber <BldgNb>	[0..1]	Text		316
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		317
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		317
	Country <Ctry>	[1..1]	CodeSet	C3	317
	EmailAddress <EmailAdr>	[0..1]	Text		317
	Identification <Id>	[1..1]	±		317

8.4.8 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		191
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		192

8.4.8.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

8.4.8.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

8.4.9 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C23 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.007.001.10**

MeetingVoteExecutionConfirmationV10

9.1 **MessageDefinition Functionality**

Scope

The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.

Usage

This message is sent after the shareholders meeting has taken place. The Sender of this message confirms the execution of the vote at the meeting.

This messages is sent if the Sender of the MeetingInstruction message has requested such a confirmation or if market practice or regulation stipulates the need for a full audit trail.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingVoteExecutionConfirmationV10 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

B. VoteExecutionConfirmationIdentification

Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

C. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the vote execution confirmation is provided.

D. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

E. FinancialInstrumentIdentification

Security for which the meeting is organised.

F. VoteInstructions

Specifies how a party has voted for each agenda item.

G. VoteInstructionsConfirmationURLAddress

Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgVoteExctnConf>	[1..1]			
	Pagination <Pgntn>	[0..1]	±		198
	VoteExecutionConfirmationIdentification <VoteExctnConfId>	[0..1]	Text		198
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		199
	MeetingReference <MtgRef>	[1..1]			199
	MeetingIdentification <MtgId>	[1..1]	Text		199
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		199
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		199
	Type <Tp>	[1..1]	CodeSet		200
	Classification <Clssfctn>	[0..1]	±		200
	Location <Lctn>	[0..5]	±		200
	Issuer <Issr>	[0..1]	±		201
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	201
	VoteInstructions <VoteInstrs>	[0..*]		C8, C9	202
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		205
	AccountIdentification <AcctId>	[0..1]	Text		205
	AccountOwner <AcctOwnr>	[0..1]			205
{Or	LegalPerson <LglPrsn>	[1..1]	±		205
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		206
	SubAccountIdentification <SubAcctId>	[0..1]	Text		206
	RightsHolder <RghtsHldr>	[0..250]			207
{Or	LegalPerson <LglPrsn>	[1..1]	±		207
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		207
	Proxy <Prxy>	[0..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]	±		208
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		209
	StandingInstruction <StglInstr>	[0..1]	Indicator		209
	ModalityOfCounting <ModltyOfCntg>	[1..1]	±		210
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		210

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	VotePerResolution <VotePerRsln>	[0..1000]			210
	IssuerLabel <IssrLbl>	[1..1]	Text		211
	Description <Desc>	[0..*]			211
	Language <Lang>	[1..1]	CodeSet		212
	Title <Titl>	[0..1]	Text		212
	Description <Desc>	[0..*]	Text		212
	SubStatus <SubSts>	[0..1]	CodeSet		212
	For <For>	[0..1]	±		212
	Against <Agnst>	[0..1]	±		213
	Abstain <Abstn>	[0..1]	±		213
	Withhold <Wthhld>	[0..1]	±		213
	WithManagement <WthMgmt>	[0..1]	±		213
	AgainstManagement <AgnstMgmt>	[0..1]	±		214
	Discretionary <Dscrtnry>	[0..1]	±		214
	OneYear <OneYr>	[0..1]	±		214
	TwoYears <TwoYrs>	[0..1]	±		214
	ThreeYears <ThreeYrs>	[0..1]	±		215
	NoAction <NoActn>	[0..1]	±		215
	Blank <Blnk>	[0..1]	±		215
	Proprietary <Prtry>	[0..4]	±		215
	Withdrawn <Wdrwn>	[0..1]	Indicator		216
	VoteInstructionsConfirmationURLAddress <VoteInstrsConfURLAdr>	[0..1]	Text		216
	SupplementaryData <SplmtryData>	[0..*]	±	C10	216

9.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C9 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

Pagination <Pgntn> contains the following elements (see ["Pagination1" on page 288](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		288
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		289

9.4.2 VoteExecutionConfirmationIdentification <VoteExctnConfId>

Presence: [0..1]

Definition: Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 391

9.4.3 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the vote execution confirmation is provided.

Datatype: "Max35Text" on page 391

9.4.4 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		199
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		199
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		199
	Type <Tp>	[1..1]	CodeSet		200
	Classification <Clssfctn>	[0..1]	±		200
	Location <Lctn>	[0..5]	±		200
	Issuer <Issr>	[0..1]	±		201

9.4.4.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

9.4.4.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

9.4.4.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

9.4.4.4 Type <Tp>*Presence:* [1..1]*Definition:* Type of meeting for which instructions are sent.*Datatype:* "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

9.4.4.5 Classification <Clssfctn>*Presence:* [0..1]*Definition:* Classification type of the meeting.**Classification <Clssfctn>** contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

9.4.4.6 Location <Lctn>*Presence:* [0..5]*Definition:* Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

9.4.4.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

9.4.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrlId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

9.4.6 VoteInstructions <VoteInstrs>

Presence: [0..*]

Definition: Specifies how a party has voted for each agenda item.

Impacted by: C8 "RightsHolderMultiplicity1Guideline", C9 "SubAccount1Rule"

VoteInstructions <VoteInstrs> contains the following **DetailedInstructionStatus19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		205
	AccountIdentification <AcctId>	[0..1]	Text		205
	AccountOwner <AcctOwnr>	[0..1]			205
{Or	LegalPerson <LglPrsn>	[1..1]	±		205
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		206
	SubAccountIdentification <SubAcctId>	[0..1]	Text		206
	RightsHolder <RghtsHldr>	[0..250]			207
{Or	LegalPerson <LglPrsn>	[1..1]	±		207
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		207
	Proxy <Prxy>	[0..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]	±		208
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		209
	StandingInstruction <StglInstr>	[0..1]	Indicator		209
	ModalityOfCounting <ModltyOfCntg>	[1..1]	±		210
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		210
	VotePerResolution <VotePerRsln>	[0..1000]			210
	IssuerLabel <IssrLbl>	[1..1]	Text		211
	Description <Desc>	[0..*]			211
	Language <Lang>	[1..1]	CodeSet		212
	Title <Titl>	[0..1]	Text		212
	Description <Desc>	[0..*]	Text		212
	SubStatus <SubSts>	[0..1]	CodeSet		212
	For <For>	[0..1]	±		212
	Against <Agnst>	[0..1]	±		213
	Abstain <Abstn>	[0..1]	±		213
	Withhold <Wthhld>	[0..1]	±		213
	WithManagement <WthMgmt>	[0..1]	±		213
	AgainstManagement <AgnstMgmt>	[0..1]	±		214
	Discretionary <Dscrtnry>	[0..1]	±		214
	OneYear <OneYr>	[0..1]	±		214
	TwoYears <TwoYrs>	[0..1]	±		214

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThreeYears <ThreeYrs>	[0..1]	±		215
	NoAction <NoActn>	[0..1]	±		215
	Blank <Blnk>	[0..1]	±		215
	Proprietary <Prtry>	[0..4]	±		215
	Withdrawn <Wdrwn>	[0..1]	Indicator		216

Constraints

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

```
On Condition
  /SubAccountIdentification is present
Following Must be True
  /AccountIdentification Must be present
```

9.4.6.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

Datatype: "Max35Text" on page 391

9.4.6.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the safekeeping account.

Datatype: "Max35Text" on page 391

9.4.6.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		205
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		206

9.4.6.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

9.4.6.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

9.4.6.4 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 391

9.4.6.5 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		207
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		207

9.4.6.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification269](#)" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

9.4.6.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

9.4.6.6 Proxy <Prxy>

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

Proxy <Prxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		208
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		209

9.4.6.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

9.4.6.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

9.4.6.7 StandingInstruction <StgInstr>

Presence: [0..1]

Definition: Indicates whether standing instructions have been applied or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

9.4.6.8 ModalityOfCounting <ModltyOfCntg>

Presence: [1..1]

Definition: Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.

ModalityOfCounting <ModltyOfCntg> contains one of the following elements (see "ModalityOfCounting1Choice" on page 283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

9.4.6.9 VoteReceiptDateTime <VoteRctDtTm>

Presence: [0..1]

Definition: Date or date and time at which the votes that have been recorded and counted were received.

VoteReceiptDateTime <VoteRctDtTm> contains one of the following elements (see "DateAndDateTime1Choice" on page 243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateTime <DtTm>	[1..1]	DateTime		244

9.4.6.10 VotePerResolution <VotePerRsln>

Presence: [0..1000]

Definition: Details of the vote.

VotePerResolution <VotePerRsIttn> contains the following **Vote19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		211
	Description <Desc>	[0..*]			211
	Language <Lang>	[1..1]	CodeSet		212
	Title <Titl>	[0..1]	Text		212
	Description <Desc>	[0..*]	Text		212
	SubStatus <SubSts>	[0..1]	CodeSet		212
	For <For>	[0..1]	±		212
	Against <Agnst>	[0..1]	±		213
	Abstain <Abstn>	[0..1]	±		213
	Withhold <Wthhld>	[0..1]	±		213
	WithManagement <WthMgmt>	[0..1]	±		213
	AgainstManagement <AgnstMgmt>	[0..1]	±		214
	Discretionary <Dscrtnry>	[0..1]	±		214
	OneYear <OneYr>	[0..1]	±		214
	TwoYears <TwoYrs>	[0..1]	±		214
	ThreeYears <ThreeYrs>	[0..1]	±		215
	NoAction <NoActn>	[0..1]	±		215
	Blank <Blnk>	[0..1]	±		215
	Proprietary <Prtry>	[0..4]	±		215
	Withdrawn <Wdrwn>	[0..1]	Indicator		216

9.4.6.10.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 391

9.4.6.10.2 Description <Desc>

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

Description <Desc> contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		212
	Title <Titl>	[0..1]	Text		212
	Description <Desc>	[0..*]	Text		212

9.4.6.10.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 371

9.4.6.10.2.2 Title <Titl>

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max1025Text" on page 390

9.4.6.10.2.3 Description <Desc>

Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: "Max8000Text" on page 393

9.4.6.10.3 SubStatus <SubSts>

Presence: [0..1]

Definition: Specifies whether the resolution is a new or an amended resolution.

Datatype: "ResolutionSubStatus1Code" on page 379

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

9.4.6.10.4 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.5 Against <Agnst>*Presence:* [0..1]*Definition:* Number of votes against one resolution.**Against <Agnst>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.6 Abstain <Abstn>*Presence:* [0..1]*Definition:* Number of votes expressed as abstain for one resolution.**Abstain <Abstn>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.7 Withhold <Wthhld>*Presence:* [0..1]*Definition:* Number of votes withheld for one resolution.**Withhold <Wthhld>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.8 WithManagement <WthMgmt>*Presence:* [0..1]*Definition:* Number of votes in line with the votes of the management.**WithManagement <WthMgmt>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.9 AgainstManagement <AgnstMgmt>*Presence:* [0..1]*Definition:* Number of votes against the voting recommendation of the management.**AgainstManagement <AgnstMgmt>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.10 Discretionary <Dscrtnry>*Presence:* [0..1]*Definition:* Number of votes for which decision is left to the party that will exercise the voting right.**Discretionary <Dscrtnry>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.11 OneYear <OneYr>*Presence:* [0..1]*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.**OneYear <OneYr>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.12 TwoYears <TwoYrs>*Presence:* [0..1]*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.**TwoYears <TwoYrs>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.13 ThreeYears <ThreeYrs>*Presence:* [0..1]*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.**ThreeYears <ThreeYrs>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.14 NoAction <NoActn>*Presence:* [0..1]*Definition:* Number of votes for which no action has been taken.**NoAction <NoActn>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.15 Blank <Blnk>*Presence:* [0..1]*Definition:* Vote is cast as empty but the vote is counted.**Blank <Blnk>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

9.4.6.10.16 Proprietary <Prtry>*Presence:* [0..4]*Definition:* Other type of vote expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "ProprietaryVote2" on page 278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		278
	Quantity <Qty>	[1..1]	±		278

9.4.6.10.17 Withdrawn <Wdrwn>

Presence: [0..1]

Definition: Indicates the resolution was withdrawn at the meeting.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

**9.4.7 VoteInstructionsConfirmationURLAddress
<VoteInstrsConfURLAdr>**

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

Datatype: ["Max2048Text"](#) on page 391

9.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: [C10 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.008.001.09**

MeetingResultDisseminationV09

10.1 **MessageDefinition Functionality**

Scope

The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

Usage

The MeetingResultDissemination message is used to provide the vote results per resolution. It may also provide information on the level of participation.

This message is also used to notify an update or amendment to a previously sent MeetingResultDissemination message.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingResultDisseminationV09 MessageDefinition is composed of 10 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

B. MeetingResultDisseminationIdentification

Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

C. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

D. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

E. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

F. Security

Securities for which the meeting is organised.

G. VoteResult

Results per resolution.

H. Participation

Information about the participation to the voting process.

I. AdditionalInformation

Information on where additional information can be received.

J. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgRsItDssmtn>	[1..1]		C13	
	Pagination <Pgntn>	[0..1]	±		222
	MeetingResultDisseminationIdentification <MtgRsItDssmtnId>	[0..1]	Text		222
	MeetingResultsDisseminationType <MtgRsItsDssmtnTp>	[1..1]	CodeSet		222
	PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmtnId>	[0..1]	Text		223
	MeetingReference <MtgRef>	[1..1]			223
	MeetingIdentification <MtgId>	[1..1]	Text		223
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		223
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		223
	Type <Tp>	[1..1]	CodeSet		223
	Classification <Clssfctn>	[0..1]	±		224
	Location <Lctn>	[0..5]	±		224
	Issuer <Issr>	[0..1]	±		225
	Security <Scty>	[1..200]			225
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	226
	Position <Pos>	[0..1000]		C1, C2, C3, C9, C14	227
	AccountIdentification <AcctId>	[0..1]	Text		229
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		229
	AccountOwner <AcctOwnr>	[0..1]			229
{Or	LegalPerson <LglPrsn>	[1..1]	±		229
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		230
	HoldingBalance <HldgBal>	[0..15]			230
	Balance <Bal>	[1..1]	±		231
	BalanceType <BalTp>	[1..1]	CodeSet		231
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		232
	Date <Dt>	[0..1]	Date		233
	RightsHolder <RghtsHldr>	[0..250]			233

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		234
	VoteResult <VoteRslt>	[1..1000]			235
	IssuerLabel <IssrLbl>	[1..1]	Text		235
	Description <Desc>	[0..*]			235
	Language <Lang>	[1..1]	CodeSet		236
	Title <Titl>	[0..1]	Text		236
	Description <Desc>	[0..*]	Text		236
	ResolutionStatus <RsltnSts>	[1..1]	CodeSet		236
	SubStatus <SubSts>	[0..1]	CodeSet		236
	For <For>	[0..1]	±		237
	Against <Agnst>	[0..1]	±		237
	Abstain <Abstn>	[0..1]	±		237
	Withhold <Wthhld>	[0..1]	±		237
	WithManagement <WthMgmt>	[0..1]	±		238
	AgainstManagement <AgnstMgmt>	[0..1]	±		238
	Discretionary <Dscrtnry>	[0..1]	±		238
	OneYear <OneYr>	[0..1]	±		238
	TwoYears <TwoYrs>	[0..1]	±		239
	ThreeYears <ThreeYrs>	[0..1]	±		239
	NoAction <NoActn>	[0..1]	±		239
	Blank <Blnk>	[0..1]	±		239
	Proprietary <Prtry>	[0..4]	±		240
	Participation <Prtcpn>	[0..1]			240
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		240
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		240
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>	[0..1]	±		241
	CalculationDate <ClctnDt>	[0..1]	Date		241
	AdditionalInformation <AddtlInf>	[0..1]	±		241
	SupplementaryData <SplmtryData>	[0..*]	±	C15	241

10.3 Constraints

C1 AccountIdentificationOrBlockchainAddress1Rule

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both.

C2 AccountIdentificationOrBlockchainAddress2Rule

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

C3 AccountIdentificationOrBlockchainAddress3Rule

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C10 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C11 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C12 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C13 PreviousMeetingResultsDisseminationIdentificationRule

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

On Condition

/MeetingResultsDisseminationType is equal to value 'Replacement'

Following Must be True

/PreviousMeetingResultsDisseminationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C14 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		288
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		289

10.4.2 MeetingResultDisseminationIdentification <MtgRsItDssmntnId>

Presence: [0..1]

Definition: Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 391

10.4.3 MeetingResultsDisseminationType <MtgRsItsDssmntnTp>

Presence: [1..1]

Definition: Type of dissemination report, that is, whether the report is new or a replacement.

Datatype: "NotificationType2Code" on page 373

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

10.4.4 PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>

Presence: [0..1]

Definition: Identification of the previously sent meeting result dissemination message.

Datatype: "Max35Text" on page 391

10.4.5 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		223
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		223
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		223
	Type <Tp>	[1..1]	CodeSet		223
	Classification <Clssfctn>	[0..1]	±		224
	Location <Lctn>	[0..5]	±		224
	Issuer <Issr>	[0..1]	±		225

10.4.5.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 391

10.4.5.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 391

10.4.5.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 386

10.4.5.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 371

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

10.4.5.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

10.4.5.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

10.4.5.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

10.4.6 Security <Scty>

Presence: [1..200]

Definition: Securities for which the meeting is organised.

Security <Scty> contains the following **SecurityPosition19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	226
	Position <Pos>	[0..1000]		C1, C2, C3, C9, C14	227
	AccountIdentification <AcctId>	[0..1]	Text		229
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		229
	AccountOwner <AcctOwnr>	[0..1]			229
{Or	LegalPerson <LglPrsn>	[1..1]	±		229
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		230
	HoldingBalance <HldgBal>	[0..15]			230
	Balance <Bal>	[1..1]	±		231
	BalanceType <BalTp>	[1..1]	CodeSet		231
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		232
	Date <Dt>	[0..1]	Date		233
	RightsHolder <RghtsHldr>	[0..250]			233
{Or	LegalPerson <LglPrsn>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		234

10.4.6.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 256 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrlId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

10.4.6.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C9 "HoldingBalanceTypeRule", C14 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		229
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		229
	AccountOwner <AcctOwnr>	[0..1]			229
{Or	LegalPerson <LglPrsn>	[1..1]	±		229
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		230
	HoldingBalance <HldgBal>	[0..15]			230
	Balance <Bal>	[1..1]	±		231
	BalanceType <BalTp>	[1..1]	CodeSet		231
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		232
	Date <Dt>	[0..1]	Date		233
	RightsHolder <RghtsHldr>	[0..250]			233
{Or	LegalPerson <LglPrsn>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		234

Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

10.4.6.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

10.4.6.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 390

10.4.6.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		229
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		230

10.4.6.2.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

10.4.6.2.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

10.4.6.2.4 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		231
	BalanceType <BalTp>	[1..1]	CodeSet		231
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		232
	Date <Dt>	[0..1]	Date		233

10.4.6.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat14](#)" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

10.4.6.2.4.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 380

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

10.4.6.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification </Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

10.4.6.2.4.4 Date <Dt>*Presence:* [0..1]*Definition:* Date of the entitlement.*Datatype:* "ISODate" on page 386**10.4.6.2.5 RightsHolder <RghtsHldr>***Presence:* [0..250]*Definition:* Owner of the voting rights.**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		234

10.4.6.2.5.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification269" on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

10.4.6.2.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification250" on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		302

10.4.7 VoteResult <VoteRslt>

Presence: [1..1000]

Definition: Results per resolution.

VoteResult <VoteRslt> contains the following **Vote20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		235
	Description <Desc>	[0..*]			235
	Language <Lang>	[1..1]	CodeSet		236
	Title <Titl>	[0..1]	Text		236
	Description <Desc>	[0..*]	Text		236
	ResolutionStatus <RsltnSts>	[1..1]	CodeSet		236
	SubStatus <SubSts>	[0..1]	CodeSet		236
	For <For>	[0..1]	±		237
	Against <Agnst>	[0..1]	±		237
	Abstain <Abstn>	[0..1]	±		237
	Withhold <Wthhld>	[0..1]	±		237
	WithManagement <WthMgmt>	[0..1]	±		238
	AgainstManagement <AgnstMgmt>	[0..1]	±		238
	Discretionary <Dscrtnry>	[0..1]	±		238
	OneYear <OneYr>	[0..1]	±		238
	TwoYears <TwoYrs>	[0..1]	±		239
	ThreeYears <ThreeYrs>	[0..1]	±		239
	NoAction <NoActn>	[0..1]	±		239
	Blank <Blnk>	[0..1]	±		239
	Proprietary <Prtry>	[0..4]	±		240

10.4.7.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 391

10.4.7.2 Description <Desc>

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

Description <Desc> contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		236
	Title <Titl>	[0..1]	Text		236
	Description <Desc>	[0..*]	Text		236

10.4.7.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 371

10.4.7.2.2 Title <Titl>

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max1025Text" on page 390

10.4.7.2.3 Description <Desc>

Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: "Max8000Text" on page 393

10.4.7.3 ResolutionStatus <RslnSts>

Presence: [1..1]

Definition: Acceptance status of the resolution.

Datatype: "ResolutionStatus2Code" on page 379

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

10.4.7.4 SubStatus <SubSts>

Presence: [0..1]

Definition: Specifies whether the resolution is a new or an amended resolution.

Datatype: "ResolutionSubStatus1Code" on page 379

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.

CodeName	Name	Definition
NEWNR	NewResolution	Resolution voted at the meeting is new.

10.4.7.5 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.6 Against <Agnst>

Presence: [0..1]

Definition: Number of votes against one resolution.

Against <Agnst> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.7 Abstain <Abstn>

Presence: [0..1]

Definition: Number of abstention votes.

Abstain <Abstn> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.8 Withhold <Wthhld>

Presence: [0..1]

Definition: Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

Withhold <Wthhld> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.9 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.10 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.11 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which the decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.12 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.13 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.14 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.15 NoAction <NoActn>

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

NoAction <NoActn> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.16 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.7.17 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[ProprietaryVote2](#)" on page 278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		278
	Quantity <Qty>	[1..1]	±		278

10.4.8 Participation <Prtcpn>

Presence: [0..1]

Definition: Information about the participation to the voting process.

Participation <Prtcpn> contains the following **Participation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		240
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		240
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>	[0..1]	±		241
	CalculationDate <ClctnDt>	[0..1]	Date		241

10.4.8.1 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "[Number](#)" on page 389

10.4.8.2 PercentageOfVotingRights <PctgOfVtngRghts>

Presence: [0..1]

Definition: Percentage of rights participating to the vote versus total voting rights.

Datatype: "[PercentageRate](#)" on page 389

10.4.8.3 TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng>

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as a number of units or a face amount.

TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

10.4.8.4 CalculationDate <ClctnDt>

Presence: [0..1]

Definition: Date of calculation of the total number of outstanding securities.

Datatype: "[ISODate](#)" on page 386

10.4.9 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Information on where additional information can be received.

AdditionalInformation <AddtlInf> contains the following elements (see "[CommunicationAddress11](#)" on page 283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		284
	URLAddress <URLAdr>	[0..1]	Text		284

10.4.10 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: [C15 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 Message Items Types

11.1 MessageComponents

11.1.1 Cancellation Right

11.1.1.1 DateFormat3Choice

Definition: Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateCode <DtCd>	[1..1]	CodeSet		243

11.1.1.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 386

11.1.1.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Indicates that date is unknown.

Datatype: "DateType1Code" on page 368

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.1.2 Date Time

11.1.2.1 DateAndDateTime1Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateTime <DtTm>	[1..1]	DateTime		244

11.1.2.1.1 Date <Dt>

Presence: [1..1]

Definition: Numeric representation of the day of the month and year.

Datatype: "ISODate" on page 386

11.1.2.1.2 DateTime <DtTm>*Presence:* [1..1]*Definition:* Numeric representation of time of the day and the day of the month and year.*Datatype:* "ISODatetime" on page 386**11.1.2.2 DateFormat60Choice***Definition:* Choice between an ISODate or ISODatetime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		244
Or}	DateCode <DtCd>	[1..1]			244
{Or	Code <Cd>	[1..1]	CodeSet		244
Or}	Proprietary <Prtry>	[1..1]	±		245

11.1.2.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**Date <Dt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		247
Or}	DateTime <DtTm>	[1..1]	DateTime		247

11.1.2.2.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		244
Or}	Proprietary <Prtry>	[1..1]	±		245

11.1.2.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType10Code" on page 368

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

11.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.2.3 DateFormat1

Definition: Format to express a date and a date mode.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		245
	DateMode <DtMd>	[0..1]	CodeSet		246

11.1.2.3.1 Date <Dt>

Presence: [1..1]

Definition: Date at which the event occurs.

Date <Dt> contains one of the following elements (see "[DateFormat3Choice](#)" on page 243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateCode <DtCd>	[1..1]	CodeSet		243

11.1.2.3.2 DateMode <DtMd>*Presence:* [0..1]*Definition:* Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".*Datatype:* "DateMode1Code" on page 368

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

11.1.2.4 DateFormat58Choice*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

11.1.2.4.1 DateOrDateTime <DtOrDtTm>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**DateOrDateTime <DtOrDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		247
Or}	DateTime <DtTm>	[1..1]	DateTime		247

11.1.2.4.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Type of date.*Datatype:* "DateType1Code" on page 368

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.1.2.5 DateAndDateTime2Choice*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		247
Or}	DateTime <DtTm>	[1..1]	DateTime		247

11.1.2.5.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 386

11.1.2.5.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "ISODatetime" on page 386

11.1.3 Document

11.1.3.1 DocumentIdentification32

Definition: Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			247
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		247
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		248
	DocumentNumber <DocNb>	[0..1]	±	C18	248
	LinkageType <LkgTp>	[0..1]	±	C21	248

11.1.3.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		247
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		248

11.1.3.1.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 391

11.1.3.1.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 391

11.1.3.1.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C18 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 249 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		249
Or	LongNumber <LngNb>	[1..1]	Text		249
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		249

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

11.1.3.1.3 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: C21 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "[ProcessingPosition7Choice](#)" on page 289 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

11.1.3.2 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		249
Or	LongNumber <LngNb>	[1..1]	Text		249
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		249

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

11.1.3.2.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 389

11.1.3.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 390

11.1.3.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "GenericIdentification36" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		261
	SchemeName <SchmeNm>	[0..1]	Text		261

11.1.4 Financial Institution Identification

11.1.4.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		250
	MemberIdentification <Mmbld>	[1..1]	Text		250

11.1.4.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "[ClearingSystemIdentification2Choice](#)" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	Text		363

11.1.4.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "[Max35Text](#)" on page 391

11.1.4.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	250
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		251
	LEI <LEI>	[0..1]	IdentifierSet		251
	Name <Nm>	[0..1]	Text		251
	PostalAddress <PstAdr>	[0..1]	±		251
	Other <Othr>	[0..1]	±		252

11.1.4.2.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 387

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

11.1.4.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "ClearingSystemMemberIdentification2" on page 250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		250
	MemberIdentification <Mmbld>	[1..1]	Text		250

11.1.4.2.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "LEIIdentifier" on page 388

11.1.4.2.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 390

11.1.4.2.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "[PostalAddress24](#)" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	±		337
	Department <Dept>	[0..1]	Text		337
	SubDepartment <SubDept>	[0..1]	Text		337
	StreetName <StrtNm>	[0..1]	Text		337
	BuildingNumber <BldgNb>	[0..1]	Text		337
	BuildingName <BldgNm>	[0..1]	Text		338
	Floor <Flr>	[0..1]	Text		338
	PostBox <PstBx>	[0..1]	Text		338
	Room <Room>	[0..1]	Text		338
	PostCode <PstCd>	[0..1]	Text		338
	TownName <TwnNm>	[0..1]	Text		338
	TownLocationName <TwnLctnNm>	[0..1]	Text		338
	DistrictName <DstrctNm>	[0..1]	Text		338
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		339
	Country <Ctry>	[0..1]	CodeSet	C3	339
	AddressLine <AdrLine>	[0..7]	Text		339

11.1.4.2.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericFinancialIdentification1](#)" on page 255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		255
	SchemeName <SchmeNm>	[0..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	Text		256
	Issuer <Issr>	[0..1]	Text		256

11.1.4.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		253
	LEI <LEI>	[0..1]	IdentifierSet		253
	Name <Nm>	[0..1]	Text		253
	PostalAddress <PstlAdr>	[0..1]	±		253

11.1.4.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 391

11.1.4.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 388

11.1.4.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 390

11.1.4.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	±		337
	Department <Dept>	[0..1]	Text		337
	SubDepartment <SubDept>	[0..1]	Text		337
	StreetName <StrtNm>	[0..1]	Text		337
	BuildingNumber <BldgNb>	[0..1]	Text		337
	BuildingName <BldgNm>	[0..1]	Text		338
	Floor <Flr>	[0..1]	Text		338
	PostBox <PstBx>	[0..1]	Text		338
	Room <Room>	[0..1]	Text		338
	PostCode <PstCd>	[0..1]	Text		338
	TownName <TwnNm>	[0..1]	Text		338
	TownLocationName <TwnLctnNm>	[0..1]	Text		338
	DistrictName <DstrctNm>	[0..1]	Text		338
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		339
	Country <Ctry>	[0..1]	CodeSet	C3	339
	AddressLine <AdrLine>	[0..7]	Text		339

11.1.4.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		254
	BranchIdentification <BrnchId>	[0..1]	±		255

11.1.4.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	250
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		251
	LEI <LEI>	[0..1]	IdentifierSet		251
	Name <Nm>	[0..1]	Text		251
	PostalAddress <PstlAdr>	[0..1]	±		251
	Other <Othr>	[0..1]	±		252

11.1.4.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 253 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		253
	LEI <LEI>	[0..1]	IdentifierSet		253
	Name <Nm>	[0..1]	Text		253
	PostalAddress <PstlAdr>	[0..1]	±		253

11.1.4.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		255
	SchemeName <SchmeNm>	[0..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	Text		256
	Issuer <Issr>	[0..1]	Text		256

11.1.4.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 391

11.1.4.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	Text		256

11.1.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 369

11.1.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 391

11.1.4.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 391

11.1.5 Financial Instrument

11.1.5.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		257
	OtherIdentification <OthrId>	[0..*]			257
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258
	Description <Desc>	[0..1]	Text		258

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

11.1.5.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 387

11.1.5.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrlId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		258
	Suffix <Sfx>	[0..1]	Text		258
	Type <Tp>	[1..1]	±		258

11.1.5.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 391

11.1.5.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 391

11.1.5.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		261
Or}	Proprietary <Prtry>	[1..1]	Text		261

11.1.5.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 390

11.1.6 Identification Information

11.1.6.1 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		259
	SchemeName <SchmeNm>	[0..1]	Text		259
	Issuer <Issr>	[1..1]	Text		259

11.1.6.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 392

11.1.6.1.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 391

11.1.6.1.3 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 391

11.1.6.2 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		259
	Identification <Id>	[0..1]	Text		259

11.1.6.2.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.6.2.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 391

11.1.6.3 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.6.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 390

11.1.6.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 391

11.1.6.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 391

11.1.6.4 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		261
	SchemeName <SchmeNm>	[0..1]	Text		261

11.1.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 391

11.1.6.4.2 Issuer <lssr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 391**11.1.6.4.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 391**11.1.6.5 IdentificationSource3Choice***Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		261
Or}	Proprietary <Prtry>	[1..1]	Text		261

11.1.6.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 370**11.1.6.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 391**11.1.7 Miscellaneous****11.1.7.1 AdditionalRightCode1Choice***Definition:* Choice of additional right code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		261
Or}	Proprietary <Prtry>	[1..1]	±		262

11.1.7.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identifies the additional right code.*Datatype:* "AdditionalRight1Code" on page 365

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

11.1.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: This code can be used in case another reason is required.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		259
	SchemeName <SchmeNm>	[0..1]	Text		259
	Issuer <Issr>	[1..1]	Text		259

11.1.7.2 VoteInstructionType2Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		262
Or}	Proprietary <Prtry>	[1..1]	±		263

11.1.7.2.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "[VoteInstruction7Code](#)" on page 385

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.

CodeName	Name	Definition
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.1.7.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.3 Vote14

Definition: Vote expressed for one resolution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		264
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		264
	For <For>	[0..1]	±		264
	Against <Agnst>	[0..1]	±		265
	Abstain <Abstn>	[0..1]	±		265
	Withhold <Wthld>	[0..1]	±		265
	WithManagement <WthMgmt>	[0..1]	±		265
	AgainstManagement <AgnstMgmt>	[0..1]	±		266
	Discretionary <Dscrtnry>	[0..1]	±		266
	OneYear <OneYr>	[0..1]	±		266
	TwoYears <TwoYrs>	[0..1]	±		266
	ThreeYears <ThreeYrs>	[0..1]	±		267
	NoAction <NoActn>	[0..1]	±		267
	Blank <Blnk>	[0..1]	±		267
	Proprietary <Prtry>	[0..4]			267
	Code <Cd>	[1..1]	±		268
	Quantity <Qty>	[1..1]	±		268

11.1.7.3.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 391

11.1.7.3.2 ListingGroupResolutionLabel <ListgGrpRslnLbl>

Presence: [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 391

11.1.7.3.3 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.4 Against <Agnst>

Presence: [0..1]

Definition: Number of votes against one resolution.

Against <Agnst> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.5 Abstain <Abstn>

Presence: [0..1]

Definition: Number of abstention votes for one resolution.

Abstain <Abstn> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.6 Withhold <Wthhld>

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

Withhold <Wthhld> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.7 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.8 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.9 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.10 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.11 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.12 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.13 NoAction <NoActn>

Presence: [0..1]

Definition: Do not vote.

NoAction <NoActn> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.14 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.3.15 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		268
	Quantity <Qty>	[1..1]	±		268

11.1.7.3.15.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.3.15.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.7.4 ProxyAppointmentInformation6

Definition: Information about the registration of a proxy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationMethod <RegnMtd>	[0..1]	Text		268
	Deadline <Ddln>	[0..1]	±		269
	MarketDeadline <MktDdln>	[0..1]	±		269
	AuthorisedProxy <AuthrsdPrxy>	[0..10]	±		269

11.1.7.4.1 RegistrationMethod <RegnMtd>

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: "[Max350Text](#)" on page 391

11.1.7.4.2 Deadline <Ddln>*Presence:* [0..1]*Definition:* Date by which the information on the proxy assignment must be received by the intermediary.**Deadline <Ddln>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

11.1.7.4.3 MarketDeadline <MktDdln>*Presence:* [0..1]*Definition:* Date by which the information on the proxy assignment must be received by the issuer.**MarketDeadline <MktDdln>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		246
Or}	DateCode <DtCd>	[1..1]	CodeSet		246

11.1.7.4.4 AuthorisedProxy <AuthrsdPrxy>*Presence:* [0..10]*Definition:* Proxy person that is authorised by the issuer.**AuthorisedProxy <AuthrsdPrxy>** contains the following elements (see ["Proxy11"](#) on page 302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		302
	PersonDetails <PrsnDtls>	[0..1]			303
	PreassignedProxy <PrssgndPrxy>	[0..1]			303
{Or	LegalPerson <LglPrsn>	[1..1]	±		303
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		304
	EmployingParty <EmplngPty>	[0..1]	±		304

11.1.7.5 DateAndPlaceOfBirth2*Definition:* Date and place of birth of a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		270
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		270
	CityOfBirth <CityOfBirth>	[0..1]	Text		270
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	270

11.1.7.5.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 386

11.1.7.5.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 391

11.1.7.5.3 CityOfBirth <CityOfBirth>

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 391

11.1.7.5.4 CountryOfBirth <CtryOfBirth>

Presence: [0..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.7.6 VotingRightsThreshold1

Definition: Specifies a threshold level and a threshold basis for passing resolutions at general meetings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		271
	ThresholdBasis <ThrshldBsis>	[0..1]			271
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272

11.1.7.6.1 Threshold <Thrshld>

Presence: [1..1]

Definition: Voting rights threshold required for a resolution to pass in percentage or in quantity.

Threshold <Thrshld> contains one of the following elements (see "[NumberOrPercentage1Choice](#)" on page 276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		276
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		276

11.1.7.6.2 ThresholdBasis <ThrshldBsis>

Presence: [0..1]

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

ThresholdBasis <ThrshldBsis> contains one of the following **ThresholdBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272

11.1.7.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and provided as a code value.

Datatype: "[ThresholdBasis1Code](#)" on page 382

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

11.1.7.6.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and defined as a proprietary value.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.7 QuorumQuantity1Choice*Definition:* Choice of quorum quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		272
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		272

11.1.7.7.1 QuorumQuantity <QrmQty>*Presence:* [1..1]*Definition:* Minimum quantity of securities required to hold a meeting.*Datatype:* "[Max35Text](#)" on page 391**11.1.7.7.2 QuorumQuantityPercentage <QrmQtyPctg>***Presence:* [1..1]*Definition:* Minimum quantity of securities, expressed as a percentage, required to hold a meeting.*Datatype:* "[PercentageRate](#)" on page 389**11.1.7.8 ParticipationMethod3Choice***Definition:* Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		272
Or}	Proprietary <Prtry>	[1..1]	±		273

11.1.7.8.1 Code <Cd>*Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a code.

Datatype: "VotingParticipationMethod3Code" on page 386

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

11.1.7.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.9 InstructionProcessingStatus5

Definition: Status advising on the processing of the instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		274
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		274
	AdditionalInformation <AddtlInf>	[0..1]	Text		274

Constraints

- **AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True
 /Status Must be equal to value 'AttendanceConfirmed'

11.1.7.9.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "Status9Code" on page 381

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.

11.1.7.9.2 AttendanceCardNumber <AttdncCardNb>

Presence: [0..1]

Definition: Number of the admission ticket that has been granted by the custodian or the issuer.

Datatype: "Max35Text" on page 391

11.1.7.9.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 391

11.1.7.10 AttendanceCard3

Definition: Specifies details related to the attendance card.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		275
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		275
	OtherAddress <OthrAdr>	[0..1]	±		275

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

11.1.7.10.1 AttendanceCardLabelling <AttndncCardLbllg>

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 390

11.1.7.10.2 DeliveryMethod <DlvryMtd>

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace3Code" on page 368

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

11.1.7.10.3 OtherAddress <OthrAdr>

Presence: [0..1]

Definition: Name and address of the party.

OtherAddress <OthrAdr> contains the following elements (see "NameAndAddress9" on page 341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]	±	C19	341

11.1.7.11 OrganisationIdentification5

Definition: Official identification of an organisation (legal entity) in a specific register.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		276
	RegisterName <RegrNm>	[0..1]	Text		276

11.1.7.11.1 RegistrationNumber <RegnNb>

Presence: [1..1]

Definition: Name of the register of legal entities.

Datatype: "Max35Text" on page 391

11.1.7.11.2 RegisterName <RegrNm>

Presence: [0..1]

Definition: Name of the register managed by a registration authority.

Datatype: "Max35Text" on page 391

11.1.7.12 NumberOrPercentage1Choice

Definition: Choice between a percentage and number for a threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		276
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		276

11.1.7.12.1 ThresholdPercentage <ThrshldPctg>

Presence: [1..1]

Definition: Threshold provided as a percentage rate.

Datatype: "PercentageRate" on page 389

11.1.7.12.2 ThresholdNumber <ThrshldNb>

Presence: [1..1]

Definition: Threshold provided as a decimal number.

Datatype: "Number" on page 389

11.1.7.13 Entitlement1Choice

Definition: Choice of entitlement calculation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		277
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		277

11.1.7.13.1 EntitlementRatio <EntitlmntRatio>*Presence:* [1..1]*Definition:* Number of votes assigned to one security.*Datatype:* "DecimalNumber" on page 388**11.1.7.13.2 EntitlementDescription <EntitlmntDesc>***Presence:* [1..1]*Definition:* Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.*Datatype:* "Max35Text" on page 391**11.1.7.14 VoteInstructionType1***Definition:* Vote options for a resolution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		277
	AdditionalInformation <AddtlInf>	[0..1]	Text		277

Constraints

- AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True

/VoteInstructionTypeCode/Proprietary/Identification Must be present

11.1.7.14.1 VoteInstructionTypeCode <VoteInstrTpCd>*Presence:* [1..1]*Definition:* Vote option allowed at the resolution level.

VoteInstructionTypeCode <VoteInstrTpCd> contains one of the following elements (see "VoteInstructionType1Choice" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		284
Or}	Proprietary <Prtry>	[1..1]	±		285

11.1.7.14.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the vote instruction type code when expressed as a proprietary code.*Datatype:* "Max350Text" on page 391

11.1.7.15 AdditionalRightThreshold1Choice

Definition: Choice of additional right threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		278
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		278

11.1.7.15.1 AdditionalRightThreshold <AddtlRghtThrshld>

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

Datatype: "Max35Text" on page 391

11.1.7.15.2 AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

Datatype: "PercentageRate" on page 389

11.1.7.16 ProprietaryVote2

Definition: Proprietary vote type and quantity voted.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		278
	Quantity <Qty>	[1..1]	±		278

11.1.7.16.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "GenericIdentification30" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.16.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

11.1.7.17 IncentivePremium5

Definition: Cash premium made available if the securities holder consents or participates to an event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		279
	Amount <Amt>	[1..1]	±		279
	Type <Tp>	[1..1]			279
{Or	PerSecurity <PerScty>	[1..1]	Quantity		280
Or	PerVote <PerVote>	[1..*]			280
	VoteInstructionType <VoteInstrTp>	[1..1]	±		280
	VoteQuantity <VoteQty>	[1..1]	Quantity		280
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		280
	PaymentDate <PmtDt>	[0..1]	±		281

11.1.7.17.1 Description <Desc>

Presence: [0..1]

Definition: Description of the premium.

Datatype: "[Max350Text](#)" on page 391

11.1.7.17.2 Amount <Amt>

Presence: [1..1]

Definition: Cash premium paid per security, per vote or per attendee.

Amount <Amt> contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		342
Or}	Amount <Amt>	[1..1]	Amount	C4	343

11.1.7.17.3 Type <Tp>

Presence: [1..1]

Definition: Specifies which voting related criteria gives rights to an incentive premium.

Type <Tp> contains one of the following **IncentivePremiumType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		280
Or	PerVote <PerVote>	[1..*]			280
	VoteInstructionType <VoteInstrTp>	[1..1]	±		280
	VoteQuantity <VoteQty>	[1..1]	Quantity		280
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		280

11.1.7.17.3.1 PerSecurity <PerScty>

Presence: [1..1]

Definition: Number of securities giving right to a premium.

Datatype: "Number" on page 389

11.1.7.17.3.2 PerVote <PerVote>

Presence: [1..*]

Definition: Quantity of votes per type of vote giving right to a premium.

PerVote <PerVote> contains the following **VoteTypeAndQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionType <VoteInstrTp>	[1..1]	±		280
	VoteQuantity <VoteQty>	[1..1]	Quantity		280

11.1.7.17.3.2.1 VoteInstructionType <VoteInstrTp>

Presence: [1..1]

Definition: Types of vote instruction allowed for resolutions to be voted on in general meeting.

VoteInstructionType <VoteInstrTp> contains one of the following elements (see "VoteInstructionType1Choice" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		284
Or}	Proprietary <Prtry>	[1..1]	±		285

11.1.7.17.3.2.2 VoteQuantity <VoteQty>

Presence: [1..1]

Definition: Quantity of vote expressed for the specified type of vote instruction.

Datatype: "Number" on page 389

11.1.7.17.3.3 PerAttendee <PerAttndee>

Presence: [1..1]

Definition: Indicates that the premium is given per attendee.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

11.1.7.17.4 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time for the payment of the premium.

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat3Choice" on page 243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		243
Or}	DateCode <DtCd>	[1..1]	CodeSet		243

11.1.7.18 LocationFormat1Choice

Definition: Choice between the formats to indicate the location of the meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		281
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		282

11.1.7.18.1 Address <Adr>

Presence: [1..1]

Definition: Specifies physical address of the meeting.

Address <Adr> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

11.1.7.18.2 LocationCode <LctnCd>*Presence:* [1..1]*Definition:* Indicates that the location is unknown.*Datatype:* "PlaceType1Code" on page 375

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

11.1.7.19 AttendanceAdmissionConditions2*Definition:* Physical attendance admission conditions for a meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		282
	AdditionalInformation <AddtlInf>	[0..1]	Text		283

11.1.7.19.1 Code <Cd>*Presence:* [1..1]*Definition:* Condition for physical admittance to the general meeting.*Datatype:* "AttendanceAdmissionConditions2Code" on page 366

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

11.1.7.19.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information on the conditions for physical admittance to the general meeting.*Datatype:* "Max350Text" on page 391**11.1.7.20 ModalityOfCounting1Choice***Definition:* Modality of counting as a predefined or proprietary code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.7.20.1 Code <Cd>*Presence:* [1..1]*Definition:* Modality of counting expressed as a code.*Datatype:* "ModalityOfCounting1Code" on page 372

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

11.1.7.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Modality of counting expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.21 CommunicationAddress11*Definition:* Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		284
	URLAddress <URLAdr>	[0..1]	Text		284

11.1.7.21.1 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

11.1.7.21.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 391

11.1.7.22 VoteInstructionType1Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		284
Or}	Proprietary <Prtry>	[1..1]	±		285

11.1.7.22.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 384

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.

CodeName	Name	Definition
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.1.7.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.23 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		285
	IdentificationType <IdTp>	[0..1]			286
{Or	Code <Cd>	[1..1]	CodeSet		286
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.7.23.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "[Max35Text](#)" on page 391

11.1.7.23.2 IdentificationType <IdTp>*Presence:* [0..1]*Definition:* Type of local identification for a natural person.**IdentificationType <IdTp>** contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		286
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.7.23.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "TypeOfIdentification4Code" on page 382

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

11.1.7.23.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.24 IssuerInformation3

Definition: Identification of an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		287
	URLAddress <URLAdr>	[0..1]	Text		287

11.1.7.24.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

Identification <Id> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

11.1.7.24.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "[Max2048Text](#)" on page 391

11.1.7.25 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		288
Or}	Proprietary <Prtry>	[1..1]	±		288

11.1.7.25.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of meeting expressed as a code.*Datatype:* "MeetingTypeClassification2Code" on page 372

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

11.1.7.25.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of meeting expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		259
	SchemeName <SchmeNm>	[0..1]	Text		259
	Issuer <Issr>	[1..1]	Text		259

11.1.7.26 Pagination1*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		288
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		289

11.1.7.26.1 PageNumber <PgNb>*Presence:* [1..1]*Definition:* Page number.*Datatype:* "Max5NumericText" on page 392

11.1.7.26.2 LastPageIndicator <LastPgInd>*Presence:* [1..1]*Definition:* Indicates the last page.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 388):

- *Meaning When True:* Yes
- *Meaning When False:* No

11.1.7.27 ProcessingPosition7Choice*Definition:* Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

11.1.7.27.1 Code <Cd>*Presence:* [1..1]*Definition:* Processing position expressed as an ISO 20022 code.*Datatype:* "ProcessingPosition3Code" on page 376

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

11.1.7.27.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.7.28 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		290
	Envelope <Envlp>	[1..1]	(External Schema)		290

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.1.7.28.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "[Max350Text](#)" on page 391

11.1.7.28.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

11.1.8 Organisation Identification

11.1.8.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	291
	LEI <LEI>	[0..1]	IdentifierSet		291
	Other <Othr>	[0..*]			291
	Identification <Id>	[1..1]	Text		292
	SchemeName <SchmeNm>	[0..1]			292
{Or	Code <Cd>	[1..1]	CodeSet		292
Or}	Proprietary <Prtry>	[1..1]	Text		292
	Issuer <Issr>	[0..1]	Text		292

11.1.8.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 387

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.8.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 388

11.1.8.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		292
	SchemeName <SchmeNm>	[0..1]			292
{Or	Code <Cd>	[1..1]	CodeSet		292
Or}	Proprietary <Prtry>	[1..1]	Text		292
	Issuer <Issr>	[0..1]	Text		292

11.1.8.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 391

11.1.8.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		292
Or}	Proprietary <Prtry>	[1..1]	Text		292

11.1.8.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 370

11.1.8.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 391

11.1.8.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 391

11.1.9 Party Identification

11.1.9.1 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		293
	PostalAddress <PstlAdr>	[0..1]	±		293
	Identification <Id>	[0..1]	±		294
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	294
	ContactDetails <CtctDtls>	[0..1]	±		295

11.1.9.1.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 390

11.1.9.1.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	±		337
	Department <Dept>	[0..1]	Text		337
	SubDepartment <SubDept>	[0..1]	Text		337
	StreetName <StrtNm>	[0..1]	Text		337
	BuildingNumber <BldgNb>	[0..1]	Text		337
	BuildingName <BldgNm>	[0..1]	Text		338
	Floor <Flr>	[0..1]	Text		338
	PostBox <PstBx>	[0..1]	Text		338
	Room <Room>	[0..1]	Text		338
	PostCode <PstCd>	[0..1]	Text		338
	TownName <TwnNm>	[0..1]	Text		338
	TownLocationName <TwnLctnNm>	[0..1]	Text		338
	DistrictName <DstrctNm>	[0..1]	Text		338
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		339
	Country <Ctry>	[0..1]	CodeSet	C3	339
	AddressLine <AdrLine>	[0..7]	Text		339

11.1.9.1.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 321 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		322
Or}	PrivateIdentification <PrvtId>	[1..1]	±		322

11.1.9.1.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.1.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		329
	Name <Nm>	[0..1]	Text		330
	PhoneNumber <PhneNb>	[0..1]	Text		330
	MobileNumber <MobNb>	[0..1]	Text		330
	FaxNumber <FaxNb>	[0..1]	Text		330
	EmailAddress <EmailAdr>	[0..1]	Text		330
	EmailPurpose <EmailPurp>	[0..1]	Text		330
	JobTitle <JobTitl>	[0..1]	Text		330
	Responsibility <Rspnsblty>	[0..1]	Text		331
	Department <Dept>	[0..1]	Text		331
	Other <Othr>	[0..*]			331
	ChannelType <ChanlTp>	[1..1]	Text		331
	Identification <Id>	[0..1]	Text		331
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		331

11.1.9.2 PartyIdentification269

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			296
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299
	EmailAddress <EmailAdr>	[0..1]	Text		299
	Identification <Id>	[0..1]	±		299
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		299
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	300

11.1.9.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		297
	Address <Adr>	[0..1]			297
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299

11.1.9.2.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 391

11.1.9.2.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		298
	AddressLine <AdrLine>	[0..5]	Text		298
	StreetName <StrtNm>	[0..1]	Text		298
	BuildingNumber <BldgNb>	[0..1]	Text		298
	PostBox <PstBx>	[0..1]	Text		298
	PostCode <PstCd>	[0..1]	Text		298
	TownName <TwnNm>	[0..1]	Text		299
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		299
	Country <Ctry>	[1..1]	CodeSet	C3	299

11.1.9.2.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.9.2.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 392**11.1.9.2.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 392**11.1.9.2.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 391**11.1.9.2.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 391**11.1.9.2.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 391

11.1.9.2.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 391**11.1.9.2.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 391**11.1.9.2.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 367**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.2.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 391**11.1.9.2.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification198Choice" on page 307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		307
Or	LEI <LEI>	[1..1]	IdentifierSet		307
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	308
Or	ClientIdentification <ClnId>	[1..1]	Text		308
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		308

11.1.9.2.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>*Presence:* [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 391

11.1.9.2.5 CountryOfIncorporation <CtryOfIncorprrn>

Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.3 PartyIdentification250

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		300
	EmailAddress <EmailAdr>	[0..1]	Text		301
	Identification <Id>	[0..1]	±		301
	Nationality <Ntlty>	[0..1]	CodeSet	C3	301
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		302
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		302

11.1.9.3.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "PersonName3" on page 326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		326
	FirstName <FrstNm>	[1..1]	Text		327
	Surname <SrnM>	[1..1]	Text		327
	Address <Adr>	[0..1]			327
	AddressType <AdrTp>	[0..1]	CodeSet		327
	AddressLine <AdrLine>	[0..5]	Text		328
	StreetName <StrtNm>	[0..1]	Text		328
	BuildingNumber <BldgNb>	[0..1]	Text		328
	PostBox <PstBx>	[0..1]	Text		328
	PostCode <PstCd>	[0..1]	Text		328
	TownName <TwnNm>	[0..1]	Text		328
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		328
	Country <Ctry>	[1..1]	CodeSet	C3	329

11.1.9.3.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

11.1.9.3.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		285
	IdentificationType <IdTp>	[0..1]			286
{Or	Code <Cd>	[1..1]	CodeSet		286
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.9.3.4 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the person.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.3.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following elements (see "DateAndPlaceOfBirth2" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		270
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		270
	CityOfBirth <CityOfBirth>	[0..1]	Text		270
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	270

11.1.9.3.6 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 391

11.1.9.4 Proxy11

Definition: Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		302
	PersonDetails <PrsnDtls>	[0..1]			303
	PreassignedProxy <PrssgndPrxy>	[0..1]			303
{Or	LegalPerson <LglPrsn>	[1..1]	±		303
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		304
	EmployingParty <EmplngPty>	[0..1]	±		304

11.1.9.4.1 ProxyType <PrxyTp>

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType3Code" on page 376

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

11.1.9.4.2 PersonDetails <PrsnDtls>

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

PersonDetails <PrsnDtls> contains the following **IndividualPerson43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			303
{Or	LegalPerson <LglPrsn>	[1..1]	±		303
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		304
	EmployingParty <EmplingPty>	[0..1]	±		304

11.1.9.4.2.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		303
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		304

11.1.9.4.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

11.1.9.4.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

11.1.9.4.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

11.1.9.5 PartyIdentification238

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]	±		305
	EmailAddress <EmailAdr>	[0..1]	Text		306
	Identification <Id>	[1..1]	±		306
	Nationality <Ntlty>	[0..1]	CodeSet	C3	306
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		307

11.1.9.5.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "PersonName3" on page 326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		326
	FirstName <FrstNm>	[1..1]	Text		327
	Surname <SrnM>	[1..1]	Text		327
	Address <Adr>	[0..1]			327
	AddressType <AdrTp>	[0..1]	CodeSet		327
	AddressLine <AdrLine>	[0..5]	Text		328
	StreetName <StrtNm>	[0..1]	Text		328
	BuildingNumber <BldgNb>	[0..1]	Text		328
	PostBox <PstBx>	[0..1]	Text		328
	PostCode <PstCd>	[0..1]	Text		328
	TownName <TwnNm>	[0..1]	Text		328
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		328
	Country <Ctry>	[1..1]	CodeSet	C3	329

11.1.9.5.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

11.1.9.5.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		285
	IdentificationType <IdTp>	[0..1]			286
{Or	Code <Cd>	[1..1]	CodeSet		286
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.9.5.4 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the person.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.5.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following elements (see "DateAndPlaceOfBirth2" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		270
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		270
	CityOfBirth <CityOfBirth>	[0..1]	Text		270
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	270

11.1.9.6 PartyIdentification198Choice

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		307
Or	LEI <LEI>	[1..1]	IdentifierSet		307
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	308
Or	ClientIdentification <ClnId>	[1..1]	Text		308
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		308

11.1.9.6.1 NationalRegistrationNumber <NtlRegnNb>

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 391

11.1.9.6.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 388

11.1.9.6.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 387

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.9.6.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 392

11.1.9.6.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		261
	SchemeName <SchmeNm>	[0..1]	Text		261

11.1.9.7 PartyIdentification224

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			309
	Name <Nm>	[1..1]	Text		310
	Address <Adr>	[0..1]			310
	AddressType <AdrTp>	[0..1]	CodeSet		310
	AddressLine <AdrLine>	[0..5]	Text		310
	StreetName <StrtNm>	[0..1]	Text		311
	BuildingNumber <BldgNb>	[0..1]	Text		311
	PostBox <PstBx>	[0..1]	Text		311
	PostCode <PstCd>	[0..1]	Text		311
	TownName <TwnNm>	[0..1]	Text		311
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		311
	Country <Ctry>	[1..1]	CodeSet	C3	311
	EmailAddress <EmailAdr>	[0..1]	Text		312
	Identification <Id>	[0..1]	±		312

11.1.9.7.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		310
	Address <Adr>	[0..1]			310
	AddressType <AdrTp>	[0..1]	CodeSet		310
	AddressLine <AdrLine>	[0..5]	Text		310
	StreetName <StrtNm>	[0..1]	Text		311
	BuildingNumber <BldgNb>	[0..1]	Text		311
	PostBox <PstBx>	[0..1]	Text		311
	PostCode <PstCd>	[0..1]	Text		311
	TownName <TwnNm>	[0..1]	Text		311
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		311
	Country <Ctry>	[1..1]	CodeSet	C3	311

11.1.9.7.1.1 Name <Nm>*Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 391**11.1.9.7.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		310
	AddressLine <AdrLine>	[0..5]	Text		310
	StreetName <StrtNm>	[0..1]	Text		311
	BuildingNumber <BldgNb>	[0..1]	Text		311
	PostBox <PstBx>	[0..1]	Text		311
	PostCode <PstCd>	[0..1]	Text		311
	TownName <TwnNm>	[0..1]	Text		311
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		311
	Country <Ctry>	[1..1]	CodeSet	C3	311

11.1.9.7.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.9.7.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 392

11.1.9.7.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 392

11.1.9.7.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 391

11.1.9.7.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 391

11.1.9.7.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 391

11.1.9.7.1.2.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 391

11.1.9.7.1.2.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 391

11.1.9.7.1.2.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.7.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

11.1.9.7.3 Identification <Id>

Presence: [0..1]

Definition: Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification198Choice" on page 307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		307
Or	LEI <LEI>	[1..1]	IdentifierSet		307
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	308
Or	ClientIdentification <CIntId>	[1..1]	Text		308
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		308

11.1.9.8 IssuerAgent3

Definition: Identification of the issuer and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		312
	Role <Role>	[0..1]	CodeSet		313

11.1.9.8.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the issuer agent.

Identification <Id> contains one of the following elements (see "PartyIdentification129Choice" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

11.1.9.8.2 Role <Role>

Presence: [0..1]

Definition: Role of the issuer agent.

Datatype: "AgentRole1Code" on page 366

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

11.1.9.9 PartyIdentification222

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			314
	FirstName <FrstNm>	[1..1]	Text		315
	Surname <SrnM>	[1..1]	Text		315
	Address <Adr>	[0..1]			315
	AddressType <AdrTp>	[0..1]	CodeSet		316
	AddressLine <AdrLine>	[0..5]	Text		316
	StreetName <StrtNm>	[0..1]	Text		316
	BuildingNumber <BldgNb>	[0..1]	Text		316
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		317
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		317
	Country <Ctry>	[1..1]	CodeSet	C3	317
	EmailAddress <EmailAdr>	[0..1]	Text		317
	Identification <Id>	[1..1]	±		317

11.1.9.9.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		315
	Surname <SrnM>	[1..1]	Text		315
	Address <Adr>	[0..1]			315
	AddressType <AdrTp>	[0..1]	CodeSet		316
	AddressLine <AdrLine>	[0..5]	Text		316
	StreetName <StrtNm>	[0..1]	Text		316
	BuildingNumber <BldgNb>	[0..1]	Text		316
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		317
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		317
	Country <Ctry>	[1..1]	CodeSet	C3	317

11.1.9.9.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 391

11.1.9.9.1.2 Surname <SrnM>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 391

11.1.9.9.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		316
	AddressLine <AdrLine>	[0..5]	Text		316
	StreetName <StrtNm>	[0..1]	Text		316
	BuildingNumber <BldgNb>	[0..1]	Text		316
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		317
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		317
	Country <Ctry>	[1..1]	CodeSet	C3	317

11.1.9.9.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.9.9.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 392

11.1.9.9.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 392

11.1.9.9.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 391

11.1.9.9.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 391

11.1.9.9.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 391

11.1.9.9.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 391

11.1.9.9.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 391

11.1.9.9.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.9.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 391

11.1.9.9.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		285
	IdentificationType <IdTp>	[0..1]			286
{Or	Code <Cd>	[1..1]	CodeSet		286
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.9.10 PartyIdentification221

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[1..1]	±		321

11.1.9.10.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321

11.1.9.10.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 391

11.1.9.10.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321

11.1.9.10.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.9.10.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 392**11.1.9.10.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 392**11.1.9.10.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 391**11.1.9.10.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 391**11.1.9.10.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 391

11.1.9.10.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 391**11.1.9.10.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 391**11.1.9.10.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 367**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.9.10.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 391**11.1.9.10.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification198Choice" on page 307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		307
Or	LEI <LEI>	[1..1]	IdentifierSet		307
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	308
Or	ClientIdentification <ClnId>	[1..1]	Text		308
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		308

11.1.9.11 Party38Choice*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		322
Or}	Privateldentification <PrvtId>	[1..1]	±		322

11.1.9.11.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[OrganisationIdentification29](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	291
	LEI <LEI>	[0..1]	IdentifierSet		291
	Other <Othr>	[0..*]			291
	Identification <Id>	[1..1]	Text		292
	SchemeName <SchmeNm>	[0..1]			292
{Or	Code <Cd>	[1..1]	CodeSet		292
Or}	Proprietary <Prtry>	[1..1]	Text		292
	Issuer </ssr>	[0..1]	Text		292

11.1.9.11.2 Privateldentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "[PersonIdentification13](#)" on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			332
	BirthDate <BirthDt>	[1..1]	Date		332
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		333
	CityOfBirth <CityOfBirth>	[1..1]	Text		333
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	333
	Other <Othr>	[0..*]			333
	Identification <Id>	[1..1]	Text		333
	SchemeName <SchmeNm>	[0..1]			333
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	Text		334
	Issuer <Issr>	[0..1]	Text		334

11.1.9.12 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	323
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		324
Or	NameAndAddress <NmAndAdr>	[1..1]	±		324
Or}	LEI <LEI>	[1..1]	IdentifierSet		324

11.1.9.12.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 387

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.9.12.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		261
	SchemeName <SchmeNm>	[0..1]	Text		261

11.1.9.12.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		342
	Address <Adr>	[0..1]	±		342

11.1.9.12.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 388

11.1.10 Person Identification**11.1.10.1 ContactIdentification1**

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		325
	NamePrefix <NmPrfx>	[0..1]	CodeSet		325
	GivenName <GvnNm>	[0..1]	Text		325
	Role <Role>	[0..1]	Text		325
	PhoneNumber <PhneNb>	[0..1]	Text		325
	FaxNumber <FaxNb>	[0..1]	Text		326
	EmailAddress <EmailAdr>	[0..1]	Text		326

11.1.10.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 391

11.1.10.1.2 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 372

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

11.1.10.1.3 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 391

11.1.10.1.4 Role <Role>

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: "Max35Text" on page 391

11.1.10.1.5 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 393

11.1.10.1.6 FaxNumber <FaxNb>*Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 393**11.1.10.1.7 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 391**11.1.10.2 PersonName3***Definition:* Identifies a person via its first name and surname.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		326
	FirstName <FrstNm>	[1..1]	Text		327
	Surname <Snm>	[1..1]	Text		327
	Address <Adr>	[0..1]			327
	AddressType <AdrTp>	[0..1]	CodeSet		327
	AddressLine <AdrLine>	[0..5]	Text		328
	StreetName <StrtNm>	[0..1]	Text		328
	BuildingNumber <BldgNb>	[0..1]	Text		328
	PostBox <PstBx>	[0..1]	Text		328
	PostCode <PstCd>	[0..1]	Text		328
	TownName <TwnNm>	[0..1]	Text		328
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		328
	Country <Ctry>	[1..1]	CodeSet	C3	329

11.1.10.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Prefix, as a title before a person's name.*Datatype:* "NamePrefix2Code" on page 373

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

CodeName	Name	Definition
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.1.10.2.2 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 391

11.1.10.2.3 Surname <SrnM>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 391

11.1.10.2.4 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		327
	AddressLine <AdrLine>	[0..5]	Text		328
	StreetName <StrtNm>	[0..1]	Text		328
	BuildingNumber <BldgNb>	[0..1]	Text		328
	PostBox <PstBx>	[0..1]	Text		328
	PostCode <PstCd>	[0..1]	Text		328
	TownName <TwnNm>	[0..1]	Text		328
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		328
	Country <Ctry>	[1..1]	CodeSet	C3	329

11.1.10.2.4.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.

CodeName	Name	Definition
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.2.4.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 392

11.1.10.2.4.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 392

11.1.10.2.4.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 391

11.1.10.2.4.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 391

11.1.10.2.4.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 391

11.1.10.2.4.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 391

11.1.10.2.4.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 391

11.1.10.2.4.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.3 Contact4

Definition: Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		329
	Name <Nm>	[0..1]	Text		330
	PhoneNumber <PhneNb>	[0..1]	Text		330
	MobileNumber <MobNb>	[0..1]	Text		330
	FaxNumber <FaxNb>	[0..1]	Text		330
	EmailAddress <EmailAdr>	[0..1]	Text		330
	EmailPurpose <EmailPurp>	[0..1]	Text		330
	JobTitle <JobTitl>	[0..1]	Text		330
	Responsibility <Rspnsblty>	[0..1]	Text		331
	Department <Dept>	[0..1]	Text		331
	Other <Othr>	[0..*]			331
	ChannelType <ChanlTp>	[1..1]	Text		331
	Identification <Id>	[0..1]	Text		331
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		331

11.1.10.3.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix2Code" on page 373

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.

CodeName	Name	Definition
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.1.10.3.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 390

11.1.10.3.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 393

11.1.10.3.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 393

11.1.10.3.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 393

11.1.10.3.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 391

11.1.10.3.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 391

11.1.10.3.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 391

11.1.10.3.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 391

11.1.10.3.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 392

11.1.10.3.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanTp>	[1..1]	Text		331
	Identification <Id>	[0..1]	Text		331

11.1.10.3.11.1 ChannelType <ChanTp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 392

11.1.10.3.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 390

11.1.10.3.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 375

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.

CodeName	Name	Definition
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

11.1.10.4 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			332
	BirthDate <BirthDt>	[1..1]	Date		332
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		333
	CityOfBirth <CityOfBirth>	[1..1]	Text		333
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	333
	Other <Othr>	[0..*]			333
	Identification <Id>	[1..1]	Text		333
	SchemeName <SchmeNm>	[0..1]			333
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	Text		334
	Issuer </ssr>	[0..1]	Text		334

11.1.10.4.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		332
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		333
	CityOfBirth <CityOfBirth>	[1..1]	Text		333
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	333

11.1.10.4.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 386

11.1.10.4.1.2 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where a person was born.*Datatype:* "Max35Text" on page 391**11.1.10.4.1.3 CityOfBirth <CityOfBirth>***Presence:* [1..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 391**11.1.10.4.1.4 CountryOfBirth <CtryOfBirth>***Presence:* [1..1]*Definition:* Country where a person was born.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 367**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.4.2 Other <Othr>*Presence:* [0..*]*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		333
	SchemeName <SchmeNm>	[0..1]			333
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	Text		334
	Issuer <Issr>	[0..1]	Text		334

11.1.10.4.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of a person.*Datatype:* "Max35Text" on page 391**11.1.10.4.2.2 SchemeName <SchmeNm>***Presence:* [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	Text		334

11.1.10.4.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 370

11.1.10.4.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 391

11.1.10.4.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 391

11.1.11 Postal Address

11.1.11.1 LongPostalAddress2Choice

Definition: Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		334
Or}	Structured <Strd>	[1..1]	±		335

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

11.1.11.1.1 Unstructured <Ustrd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max350Text" on page 391

11.1.11.1.2 Structured <Strd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

Structured <Strd> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

11.1.11.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	±		337
	Department <Dept>	[0..1]	Text		337
	SubDepartment <SubDept>	[0..1]	Text		337
	StreetName <StrtNm>	[0..1]	Text		337
	BuildingNumber <BldgNb>	[0..1]	Text		337
	BuildingName <BldgNm>	[0..1]	Text		338
	Floor <Flr>	[0..1]	Text		338
	PostBox <PstBx>	[0..1]	Text		338
	Room <Room>	[0..1]	Text		338
	PostCode <PstCd>	[0..1]	Text		338
	TownName <TwnNm>	[0..1]	Text		338
	TownLocationName <TwnLctnNm>	[0..1]	Text		338
	DistrictName <DstrctNm>	[0..1]	Text		338
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		339
	Country <Ctry>	[0..1]	CodeSet	C3	339
	AddressLine <AdrLine>	[0..7]	Text		339

11.1.11.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	±		337

11.1.11.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.11.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.11.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 392

11.1.11.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 392

11.1.11.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "[Max70Text](#)" on page 392

11.1.11.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "[Max16Text](#)" on page 391

11.1.11.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 391

11.1.11.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 392

11.1.11.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 391

11.1.11.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 392

11.1.11.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 391

11.1.11.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 391

11.1.11.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 391

11.1.11.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 391

11.1.11.2.14 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 391**11.1.11.2.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 367**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.11.2.16 AddressLine <AdrLine>*Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 392**11.1.11.3 PostalAddress1***Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

11.1.11.3.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 365

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.11.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 392

11.1.11.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 392

11.1.11.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 391

11.1.11.3.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 391

11.1.11.3.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 391

11.1.11.3.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 391

11.1.11.3.8 Country <Ctry>*Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 367**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.11.4 NameAndAddress9*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]	±	C19	341

11.1.11.4.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 391**11.1.11.4.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of a party.*Impacted by:* C19 "StructuredOrUnstructured1Guideline"

Address <Adr> contains one of the following elements (see "LongPostalAddress2Choice" on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		334
Or}	Structured <Strd>	[1..1]	±		335

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

11.1.11.5 NameAndAddress5*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		342
	Address <Adr>	[0..1]	±		342

11.1.11.5.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 391

11.1.11.5.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		340
	StreetName <StrtNm>	[0..1]	Text		340
	BuildingNumber <BldgNb>	[0..1]	Text		340
	PostCode <PstCd>	[0..1]	Text		340
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	341

11.1.12 Price

11.1.12.1 PriceRateOrAmount3Choice

Definition: Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		342
Or}	Amount <Amt>	[1..1]	Amount	C4	343

11.1.12.1.1 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, that is percentage.

Datatype: "PercentageRate" on page 389

11.1.12.1.2 Amount <Amt>*Presence:* [1..1]*Definition:* Price expressed as a currency and value.*Impacted by:* C4 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 364**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.1.13 Quantity**11.1.13.1 SignedQuantityFormat14***Definition:* Signed quantity of a security for various quantity formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		343
	Quantity <Qty>	[1..1]		C9	343
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

11.1.13.1.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of securities.*Datatype:* "ShortLong1Code" on page 381

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

11.1.13.1.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of securities.*Impacted by:* C9 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		344
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		344

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

11.1.13.1.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 388

11.1.13.1.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 364

11.1.13.1.2.3 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 389

11.1.13.2 SignedQuantityFormat15

Definition: Signed quantity of a security for various quantity formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		345
	Quantity <Qty>	[1..1]		C9	345
{Or	Unit <Unit>	[1..1]	Quantity		345
Or	FaceAmount <FaceAmt>	[1..1]	Amount		345
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		345
Or}	Code <Cd>	[1..1]	CodeSet		346

11.1.13.2.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of securities.*Datatype:* "ShortLong1Code" on page 381

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

11.1.13.2.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of securities.*Impacted by:* C9 "DigitalTokenUnitUsageRule"**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		345
Or	FaceAmount <FaceAmt>	[1..1]	Amount		345
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		345
Or}	Code <Cd>	[1..1]	CodeSet		346

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

11.1.13.2.2.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "DecimalNumber" on page 388**11.1.13.2.2.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.*Datatype:* "ImpliedCurrencyAndAmount" on page 364**11.1.13.2.2.3 DigitalTokenUnit <DgtlTknUnit>***Presence:* [1..1]*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 389

11.1.13.2.2.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 377

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.1.14 Safekeeping Place

11.1.14.1 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			346
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification <Id>	[0..1]	Text		347
Or	Country <Ctry>	[1..1]	CodeSet	C3	347
Or	TypeAndIdentification <TpAndId>	[1..1]			347
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348
Or}	Proprietary <Prtry>	[1..1]	±		348

11.1.14.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		346
	Identification <Id>	[0..1]	Text		347

11.1.14.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 380

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

11.1.14.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 391

11.1.14.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 367

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.14.1.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		347
	Identification <Id>	[1..1]	IdentifierSet	C1	348

11.1.14.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 379

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.

CodeName	Name	Definition
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

11.1.14.1.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 387

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.14.1.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		259
	Identification <Id>	[0..1]	Text		259

11.1.15 Securities Quantity

11.1.15.1 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

11.1.15.1.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "DecimalNumber" on page 388**11.1.15.1.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.*Datatype:* "ImpliedCurrencyAndAmount" on page 364**11.1.15.2 QuantityOrCode1Choice***Definition:* Choice between a quantity or a code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		349
Or}	Code <Cd>	[1..1]	CodeSet		349

11.1.15.2.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		349
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		349

11.1.15.2.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 377

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.1.16 Status**11.1.16.1 CancellationProcessingStatus2***Definition:* Status advising on the processing of the cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[0..1]	Text		350

11.1.16.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "CancellationStatus6Code" on page 367

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

11.1.16.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 391

11.1.16.2 PendingStatus70Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		350
Or}	Reason <Rsn>	[1..*]		C6	351
	ReasonCode <RsnCd>	[1..1]	±		351
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		351

11.1.16.2.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 373

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is pending.

Impacted by: C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		351
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		351

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following elements (see "PendingReason67Choice" on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		354
Or}	Proprietary <Prtry>	[1..1]	±		355

11.1.16.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "Max350Text" on page 391

11.1.16.3 RejectedStatus55Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		352
Or}	Reason <Rsn>	[1..*]		C5	352
	ReasonCode <RsnCd>	[1..1]			352
{Or	Code <Cd>	[1..1]	CodeSet		353
Or}	Proprietary <Prtry>	[1..1]	±		354
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		354

11.1.16.3.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 373

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			352
{Or	Code <Cd>	[1..1]	CodeSet		353
Or}	Proprietary <Prtry>	[1..1]	±		354
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		354

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		353
Or}	Proprietary <Prtry>	[1..1]	±		354

11.1.16.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason82Code" on page 377

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.

CodeName	Name	Definition
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

11.1.16.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.16.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max350Text](#)" on page 391

11.1.16.4 PendingReason67Choice

Definition: Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		354
Or}	Proprietary <Prtry>	[1..1]	±		355

11.1.16.4.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason25Code" on page 374

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

11.1.16.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.16.5 CancellationStatus27Choice

Definition: Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxlSts>	[1..1]	±		356
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C22	356
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		357
	AccountIdentification <AcctId>	[0..1]	Text		357
	SubAccountIdentification <SubAcctId>	[0..1]	Text		357
	InstructionCancellationStatus <InstrCxlSts>	[1..1]	±		357

11.1.16.5.1 GlobalCancellationStatus <GblCxlSts>

Presence: [1..1]

Definition: Status applicable globally to the meeting instruction cancellation request message.

GlobalCancellationStatus <GblCxlSts> contains one of the following elements (see "CancellationStatus26Choice" on page 357 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrpgSts>	[1..1]	±		357
Or	Rejected <Rjctd>	[1..1]	±		358
Or}	PendingCancellation <PdgCxl>	[1..1]	±		358

11.1.16.5.2 DetailedCancellationStatus <DtldCxlSts>

Presence: [1..*]

Definition: Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

Impacted by: C22 "SubAccount1Rule"

DetailedCancellationStatus <DtldCxlSts> contains the following **DetailedInstructionCancellationStatus14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		357
	AccountIdentification <AcctId>	[0..1]	Text		357
	SubAccountIdentification <SubAcctId>	[0..1]	Text		357
	InstructionCancellationStatus <InstrCxlSts>	[1..1]	±		357

Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition
 /SubAccountIdentification is present
 Following Must be True
 /AccountIdentification Must be present

11.1.16.5.2.1 SingleInstructionCancellationIdentification <SnglInstrCxlId>

Presence: [1..1]

Definition: Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in InstructionType/ InstructionCancellationIdentification, for which the status is provided.

Datatype: "Max35Text" on page 391

11.1.16.5.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 391

11.1.16.5.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 391

11.1.16.5.2.4 InstructionCancellationStatus <InstrCxlSts>

Presence: [1..1]

Definition: Status of an individual meeting instruction cancellation request.

InstructionCancellationStatus <InstrCxlSts> contains one of the following elements (see "CancellationStatus26Choice" on page 357 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		357
Or	Rejected <Rjctd>	[1..1]	±		358
Or}	PendingCancellation <PdgCxl>	[1..1]	±		358

11.1.16.6 CancellationStatus26Choice

Definition: Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		357
Or	Rejected <Rjctd>	[1..1]	±		358
Or}	PendingCancellation <PdgCxl>	[1..1]	±		358

11.1.16.6.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

ProcessingStatus <PrcgSts> contains the following elements (see "CancellationProcessingStatus2" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[0..1]	Text		350

11.1.16.6.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

Rejected <Rjctd> contains one of the following elements (see "RejectedStatus31Choice" on page 361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		361
Or}	Reason <Rsn>	[1..*]		C7	361
	ReasonCode <RsnCd>	[1..1]			362
{Or	Code <Cd>	[1..1]	CodeSet		362
Or}	Proprietary <Prtry>	[1..1]	±		363
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		363

11.1.16.6.3 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus10Choice" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		359
Or}	Reason <Rsn>	[1..*]		C4, C8	359
	ReasonCode <RsnCd>	[1..1]			360
{Or	Code <Cd>	[1..1]	CodeSet		360
Or}	Proprietary <Prtry>	[1..1]	±		360
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		361

11.1.16.7 PendingCancellationStatus10Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		359
Or}	Reason <Rsn>	[1..*]		C4, C8	359
	ReasonCode <RsnCd>	[1..1]			360
{Or	Code <Cd>	[1..1]	CodeSet		360
Or}	Proprietary <Prtry>	[1..1]	±		360
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		361

11.1.16.7.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 373

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.7.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

Impacted by: C4 "AccountServicerDeadlineMissedGuideline", C8 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			360
{Or	Code <Cd>	[1..1]	CodeSet		360
Or}	Proprietary <Prtry>	[1..1]	±		360
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		361

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.7.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		360
Or}	Proprietary <Prtry>	[1..1]	±		360

11.1.16.7.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingCancellationReason6Code" on page 374

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

11.1.16.7.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.16.7.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the pending status.*Datatype:* "Max350Text" on page 391**11.1.16.8 RejectedStatus31Choice***Definition:* Choice between a reason or no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		361
Or}	Reason <Rsn>	[1..*]		C7	361
	ReasonCode <RsnCd>	[1..1]			362
{Or	Code <Cd>	[1..1]	CodeSet		362
Or}	Proprietary <Prtry>	[1..1]	±		363
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		363

11.1.16.8.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 373

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.8.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.*Impacted by:* C7 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			362
{Or	Code <Cd>	[1..1]	CodeSet		362
Or}	Proprietary <Prtry>	[1..1]	±		363
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		363

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.8.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		362
Or}	Proprietary <Prtry>	[1..1]	±		363

11.1.16.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason51Code" on page 377

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

11.1.16.8.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the reason why the instruction/cancellation request has a rejected status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		260
	Issuer <Issr>	[1..1]	Text		260
	SchemeName <SchmeNm>	[0..1]	Text		260

11.1.16.8.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the rejection status.*Datatype:* "[Max350Text](#)" on page 391**11.1.17 System Identification****11.1.17.1 ClearingSystemIdentification2Choice***Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	Text		363

11.1.17.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identification of a clearing system, in a coded form as published in an external list.*Datatype:* "[ExternalClearingSystemIdentification1Code](#)" on page 369**11.1.17.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "[Max35Text](#)" on page 391

11.2 Message Datatypes

11.2.1 Amount

11.2.1.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 365

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.2.1.2 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

11.2.2 CodeSet

11.2.2.1 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.2.2.2 AdditionalRight1Code

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: CodeSet

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

11.2.2.3 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.2.2.4 AgentRole1Code

Definition: Specifies the role of the Issuer agent.

Type: CodeSet

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

11.2.2.5 AttendanceAdmissionConditions2Code

Definition: Specifies the conditions for physical admittance to a general meeting.

Type: CodeSet

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

11.2.2.6 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- **ValidationByTable**

11.2.2.7 CancellationStatus6Code

Definition: Specifies the cancellation status.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

11.2.2.8 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

11.2.2.9 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.2.2.10 DateMode1Code

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

11.2.2.11 DateType10Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

11.2.2.12 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.2.2.13 DeliveryPlace3Code

Definition: Specifies the place of delivery.

Type: CodeSet

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.

CodeName	Name	Definition
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

11.2.2.14 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.2.2.15 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

11.2.2.16 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

11.2.2.17 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.18 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.19 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.20 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
-----------	---

maxLength 4

11.2.2.21 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

11.2.2.22 MeetingCancellationReason2Code

Definition: Specifies the reason for cancelling a meeting.

Type: CodeSet

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

11.2.2.23 MeetingDateStatus2Code

Definition: Specifies a status for a meeting date.

Type: CodeSet

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

11.2.2.24 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and

CodeName	Name	Definition
		directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

11.2.2.25 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

11.2.2.26 ModalityOfCounting1Code

Definition: Specifies the modality through which the votes that have been recorded and counted.

Type: CodeSet

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

11.2.2.27 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

11.2.2.28 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.2.2.29 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.2.2.30 NotificationType2Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

11.2.2.31 NotificationType3Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.

CodeName	Name	Definition
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

11.2.2.32 PartyRole3Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

11.2.2.33 PendingCancellationReason6Code

Definition: Specifies a reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

11.2.2.34 PendingReason25Code

Definition: Specifies a reason why the instruction is pending.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.

CodeName	Name	Definition
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

11.2.2.35 PlaceType1Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

11.2.2.36 PowerOfAttorneyLegalisation1Code

Definition: Indicates the legal constraint on the Power of Attorney.

Type: CodeSet

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

11.2.2.37 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

11.2.2.38 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

11.2.2.39 ProxyNotAllowed1Code

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

11.2.2.40 ProxyType3Code

Definition: Specifies the type of proxy person.

Type: CodeSet

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

11.2.2.41 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.2.2.42 RejectionReason51Code

Definition: Specifies a reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

11.2.2.43 RejectionReason82Code

Definition: Specifies a reason why the instruction has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

11.2.2.44 ResolutionStatus1Code

Definition: Specifies whether a resolution in an agenda is still active or has been deleted.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

11.2.2.45 ResolutionStatus2Code

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

11.2.2.46 ResolutionSubStatus1Code

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

11.2.2.47 ResolutionType2Code

Definition: Specifies the type of resolution.

Type: CodeSet

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

11.2.2.48 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

11.2.2.49 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

11.2.2.50 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

11.2.2.51 SecuritiesEntryType3Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

11.2.2.52 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

11.2.2.53 Status9Code

Definition: Specifies the status of an instruction.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.

11.2.2.54 ThresholdBasis1Code

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

Type: CodeSet

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

11.2.2.55 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.

CodeName	Name	Definition
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

11.2.2.56 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

11.2.2.57 VoteChannel1Code

Definition: Specifies the network channel through which the vote should be sent.

Type: CodeSet

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

11.2.2.58 VoteInstruction5Code

Definition: Specifies a type of voting instruction that can be part of a recommendation to vote.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a

CodeName	Name	Definition
		strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

11.2.2.59 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.2.2.60 VoteInstruction7Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.2.2.61 VoteType1Code

Definition: Specifies the impact of a vote on an agenda resolution in general meetings.

Type: CodeSet

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

11.2.2.62 VotingParticipationMethod2Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

11.2.2.63 VotingParticipationMethod3Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

11.2.3 Date

11.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

11.2.4 DateTime

11.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

11.2.5 IdentifierSet

11.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

11.2.5.3 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

11.2.5.4 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

11.2.5.5 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

11.2.6 Indicator

11.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

11.2.7 Quantity

11.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

11.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

totalDigits	30
fractionDigits	29

11.2.7.3 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

11.2.8 Rate

11.2.8.1 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

11.2.9 Text

11.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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11.2.9.2 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.
Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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11.2.9.3 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.
Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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11.2.9.4 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.
Type: Text

Format

minLength	1
maxLength	1025

11.2.9.5 Max105Text

Definition: Specifies a character string with a maximum length of 105 characters.
Type: Text

Format

minLength	1
maxLength	105

11.2.9.6 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.
Type: Text

Format

minLength	1
maxLength	128

11.2.9.7 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

11.2.9.8 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

11.2.9.9 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

11.2.9.10 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

11.2.9.11 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

11.2.9.12 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

11.2.9.13 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

11.2.9.14 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

11.2.9.15 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

minLength	1
maxLength	50

11.2.9.16 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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11.2.9.17 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

11.2.9.18 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

minLength	1
maxLength	8000

11.2.9.19 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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