ISO 20022

Authorities Financial Investigations - Maintenance 2023 - 2024

Message Definition Report - Part 2

Approved by the Payments SEG on 18 January 2024

This document provides details of the Message Definitions for Authorities Financial Investigations - Maintenance 2023 - 2024.

February 2024

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1   Message Set Overview

Introduction

This document describes the Authoriries Financial Investigations message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2023-2024 (See MCR #234) and approved by the Payments Standards Evaluation Group on 18 January2024.

1.1   List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
auth.001.001.02 InformationRequestOpeningV02	This message is sent by the authorities (police, customs, tax authorities, enforcement authorities) to a financial institution to request account and other banking and financial information. Requested information can relate to accounts, their signatories and beneficiaries and co-owners as well as movements plus positions on these accounts. Requests are underpinned by specific legal texts.
auth.002.001.02 InformationRequestResponseV02	This message is sent by the financial institution to the authorities (police, customs, tax authorities, enforcement authorities) to provide a part or all of the requested information. The financial institution previously received a request for financial information in the scope of a financial investigation. Depending on whether the response can be provided STP within the authorities financial investigations messages, the requested information may be • provided in part or in full within the response message itself, or • only referred to in the response message.
auth.003.001.01 InformationRequestStatusChangeNotificationV01	This message is sent by the authorities (police, customs, tax authorities, enforcement authorities) to a financial institution to inform the financial institution that the confidentiality status of the investigation has changed.

2   auth.001.001.02 InformationRequestOpeningV02

2.1   MessageDefinition Functionality

This message is sent by the authorities (police, customs, tax authorities, enforcement authorities) to a financial institution to request account and other banking and financial information. Requested information can relate to accounts, their signatories and beneficiaries and co-owners as well as movements plus positions on these accounts.

Requests are underpinned by specific legal texts.

Outline

The InformationRequestOpeningV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. InvestigationIdentification

Unique identification for the specific investigation as known by the requesting party.

B. LegalMandateBasis

Provides details on the legal basis of the request.

C. ConfidentialityStatus

Specifies the confidentiality status of the investigation.

D. DueDate

Specifies the date by when the financial institutiion needs to provide a response.

E. InvestigationPeriod

Specifies the dates between which period the authority requests that the financial institution provides a response to the information request.

F. SearchCriteria

Specifies the the search criteria for the financial institution to perform the search on. The search criteria can be an account, a customer identification or a payment instrument type.

G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

2.2   Structure

Or	MessageElement/BuildingBlock*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Message root <Document> <InfReqOpng>	[1..1]			
	InvestigationIdentification *<InvstgtnId>*	[1..1]	Text		7
	LegalMandateBasis *<LglMndtBsis>*	[1..1]			7
	Paragraph *<Prgrph>*	[1..1]	Text		7
	Disclaimer *<Dsclmr>*	[0..1]	Text		8
	ConfidentialityStatus *<CnfdtltySts>*	[1..1]	Indicator		8
	DueDate *<DueDt>*	[0..1]			8
	DueDate *<DueDt>*	[0..1]	Date		8
	AdditionalInformation *<Addtllnf>*	[0..1]	Text		8
	InvestigationPeriod *<InvstgtnPrd>*	[1..1]	±		8
	SearchCriteria *<SchCrit>*	[1..1]			9
{Or	**Account** *<Acct>*	[1..1]			11
	Identification *<Id>*	[1..1]		C7, C6	11
	Identification *<Id>*	[0..1]	±		12
	Type *<Tp>*	[0..1]	±		12
	Currency *<Ccy>*	[0..1]	CodeSet	C1	13
	Name *<Nm>*	[0..1]	Text		13
	Proxy *<Prxy>*	[0..1]	±		13
	Owner *<Ownr>*	[0..1]	±		14
	Servicer *<Svcr>*	[0..1]	±		14
	InvestigatedParties *<InvstgtdPties>*	[1..1]			15
{Or	**Code** *<Cd>*	[1..1]	CodeSet		15
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		16
	AuthorityRequestType *<AuthrtyReqTp>*	[1..*]			16
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		16
	MessageName *<MsgNm>*	[0..1]	Text		16
Or	**CustomerIdentification** *<CstmrId>*	[1..1]			16
	Party *<Pty>*	[1..1]	±		17
	AuthorityRequest *<AuthrtyReq>*	[1..*]			18
	Type *<Tp>*	[1..1]			19

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		19
	MessageName <*MsgNm*>	[0..1]	Text		19
	InvestigatedRoles <*InvstgtdRoles*>	[1..1]			19
{Or	**Code** <*Cd*>	[1..1]	CodeSet		20
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		20
	AdditionalInvestigatedParties <*AddtlInvstgtdPties*>	[0..1]			20
{Or	**Code** <*Cd*>	[1..1]	CodeSet		20
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		21
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		21
Or	**PaymentInstrument** <*PmtInstrm*>	[1..1]			21
	CardNumber <*CardNb*>	[1..1]	Text		21
	AuthorityRequestType <*AuthrtyReqTp*>	[1..*]			21
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		21
	MessageName <*MsgNm*>	[0..1]	Text		22
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		22
Or}	**OriginalTransactionNumber** <*OrgnlTxNb*>	[1..*]			22
	Number <*Nb*>	[1..1]	Text		22
	Type <*Tp*>	[1..*]	CodeSet		22
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		22
	SupplementaryData <*SplmtryData*>	[0..*]	±	C8	23

2.3   Constraints

C1   ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2   AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3   BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C4   **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5   **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C6   **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C7   **IdentificationOrProxyPresenceRule**

Identification must be present or proxy must be present.

C8   **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

2.4   Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1   InvestigationIdentification <InvstgtnId>

Presence: [1..1]

Definition: Unique identification for the specific investigation as known by the requesting party.

Datatype: "Max35Text" on page 84

2.4.2   LegalMandateBasis <LglMndtBsis>

Presence: [1..1]

Definition: Provides details on the legal basis of the request.

LegalMandateBasis <LglMndtBsis> contains the following **LegalMandate1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Paragraph *<Prgrph>*	[1..1]	Text		7
	Disclaimer *<Dsclmr>*	[0..1]	Text		8

2.4.2.1 Paragraph <Prgrph>

Presence: [1..1]

Definition: Identifies the legal mandate paragraph in law which gives power to the authority's request.

Datatype: "Max35Text" on page 84

2.4.2.2 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Specifies any additional information describing how or why the paragraph of law should be applied.

Datatype: "Max350Text" on page 84

2.4.3   ConfidentialityStatus <CnfdtltySts>

Presence: [1..1]

Definition: Specifies the confidentiality status of the investigation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 83):

• *Meaning When True:* Yes

• *Meaning When False:* No

2.4.4   DueDate <DueDt>

Presence: [0..1]

Definition: Specifies the date by when the financial institutiion needs to provide a response.

DueDate <DueDt> contains the following **DueDate1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	DueDate *<DueDt>*	[0..1]	Date		8
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		8

2.4.4.1 DueDate <DueDt>

Presence: [0..1]

Definition: Specifies the date when the authority needs the response in situations where the response or part of it will not be given electronically but on paper in manual process.

Datatype: "ISODate" on page 81

2.4.4.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Specifies the reason why the authority needs the information on due date.

Datatype: "Max140Text" on page 83

2.4.5   InvestigationPeriod <InvstgtnPrd>

Presence: [1..1]

Definition: Specifies the dates between which period the authority requests that the financial institution provides a response to the information request.

InvestigationPeriod <InvstgtnPrd> contains one of the following elements (see "DateOrDateTimePeriod1Choice" on page 51 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Date *<Dt>*	[1..1]	±		51
Or}	DateTime *<DtTm>*	[1..1]	±		51

2.4.6   SearchCriteria <SchCrit>

Presence: [1..1]

Definition: Specifies the the search criteria for the financial institution to perform the search on. The search criteria can be an account, a customer identification or a payment instrument type.

SearchCriteria <SchCrit> contains one of the following **SearchCriteria2Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Account** *<Acct>*	[1..1]			11
	Identification *<Id>*	[1..1]		C7, C6	11
	Identification *<Id>*	[0..1]	±		12
	Type *<Tp>*	[0..1]	±		12
	Currency *<Ccy>*	[0..1]	CodeSet	C1	13
	Name *<Nm>*	[0..1]	Text		13
	Proxy *<Prxy>*	[0..1]	±		13
	Owner *<Ownr>*	[0..1]	±		14
	Servicer *<Svcr>*	[0..1]	±		14
	InvestigatedParties *<InvstgtdPties>*	[1..1]			15
{Or	**Code** *<Cd>*	[1..1]	CodeSet		15
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		16
	AuthorityRequestType *<AuthrtyReqTp>*	[1..*]			16
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		16
	MessageName *<MsgNm>*	[0..1]	Text		16
Or	**CustomerIdentification** *<CstmrId>*	[1..1]			16
	Party *<Pty>*	[1..1]	±		17
	AuthorityRequest *<AuthrtyReq>*	[1..*]			18
	Type *<Tp>*	[1..1]			19
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		19
	MessageName *<MsgNm>*	[0..1]	Text		19
	InvestigatedRoles *<InvstgtdRoles>*	[1..1]			19
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		20
	AdditionalInvestigatedParties *<AddtlInvstgtdPties>*	[0..1]			20
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		21
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		21
Or	**PaymentInstrument** *<PmtInstrm>*	[1..1]			21
	CardNumber *<CardNb>*	[1..1]	Text		21
	AuthorityRequestType *<AuthrtyReqTp>*	[1..*]			21

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		21
	MessageName <*MsgNm*>	[0..1]	Text		22
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		22
Or}	**OriginalTransactionNumber** <*OrgnlTxNb*>	[1..*]			22
	Number <*Nb*>	[1..1]	Text		22
	Type <*Tp*>	[1..*]	CodeSet		22
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		22

2.4.6.1 Account <Acct>

Presence: [1..1]

Definition: Identifies the account as the search criteria for the financial institution to do the investigation.

Account <Acct> contains the following **AccountAndParties3** elements

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	Identification <*Id*>	[1..1]		C7, C6	11
	Identification <*Id*>	[0..1]	±		12
	Type <*Tp*>	[0..1]	±		12
	Currency <*Ccy*>	[0..1]	CodeSet	C1	13
	Name <*Nm*>	[0..1]	Text		13
	Proxy <*Prxy*>	[0..1]	±		13
	Owner <*Ownr*>	[0..1]	±		14
	Servicer <*Svcr*>	[0..1]	±		14
	InvestigatedParties <*InvstgtdPties*>	[1..1]			15
{Or	**Code** <*Cd*>	[1..1]	CodeSet		15
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		16
	AuthorityRequestType <*AuthrtyReqTp*>	[1..*]			16
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		16
	MessageName <*MsgNm*>	[0..1]	Text		16

2.4.6.1.1 Identification <Id>

Presence: [1..1]

Definition: Specifies the account for the investigation.

Impacted by: C7 "IdentificationOrProxyPresenceRule", C6 "IdentificationAndProxyGuideline"

Identification <Id> contains the following **CashAccount43** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[0..1]	±		12
	Type *<Tp>*	[0..1]	±		12
	Currency *<Ccy>*	[0..1]	CodeSet	C1	13
	Name *<Nm>*	[0..1]	Text		13
	Proxy *<Prxy>*	[0..1]	±		13
	Owner *<Ownr>*	[0..1]	±		14
	Servicer *<Svcr>*	[0..1]	±		14

Constraints

- **IdentificationAndProxyGuideline**

  If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

  Identification must be present or proxy must be present.

  ```
  Following Must be True
       /Identification Must be present
   Or     /Proxy Must be present
  ```

2.4.6.1.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "AccountIdentification4Choice" on page 49 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	IBAN *<IBAN>*	[1..1]	IdentifierSet	C5	49
Or}	Other *<Othr>*	[1..1]	±		50

2.4.6.1.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the nature, or use of the account.

Type <Tp> contains one of the following elements (see "CashAccountType2Choice" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		47
Or}	Proprietary <Prtry>	[1..1]	Text		47

2.4.6.1.1.3 Currency <Ccy>

Presence: [0..1]

Definition: Identification of the currency in which the account is held.

Impacted by: C1 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 76

Constraints

- **ActiveOrHistoricCurrency**

  The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

2.4.6.1.1.4 Name <Nm>

Presence: [0..1]

Definition: Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

Datatype: "Max70Text" on page 85

2.4.6.1.1.5 Proxy <Prxy>

Presence: [0..1]

Definition: Specifies an alternate assumed name for the identification of the account.

Proxy <Prxy> contains the following elements (see "ProxyAccountIdentification1" on page 48 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			48
{Or	Code <Cd>	[1..1]	CodeSet		49
Or}	Proprietary <Prtry>	[1..1]	Text		49
	Identification <Id>	[1..1]	Text		49

2.4.6.1.1.6 Owner <Ownr>

Presence: [0..1]

Definition: Party that legally owns the account.

Owner <Ownr> contains the following elements (see "PartyIdentification272" on page 60 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		61
	PostalAddress <PstlAdr>	[0..1]	±		61
	Identification <Id>	[0..1]			61
{Or	OrganisationIdentification <OrgId>	[1..1]			62
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	63
	LEI <LEI>	[0..1]	IdentifierSet		63
	Other <Othr>	[0..*]			63
	Identification <Id>	[1..1]	Text		64
	SchemeName <SchmeNm>	[0..1]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	Text		64
	Issuer <Issr>	[0..1]	Text		64
Or}	PrivateIdentification <PrvtId>	[1..1]			64
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			65
	BirthDate <BirthDt>	[1..1]	Date		65
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		65
	CityOfBirth <CityOfBirth>	[1..1]	Text		66
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C4	66
	Other <Othr>	[0..*]			66
	Identification <Id>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	Text		67
	Issuer <Issr>	[0..1]	Text		67
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	67
	ContactDetails <CtctDtls>	[0..1]	±		67

2.4.6.1.1.7 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Servicer <Svcr> contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 52 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification *<FinInstnId>*	[1..1]			53
	BICFI *<BICFI>*	[0..1]	IdentifierSet	C3	53
	ClearingSystemMemberIdentification *<ClrSysMmbId>*	[0..1]	±		54
	LEI *<LEI>*	[0..1]	IdentifierSet		54
	Name *<Nm>*	[0..1]	Text		54
	PostalAddress *<PstlAdr>*	[0..1]	±		54
	Other *<Othr>*	[0..1]	±		55
	BranchIdentification *<BrnchId>*	[0..1]			56
	Identification *<Id>*	[0..1]	Text		56
	LEI *<LEI>*	[0..1]	IdentifierSet		56
	Name *<Nm>*	[0..1]	Text		56
	PostalAddress *<PstlAdr>*	[0..1]	±		56

2.4.6.1.2 InvestigatedParties <InvstgtdPties>

Presence: [1..1]

Definition: Specifies the investigated parties related to the account such as the owner, beneficiary, signatory or any party playing a role in that account for which the investigation needs to be done.

InvestigatedParties <InvstgtdPties> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Code** *<Cd>*	[1..1]	CodeSet		15
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		16

2.4.6.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

2.4.6.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

2.4.6.1.3 AuthorityRequestType <AuthrtyReqTp>

Presence: [1..*]

Definition: Identifies the authority request type as a code.

AuthorityRequestType <AuthrtyReqTp> contains the following **AuthorityRequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		16
	MessageName *<MsgNm>*	[0..1]	Text		16

2.4.6.1.3.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

2.4.6.1.3.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

2.4.6.2 CustomerIdentification <CstmrId>

Presence: [1..1]

Definition: Identifies a customer identification as the search criteria for the financial institution to do the investigation.

CustomerIdentification <CstmrId> contains the following **CustomerIdentification2** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Party *<Pty>*	[1..1]	±		17
	AuthorityRequest *<AuthrtyReq>*	[1..*]			18
	Type *<Tp>*	[1..1]			19
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		19
	MessageName *<MsgNm>*	[0..1]	Text		19
	InvestigatedRoles *<InvstgtdRoles>*	[1..1]			19
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		20
	AdditionalInvestigatedParties *<AddtlInvstgtdPties>*	[0..1]			20
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		21
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		21

2.4.6.2.1 Party <Pty>

Presence: [1..1]

Definition: Identifies the customer for the investigation.

Party **<Pty>** contains the following elements (see <u>"PartyIdentification272" on page 60</u> for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Name *<Nm>*	[0..1]	Text		61
	PostalAddress *<PstlAdr>*	[0..1]	±		61
	Identification *<Id>*	[0..1]			61
{Or	OrganisationIdentification *<OrgId>*	[1..1]			62
	AnyBIC *<AnyBIC>*	[0..1]	IdentifierSet	C2	63
	LEI *<LEI>*	[0..1]	IdentifierSet		63
	Other *<Othr>*	[0..*]			63
	Identification *<Id>*	[1..1]	Text		64
	SchemeName *<SchmeNm>*	[0..1]			64
{Or	Code *<Cd>*	[1..1]	CodeSet		64
Or}	Proprietary *<Prtry>*	[1..1]	Text		64
	Issuer *<Issr>*	[0..1]	Text		64
Or}	PrivateIdentification *<PrvtId>*	[1..1]			64
	DateAndPlaceOfBirth *<DtAndPlcOfBirth>*	[0..1]			65
	BirthDate *<BirthDt>*	[1..1]	Date		65
	ProvinceOfBirth *<PrvcOfBirth>*	[0..1]	Text		65
	CityOfBirth *<CityOfBirth>*	[1..1]	Text		66
	CountryOfBirth *<CtryOfBirth>*	[1..1]	CodeSet	C4	66
	Other *<Othr>*	[0..*]			66
	Identification *<Id>*	[1..1]	Text		66
	SchemeName *<SchmeNm>*	[0..1]			66
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67
	Issuer *<Issr>*	[0..1]	Text		67
	CountryOfResidence *<CtryOfRes>*	[0..1]	CodeSet	C4	67
	ContactDetails *<CtctDtls>*	[0..1]	±		67

2.4.6.2.2 AuthorityRequest <AuthrtyReq>

Presence: [1..*]

Definition: Specifies the authority request related to the identified investigation party.

AuthorityRequest <AuthrtyReq> contains the following **AuthorityInvestigation2** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Type *<Tp>*	[1..1]			19
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		19
	MessageName *<MsgNm>*	[0..1]	Text		19
	InvestigatedRoles *<InvstgtdRoles>*	[1..1]			19
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		20
	AdditionalInvestigatedParties *<AddtlInvstgtdPties>*	[0..1]			20
{Or	**Code** *<Cd>*	[1..1]	CodeSet		20
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		21
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		21

2.4.6.2.2.1 Type <Tp>

Presence: [1..1]

Definition: Identifies the type requested information as a code.

Type <Tp> contains the following **AuthorityRequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		19
	MessageName *<MsgNm>*	[0..1]	Text		19

2.4.6.2.2.1.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

2.4.6.2.2.1.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

2.4.6.2.2.2 InvestigatedRoles <InvstgtdRoles>

Presence: [1..1]

Definition: Identifies the roles the customer plays in the requested information.

InvestigatedRoles <InvstgtdRoles> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
{Or	**Code** <*Cd*>	[1..1]	CodeSet		20
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		20

2.4.6.2.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

2.4.6.2.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

2.4.6.2.2.3 AdditionalInvestigatedParties <AddtlInvstgtdPties>

Presence: [0..1]

Definition: Specifies the additional investigated parties.

AdditionalInvestigatedParties <AddtlInvstgtdPties> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
{Or	**Code** <*Cd*>	[1..1]	CodeSet		20
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		21

2.4.6.2.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

2.4.6.2.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

2.4.6.2.2.4 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

2.4.6.3 PaymentInstrument <PmtInstrm>

Presence: [1..1]

Definition: Identifies a payment instrument as the search criteria for the financial institution to do the investigation.

PaymentInstrument <PmtInstrm> contains the following **PaymentInstrumentType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CardNumber <CardNb>	[1..1]	Text		21
	AuthorityRequestType <AuthrtyReqTp>	[1..*]			21
	MessageNameIdentification <MsgNmId>	[1..1]	Text		21
	MessageName <MsgNm>	[0..1]	Text		22
	AdditionalInformation <AddtlInf>	[0..1]	Text		22

2.4.6.3.1 CardNumber <CardNb>

Presence: [1..1]

Definition: Provides the card number.

Datatype: "Min8Max28NumericText" on page 85

2.4.6.3.2 AuthorityRequestType <AuthrtyReqTp>

Presence: [1..*]

Definition: Identifies the authority request type as a code.

AuthorityRequestType <AuthrtyReqTp> contains the following **AuthorityRequestType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageNameIdentification <MsgNmId>	[1..1]	Text		21
	MessageName <MsgNm>	[0..1]	Text		22

2.4.6.3.2.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

2.4.6.3.2.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

2.4.6.3.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

2.4.6.4 OriginalTransactionNumber <OrgnlTxNb>

Presence: [1..*]

Definition: Specifies the original transaction number.

OriginalTransactionNumber <OrgnlTxNb> contains the following **RequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Number *<Nb>*	[1..1]	Text		22
	Type *<Tp>*	[1..*]	CodeSet		22
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		22

2.4.6.4.1 Number <Nb>

Presence: [1..1]

Definition: Idetifies the transaction number.

Datatype: "Max35Text" on page 84

2.4.6.4.2 Type <Tp>

Presence: [1..*]

Definition: Identifies the type of information request related to an original transaction number as a code.

Datatype: "TransactionRequestType1Code" on page 80

CodeName	Name	Definition
DTTX	DateTimeTransaction	Request is the date and time related to the original transaction numlber.
OREC	OriginalReceipt	Request is the original receipt.

2.4.6.4.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

2.4.7   SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C8 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 59 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	PlaceAndName *<PlcAndNm>*	[0..1]	Text		59
	Envelope *<Envlp>*	[1..1]	(External Schema)		59

Constraints

- **SupplementaryDataRule**

  This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3   auth.002.001.02 InformationRequestResponseV02

3.1   MessageDefinition Functionality

This message is sent by the financial institution to the authorities (police, customs, tax authorities, enforcement authorities) to provide a part or all of the requested information.

The financial institution previously received a request for financial information in the scope of a financial investigation.

Depending on whether the response can be provided STP within the authorities financial investigations messages, the requested information may be

• provided in part or in full within the response message itself, or

• only referred to in the response message.

Outline

The InformationRequestResponseV02 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. ResponseIdentification

    Unique identification for the specific investigation as know by the responding party.

B. InvestigationIdentification

    Unique identification for the specific investigation as known by the requesting party.

C. ResponseStatus

    Provides the status of the response.

D. SearchCriteria

    Specifies the the search criteria for the financial institution to perform the search on. The search criteria can be an account, a customer identification or a payment instrument type.

E. ReturnIndicator

    Provides the return indicators and the investigation result.

F. SupplementaryData

    Additional information that can not be captured in the structured fields and/or any other specific block.

3.2   Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <InfReqRspn>	[1..1]			
	ResponseIdentification *<RspnId>*	[1..1]	Text		27
	InvestigationIdentification *<InvstgtnId>*	[1..1]	Text		27
	ResponseStatus *<RspnSts>*	[1..1]	CodeSet		27
	SearchCriteria *<SchCrit>*	[1..1]			28
{Or	**Account** *<Acct>*	[1..1]			30
	Identification *<Id>*	[1..1]		C7, C6	30
	Identification *<Id>*	[0..1]	±		31
	Type *<Tp>*	[0..1]	±		31
	Currency *<Ccy>*	[0..1]	CodeSet	C1	32
	Name *<Nm>*	[0..1]	Text		32
	Proxy *<Prxy>*	[0..1]	±		32
	Owner *<Ownr>*	[0..1]	±		33
	Servicer *<Svcr>*	[0..1]	±		33
	InvestigatedParties *<InvstgtdPties>*	[1..1]			34
{Or	**Code** *<Cd>*	[1..1]	CodeSet		34
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		35
	AuthorityRequestType *<AuthrtyReqTp>*	[1..*]			35
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		35
	MessageName *<MsgNm>*	[0..1]	Text		35
Or	**CustomerIdentification** *<CstmrId>*	[1..1]			35
	Party *<Pty>*	[1..1]	±		36
	AuthorityRequest *<AuthrtyReq>*	[1..*]			37
	Type *<Tp>*	[1..1]			38
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		38
	MessageName *<MsgNm>*	[0..1]	Text		38
	InvestigatedRoles *<InvstgtdRoles>*	[1..1]			38
{Or	**Code** *<Cd>*	[1..1]	CodeSet		39
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		39
	AdditionalInvestigatedParties *<AddtlInvstgtdPties>*	[0..1]			39

Or	MessageElement/BuildingBlock<*XML Tag*>	Mult.	Type	Constr. No.	Page
{Or	**Code** <*Cd*>	[1..1]	CodeSet		39
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		40
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		40
Or	**PaymentInstrument** <*PmtInstrm*>	[1..1]			40
	CardNumber <*CardNb*>	[1..1]	Text		40
	AuthorityRequestType <*AuthrtyReqTp*>	[1..*]			40
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		40
	MessageName <*MsgNm*>	[0..1]	Text		41
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		41
Or}	**OriginalTransactionNumber** <*OrgnlTxNb*>	[1..*]			41
	Number <*Nb*>	[1..1]	Text		41
	Type <*Tp*>	[1..*]	CodeSet		41
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		41
	ReturnIndicator <*RtrInd*>	[1..*]			42
	ResponsePeriod <*RspnPrd*>	[0..1]	±		42
	AuthorityRequestType <*AuthrtyReqTp*>	[1..1]			42
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		42
	MessageName <*MsgNm*>	[0..1]	Text		43
	InvestigationResult <*InvstgtnRslt*>	[1..1]			43
{Or	**Result** <*Rslt*>	[1..1]	(External Schema)		43
Or}	**InvestigationStatus** <*InvstgtnSts*>	[1..1]	CodeSet		43
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		43
	SupplementaryData <*SplmtryData*>	[0..*]	±	C8	44

3.3   Constraints

C1   **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2   **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3   **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C4   **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5   **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C6   **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C7   **IdentificationOrProxyPresenceRule**

Identification must be present or proxy must be present.

C8   **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3.4   Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1   ResponseIdentification <RspnId>

Presence: [1..1]

Definition: Unique identification for the specific investigation as know by the responding party.

Datatype: "Max35Text" on page 84

3.4.2   InvestigationIdentification <InvstgtnId>

Presence: [1..1]

Definition: Unique identification for the specific investigation as known by the requesting party.

Datatype: "Max35Text" on page 84

3.4.3   ResponseStatus <RspnSts>

Presence: [1..1]

Definition: Provides the status of the response.

Datatype: "StatusResponse1Code" on page 80

CodeName	Name	Definition
NRES	NoResponseYet	Response not provided yet.

CodeName	Name	Definition
PART	PartialResponse	Response is partially provided.
COMP	CompleteResponse	Response is complete.

3.4.4   SearchCriteria <SchCrit>

Presence: [1..1]

Definition: Specifies the the search criteria for the financial institution to perform the search on. The search criteria can be an account, a customer identification or a payment instrument type.

SearchCriteria <SchCrit> contains one of the following **SearchCriteria2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	**Account** <Acct>	[1..1]			30
	Identification <Id>	[1..1]		C7, C6	30
	Identification <Id>	[0..1]	±		31
	Type <Tp>	[0..1]	±		31
	Currency <Ccy>	[0..1]	CodeSet	C1	32
	Name <Nm>	[0..1]	Text		32
	Proxy <Prxy>	[0..1]	±		32
	Owner <Ownr>	[0..1]	±		33
	Servicer <Svcr>	[0..1]	±		33
	InvestigatedParties <InvstgtdPties>	[1..1]			34
{Or	**Code** <Cd>	[1..1]	CodeSet		34
Or}	**Proprietary** <Prtry>	[1..1]	Text		35
	AuthorityRequestType <AuthrtyReqTp>	[1..*]			35
	MessageNameIdentification <MsgNmId>	[1..1]	Text		35
	MessageName <MsgNm>	[0..1]	Text		35
Or	**CustomerIdentification** <CstmrId>	[1..1]			35
	Party <Pty>	[1..1]	±		36
	AuthorityRequest <AuthrtyReq>	[1..*]			37
	Type <Tp>	[1..1]			38
	MessageNameIdentification <MsgNmId>	[1..1]	Text		38
	MessageName <MsgNm>	[0..1]	Text		38
	InvestigatedRoles <InvstgtdRoles>	[1..1]			38
{Or	**Code** <Cd>	[1..1]	CodeSet		39
Or}	**Proprietary** <Prtry>	[1..1]	Text		39
	AdditionalInvestigatedParties <AddtlInvstgtdPties>	[0..1]			39
{Or	**Code** <Cd>	[1..1]	CodeSet		39
Or}	**Proprietary** <Prtry>	[1..1]	Text		40
	AdditionalInformation <AddtlInf>	[0..1]	Text		40
Or	**PaymentInstrument** <PmtInstrm>	[1..1]			40
	CardNumber <CardNb>	[1..1]	Text		40
	AuthorityRequestType <AuthrtyReqTp>	[1..*]			40

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		40
	MessageName <*MsgNm*>	[0..1]	Text		41
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		41
Or}	**OriginalTransactionNumber** <*OrgnlTxNb*>	[1..*]			41
	Number <*Nb*>	[1..1]	Text		41
	Type <*Tp*>	[1..*]	CodeSet		41
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		41

3.4.4.1 Account <Acct>

Presence: [1..1]

Definition: Identifies the account as the search criteria for the financial institution to do the investigation.

Account <Acct> contains the following **AccountAndParties3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <*Id*>	[1..1]		C7, C6	30
	Identification <*Id*>	[0..1]	±		31
	Type <*Tp*>	[0..1]	±		31
	Currency <*Ccy*>	[0..1]	CodeSet	C1	32
	Name <*Nm*>	[0..1]	Text		32
	Proxy <*Prxy*>	[0..1]	±		32
	Owner <*Ownr*>	[0..1]	±		33
	Servicer <*Svcr*>	[0..1]	±		33
	InvestigatedParties <*InvstgtdPties*>	[1..1]			34
{Or	**Code** <*Cd*>	[1..1]	CodeSet		34
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		35
	AuthorityRequestType <*AuthrtyReqTp*>	[1..*]			35
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		35
	MessageName <*MsgNm*>	[0..1]	Text		35

3.4.4.1.1 Identification <Id>

Presence: [1..1]

Definition: Specifies the account for the investigation.

Impacted by: C7 "IdentificationOrProxyPresenceRule", C6 "IdentificationAndProxyGuideline"

Identification <Id> contains the following **CashAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		31
	Type <Tp>	[0..1]	±		31
	Currency <Ccy>	[0..1]	CodeSet	C1	32
	Name <Nm>	[0..1]	Text		32
	Proxy <Prxy>	[0..1]	±		32
	Owner <Ownr>	[0..1]	±		33
	Servicer <Svcr>	[0..1]	±		33

Constraints

- **IdentificationAndProxyGuideline**

  If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

  Identification must be present or proxy must be present.

  ```
  Following Must be True
      /Identification Must be present
   Or    /Proxy Must be present
  ```

3.4.4.1.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "AccountIdentification4Choice" on page 49 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C5	49
Or}	Other <Othr>	[1..1]	±		50

3.4.4.1.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the nature, or use of the account.

Type <Tp> contains one of the following elements (see "CashAccountType2Choice" on page 47 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		47
Or}	Proprietary *<Prtry>*	[1..1]	Text		47

3.4.4.1.1.3 Currency <Ccy>

Presence: [0..1]

Definition: Identification of the currency in which the account is held.

Impacted by: C1 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 76

Constraints

- **ActiveOrHistoricCurrency**

  The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.4.1.1.4 Name <Nm>

Presence: [0..1]

Definition: Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

Datatype: "Max70Text" on page 85

3.4.4.1.1.5 Proxy <Prxy>

Presence: [0..1]

Definition: Specifies an alternate assumed name for the identification of the account.

Proxy <Prxy> contains the following elements (see "ProxyAccountIdentification1" on page 48 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Type *<Tp>*	[0..1]			48
{Or	Code *<Cd>*	[1..1]	CodeSet		49
Or}	Proprietary *<Prtry>*	[1..1]	Text		49
	Identification *<Id>*	[1..1]	Text		49

3.4.4.1.1.6 Owner <Ownr>

Presence: [0..1]

Definition: Party that legally owns the account.

Owner <Ownr> contains the following elements (see <u>"PartyIdentification272" on page 60</u> for details)

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	Name <*Nm*>	[0..1]	Text		61
	PostalAddress <*PstlAdr*>	[0..1]	±		61
	Identification <*Id*>	[0..1]			61
{Or	OrganisationIdentification <*OrgId*>	[1..1]			62
	AnyBIC <*AnyBIC*>	[0..1]	IdentifierSet	C2	63
	LEI <*LEI*>	[0..1]	IdentifierSet		63
	Other <*Othr*>	[0..*]			63
	Identification <*Id*>	[1..1]	Text		64
	SchemeName <*SchmeNm*>	[0..1]			64
{Or	Code <*Cd*>	[1..1]	CodeSet		64
Or}	Proprietary <*Prtry*>	[1..1]	Text		64
	Issuer <*Issr*>	[0..1]	Text		64
Or}	PrivateIdentification <*PrvtId*>	[1..1]			64
	DateAndPlaceOfBirth <*DtAndPlcOfBirth*>	[0..1]			65
	BirthDate <*BirthDt*>	[1..1]	Date		65
	ProvinceOfBirth <*PrvcOfBirth*>	[0..1]	Text		65
	CityOfBirth <*CityOfBirth*>	[1..1]	Text		66
	CountryOfBirth <*CtryOfBirth*>	[1..1]	CodeSet	C4	66
	Other <*Othr*>	[0..*]			66
	Identification <*Id*>	[1..1]	Text		66
	SchemeName <*SchmeNm*>	[0..1]			66
{Or	Code <*Cd*>	[1..1]	CodeSet		67
Or}	Proprietary <*Prtry*>	[1..1]	Text		67
	Issuer <*Issr*>	[0..1]	Text		67
	CountryOfResidence <*CtryOfRes*>	[0..1]	CodeSet	C4	67
	ContactDetails <*CtctDtls*>	[0..1]	±		67

3.4.4.1.1.7 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Servicer <Svcr> contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 52 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification *<FinInstnId>*	[1..1]			53
	BICFI *<BICFI>*	[0..1]	IdentifierSet	C3	53
	ClearingSystemMemberIdentification *<ClrSysMmbId>*	[0..1]	±		54
	LEI *<LEI>*	[0..1]	IdentifierSet		54
	Name *<Nm>*	[0..1]	Text		54
	PostalAddress *<PstlAdr>*	[0..1]	±		54
	Other *<Othr>*	[0..1]	±		55
	BranchIdentification *<BrnchId>*	[0..1]			56
	Identification *<Id>*	[0..1]	Text		56
	LEI *<LEI>*	[0..1]	IdentifierSet		56
	Name *<Nm>*	[0..1]	Text		56
	PostalAddress *<PstlAdr>*	[0..1]	±		56

3.4.4.1.2 InvestigatedParties <InvstgtdPties>

Presence: [1..1]

Definition: Specifies the investigated parties related to the account such as the owner, beneficiary, signatory or any party playing a role in that account for which the investigation needs to be done.

InvestigatedParties <InvstgtdPties> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Code** *<Cd>*	[1..1]	CodeSet		34
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		35

3.4.4.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

3.4.4.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

3.4.4.1.3 AuthorityRequestType <AuthrtyReqTp>

Presence: [1..*]

Definition: Identifies the authority request type as a code.

AuthorityRequestType <AuthrtyReqTp> contains the following **AuthorityRequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		35
	MessageName *<MsgNm>*	[0..1]	Text		35

3.4.4.1.3.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

3.4.4.1.3.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

3.4.4.2 CustomerIdentification <CstmrId>

Presence: [1..1]

Definition: Identifies a customer identification as the search criteria for the financial institution to do the investigation.

CustomerIdentification <Cstmrld> contains the following **CustomerIdentification2** elements

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	Party <*Pty*>	[1..1]	±		36
	AuthorityRequest <*AuthrtyReq*>	[1..*]			37
	Type <*Tp*>	[1..1]			38
	MessageNameIdentification <*MsgNmId*>	[1..1]	Text		38
	MessageName <*MsgNm*>	[0..1]	Text		38
	InvestigatedRoles <*InvstgtdRoles*>	[1..1]			38
{Or	**Code** <*Cd*>	[1..1]	CodeSet		39
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		39
	AdditionalInvestigatedParties <*AddtlInvstgtdPties*>	[0..1]			39
{Or	**Code** <*Cd*>	[1..1]	CodeSet		39
Or}	**Proprietary** <*Prtry*>	[1..1]	Text		40
	AdditionalInformation <*AddtlInf*>	[0..1]	Text		40

3.4.4.2.1 Party <Pty>

Presence: [1..1]

Definition: Identifies the customer for the investigation.

Party <Pty> contains the following elements (see <u>"PartyIdentification272" on page 60</u> for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Name *<Nm>*	[0..1]	Text		61
	PostalAddress *<PstlAdr>*	[0..1]	±		61
	Identification *<Id>*	[0..1]			61
{Or	OrganisationIdentification *<OrgId>*	[1..1]			62
	AnyBIC *<AnyBIC>*	[0..1]	IdentifierSet	C2	63
	LEI *<LEI>*	[0..1]	IdentifierSet		63
	Other *<Othr>*	[0..*]			63
	Identification *<Id>*	[1..1]	Text		64
	SchemeName *<SchmeNm>*	[0..1]			64
{Or	Code *<Cd>*	[1..1]	CodeSet		64
Or}	Proprietary *<Prtry>*	[1..1]	Text		64
	Issuer *<Issr>*	[0..1]	Text		64
Or}	PrivateIdentification *<PrvtId>*	[1..1]			64
	DateAndPlaceOfBirth *<DtAndPlcOfBirth>*	[0..1]			65
	BirthDate *<BirthDt>*	[1..1]	Date		65
	ProvinceOfBirth *<PrvcOfBirth>*	[0..1]	Text		65
	CityOfBirth *<CityOfBirth>*	[1..1]	Text		66
	CountryOfBirth *<CtryOfBirth>*	[1..1]	CodeSet	C4	66
	Other *<Othr>*	[0..*]			66
	Identification *<Id>*	[1..1]	Text		66
	SchemeName *<SchmeNm>*	[0..1]			66
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67
	Issuer *<Issr>*	[0..1]	Text		67
	CountryOfResidence *<CtryOfRes>*	[0..1]	CodeSet	C4	67
	ContactDetails *<CtctDtls>*	[0..1]	±		67

3.4.4.2.2 AuthorityRequest <AuthrtyReq>

Presence: [1..*]

Definition: Specifies the authority request related to the identified investigation party.

AuthorityRequest <AuthrtyReq> contains the following **AuthorityInvestigation2** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Type *<Tp>*	[1..1]			38
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		38
	MessageName *<MsgNm>*	[0..1]	Text		38
	InvestigatedRoles *<InvstgtdRoles>*	[1..1]			38
{Or	**Code** *<Cd>*	[1..1]	CodeSet		39
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		39
	AdditionalInvestigatedParties *<AddtlInvstgtdPties>*	[0..1]			39
{Or	**Code** *<Cd>*	[1..1]	CodeSet		39
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		40
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		40

3.4.4.2.2.1 Type <Tp>

Presence: [1..1]

Definition: Identifies the type requested information as a code.

Type <Tp> contains the following **AuthorityRequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		38
	MessageName *<MsgNm>*	[0..1]	Text		38

3.4.4.2.2.1.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

3.4.4.2.2.1.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

3.4.4.2.2.2 InvestigatedRoles <InvstgtdRoles>

Presence: [1..1]

Definition: Identifies the roles the customer plays in the requested information.

InvestigatedRoles <InvstgtdRoles> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Code** *<Cd>*	[1..1]	CodeSet		39
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		39

3.4.4.2.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

3.4.4.2.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

3.4.4.2.2.3 AdditionalInvestigatedParties <AddtlInvstgtdPties>

Presence: [0..1]

Definition: Specifies the additional investigated parties.

AdditionalInvestigatedParties <AddtlInvstgtdPties> contains one of the following **InvestigatedParties1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Code** *<Cd>*	[1..1]	CodeSet		39
Or}	**Proprietary** *<Prtry>*	[1..1]	Text		40

3.4.4.2.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the investigated parties as a code.

Datatype: "InvestigatedParties1Code" on page 79

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

3.4.4.2.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the investigated parties as a proprietary code.

Datatype: "Max35Text" on page 84

3.4.4.2.2.4 AdditionalInformation <Addtllnf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

3.4.4.3 PaymentInstrument <PmtInstrm>

Presence: [1..1]

Definition: Identifies a payment instrument as the search criteria for the financial institution to do the investigation.

PaymentInstrument <PmtInstrm> contains the following **PaymentInstrumentType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	CardNumber *<CardNb>*	[1..1]	Text		40
	AuthorityRequestType *<AuthrtyReqTp>*	[1..*]			40
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		40
	MessageName *<MsgNm>*	[0..1]	Text		41
	AdditionalInformation *<Addtllnf>*	[0..1]	Text		41

3.4.4.3.1 CardNumber <CardNb>

Presence: [1..1]

Definition: Provides the card number.

Datatype: "Min8Max28NumericText" on page 85

3.4.4.3.2 AuthorityRequestType <AuthrtyReqTp>

Presence: [1..*]

Definition: Identifies the authority request type as a code.

AuthorityRequestType <AuthrtyReqTp> contains the following **AuthorityRequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	MessageNameIdentification *<MsgNmId>*	[1..1]	Text		40
	MessageName *<MsgNm>*	[0..1]	Text		41

3.4.4.3.2.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

3.4.4.3.2.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

3.4.4.3.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

3.4.4.4 OriginalTransactionNumber <OrgnlTxNb>

Presence: [1..*]

Definition: Specifies the original transaction number.

OriginalTransactionNumber <OrgnlTxNb> contains the following **RequestType1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Number *<Nb>*	[1..1]	Text		41
	Type *<Tp>*	[1..*]	CodeSet		41
	AdditionalInformation *<AddtlInf>*	[0..1]	Text		41

3.4.4.4.1 Number <Nb>

Presence: [1..1]

Definition: Idetifies the transaction number.

Datatype: "Max35Text" on page 84

3.4.4.4.2 Type <Tp>

Presence: [1..*]

Definition: Identifies the type of information request related to an original transaction number as a code.

Datatype: "TransactionRequestType1Code" on page 80

CodeName	Name	Definition
DTTX	DateTimeTransaction	Request is the date and time related to the original transaction numlber.
OREC	OriginalReceipt	Request is the original receipt.

3.4.4.4.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the requested information.

Datatype: "Max500Text" on page 85

3.4.5 ReturnIndicator <RtrInd>

Presence: [1..*]

Definition: Provides the return indicators and the investigation result.

ReturnIndicator <RtrInd> contains the following **ReturnIndicator2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResponsePeriod <RspnPrd>	[0..1]	±		42
	AuthorityRequestType <AuthrtyReqTp>	[1..1]			42
	MessageNameIdentification <MsgNmId>	[1..1]	Text		42
	MessageName <MsgNm>	[0..1]	Text		43
	InvestigationResult <InvstgtnRslt>	[1..1]			43
{Or	**Result** <Rslt>	[1..1]	(External Schema)		43
Or}	**InvestigationStatus** <InvstgtnSts>	[1..1]	CodeSet		43
	AdditionalInformation <AddtlInf>	[0..1]	Text		43

3.4.5.1 ResponsePeriod <RspnPrd>

Presence: [0..1]

Definition: Specifies the dates between which period the response results relate to.

ResponsePeriod <RspnPrd> contains one of the following elements (see "DateOrDateTimePeriod1Choice" on page 51 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		51
Or}	DateTime <DtTm>	[1..1]	±		51

3.4.5.2 AuthorityRequestType <AuthrtyReqTp>

Presence: [1..1]

Definition: Identifies the authority request type as a code.

AuthorityRequestType <AuthrtyReqTp> contains the following **AuthorityRequestType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageNameIdentification <MsgNmId>	[1..1]	Text		42
	MessageName <MsgNm>	[0..1]	Text		43

3.4.5.2.1 MessageNameIdentification <MsgNmId>

Presence: [1..1]

Definition: Specifies the requested message name identifier.

Datatype: "Max35Text" on page 84

3.4.5.2.2 MessageName <MsgNm>

Presence: [0..1]

Definition: Specifies the message name.

Datatype: "Max140Text" on page 83

3.4.5.3 InvestigationResult <InvstgtnRslt>

Presence: [1..1]

Definition: Provides the investigation result.

InvestigationResult <InvstgtnRslt> contains one of the following **InvestigationResult1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	**Result** *<Rslt>*	[1..1]	(External Schema)		43
Or}	**InvestigationStatus** *<InvstgtnSts>*	[1..1]	CodeSet		43

3.4.5.3.1 Result <Rslt>

Presence: [1..1]

Definition: Provides the result.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

3.4.5.3.2 InvestigationStatus <InvstgtnSts>

Presence: [1..1]

Definition: Provides the investigation status.

Datatype: "InvestigationStatus1Code" on page 79

CodeName	Name	Definition
FOUN	DataFound	Search for requested data is complete, the data has been found and will be sent using a separate message flow.
NFOU	DataNotFound	Search for requested data is complete, data not found.
NOAP	NotApplicable	Search for requested data not complete yet.

3.4.5.4 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information, in free text form, to complement the investigation result.

Datatype: "Max500Text" on page 85

3.4.6   SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C8 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 59 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	PlaceAndName *<PlcAndNm>*	[0..1]	Text		59
	Envelope *<Envlp>*	[1..1]	(External Schema)		59

Constraints

- **SupplementaryDataRule**

  This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 auth.003.001.01 InformationRequestStatusChangeNotification V01

4.1 MessageDefinition Functionality

This message is sent by the authorities (police, customs, tax authorities, enforcement authorities) to a financial institution to inform the financial institution that the confidentiality status of the investigation has changed.

Outline

The InformationRequestStatusChangeNotificationV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. OriginalBusinessQuery

   Reference of the information request opening message that this message is an update of.

B. ConfidentialityStatus

   Specifies the confidentiality status of the investigation.

C. SupplementaryData

   Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <InfReqStsChngNtfctn>	[1..1]			
	OriginalBusinessQuery *<OrgnlBizQry>*	[1..1]	Text		46
	ConfidentialityStatus *<CnfdtltySts>*	[1..1]	Indicator		46
	SupplementaryData *<SplmtryData>*	[0..*]	±	C1	46

4.3 Constraints

C1 **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 OriginalBusinessQuery <OrgnlBizQry>

Presence: [1..1]

Definition: Reference of the information request opening message that this message is an update of.

Datatype: "Max35Text" on page 84

4.4.2 ConfidentialityStatus <CnfdtltySts>

Presence: [1..1]

Definition: Specifies the confidentiality status of the investigation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 83):

- *Meaning When True:* Yes

- *Meaning When False:* No

4.4.3 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C1 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 59 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	PlaceAndName *<PlcAndNm>*	[0..1]	Text		59
	Envelope *<Envlp>*	[1..1]	(External Schema)		59

Constraints

- **SupplementaryDataRule**

  This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5　Message Items Types

5.1　MessageComponents

5.1.1　Account

5.1.1.1 CashAccountType2Choice

Definition: Nature or use of the account.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		47
Or}	Proprietary *<Prtry>*	[1..1]	Text		47

5.1.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Account type, in a coded form.

Datatype: "ExternalCashAccountType1Code" on page 77

5.1.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Nature or use of the account in a proprietary form.

Datatype: "Max35Text" on page 84

5.1.1.2 GenericAccountIdentification1

Definition: Information related to a generic account identification.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		47
	SchemeName *<SchmeNm>*	[0..1]			48
{Or	Code *<Cd>*	[1..1]	CodeSet		48
Or}	Proprietary *<Prtry>*	[1..1]	Text		48
	Issuer *<Issr>*	[0..1]	Text		48

5.1.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max34Text" on page 84

5.1.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **AccountSchemeName1Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		48
Or}	Proprietary *<Prtry>*	[1..1]	Text		48

5.1.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalAccountIdentification1Code" on page 77

5.1.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 84

5.1.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 84

5.1.1.3 ProxyAccountIdentification1

Definition: Information related to a proxy identification of the account.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Type *<Tp>*	[0..1]			48
{Or	Code *<Cd>*	[1..1]	CodeSet		49
Or}	Proprietary *<Prtry>*	[1..1]	Text		49
	Identification *<Id>*	[1..1]	Text		49

5.1.1.3.1 Type <Tp>

Presence: [0..1]

Definition: Type of the proxy identification.

Type <Tp> contains one of the following **ProxyAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		49
Or}	Proprietary <Prtry>	[1..1]	Text		49

5.1.1.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalProxyAccountType1Code" on page 79

5.1.1.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 84

5.1.1.3.2 Identification <Id>

Presence: [1..1]

Definition: Identification used to indicate the account identification under another specified name.

Datatype: "Max2048Text" on page 84

5.1.2   Account Identification

5.1.2.1 AccountIdentification4Choice

Definition: Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C5	49
Or}	Other <Othr>	[1..1]	±		50

5.1.2.1.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C5 "IBAN"

Datatype: "IBAN2007Identifier" on page 82

Constraints

- **IBAN**

    A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

5.1.2.1.2 Other <Othr>

Presence: [1..1]

Definition: Unique identification of an account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 47 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		47
	SchemeName <SchmeNm>	[0..1]			48
{Or	Code <Cd>	[1..1]	CodeSet		48
Or}	Proprietary <Prtry>	[1..1]	Text		48
	Issuer <Issr>	[0..1]	Text		48

5.1.3   Date Period

5.1.3.1 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		50
	ToDate <ToDt>	[1..1]	Date		50

5.1.3.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 81

5.1.3.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 81

5.1.4   Date Time Period

5.1.4.1 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	FromDateTime *<FrDtTm>*	[1..1]	DateTime		51
	ToDateTime *<ToDtTm>*	[1..1]	DateTime		51

5.1.4.1.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: "ISODateTime" on page 81

5.1.4.1.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: "ISODateTime" on page 81

5.1.4.2 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Date *<Dt>*	[1..1]	±		51
Or}	DateTime *<DtTm>*	[1..1]	±		51

5.1.4.2.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see "DatePeriod2" on page 50 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	FromDate *<FrDt>*	[1..1]	Date		50
	ToDate *<ToDt>*	[1..1]	Date		50

5.1.4.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see ["DateTimePeriod1" on page 51](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		51
	ToDateTime <ToDtTm>	[1..1]	DateTime		51

5.1.5   Financial Institution Identification

5.1.5.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		52
	MemberIdentification <MmbId>	[1..1]	Text		52

5.1.5.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see ["ClearingSystemIdentification2Choice" on page 75](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		76
Or}	Proprietary <Prtry>	[1..1]	Text		76

5.1.5.1.2 MemberIdentification <MmbId>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: ["Max35Text" on page 84](#)

5.1.5.2 BranchAndFinancialInstitutionIdentification8

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification *<FinInstnId>*	[1..1]			53
	BICFI *<BICFI>*	[0..1]	IdentifierSet	C3	53
	ClearingSystemMemberIdentification *<ClrSysMmbId>*	[0..1]	±		54
	LEI *<LEI>*	[0..1]	IdentifierSet		54
	Name *<Nm>*	[0..1]	Text		54
	PostalAddress *<PstlAdr>*	[0..1]	±		54
	Other *<Othr>*	[0..1]	±		55
	BranchIdentification *<BrnchId>*	[0..1]			56
	Identification *<Id>*	[0..1]	Text		56
	LEI *<LEI>*	[0..1]	IdentifierSet		56
	Name *<Nm>*	[0..1]	Text		56
	PostalAddress *<PstlAdr>*	[0..1]	±		56

5.1.5.2.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following **FinancialInstitutionIdentification23** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	BICFI *<BICFI>*	[0..1]	IdentifierSet	C3	53
	ClearingSystemMemberIdentification *<ClrSysMmbId>*	[0..1]	±		54
	LEI *<LEI>*	[0..1]	IdentifierSet		54
	Name *<Nm>*	[0..1]	Text		54
	PostalAddress *<PstlAdr>*	[0..1]	±		54
	Other *<Othr>*	[0..1]	±		55

5.1.5.2.1.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C3 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 82

Constraints

- **BICFI**

  Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

5.1.5.2.1.2 ClearingSystemMemberIdentification <ClrSysMmbId>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbId> contains the following elements (see "ClearingSystemMemberIdentification2" on page 52 for details)

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <*ClrSysId*>	[0..1]	±		52
	MemberIdentification <*MmbId*>	[1..1]	Text		52

5.1.5.2.1.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "LEIIdentifier" on page 82

5.1.5.2.1.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 83

5.1.5.2.1.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress27" on page 72 for details)

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	AddressType <*AdrTp*>	[0..1]			72
{Or	Code <*Cd*>	[1..1]	CodeSet		73
Or}	Proprietary <*Prtry*>	[1..1]	±		73
	CareOf <*CareOf*>	[0..1]	Text		73
	Department <*Dept*>	[0..1]	Text		73
	SubDepartment <*SubDept*>	[0..1]	Text		73
	StreetName <*StrtNm*>	[0..1]	Text		74
	BuildingNumber <*BldgNb*>	[0..1]	Text		74
	BuildingName <*BldgNm*>	[0..1]	Text		74
	Floor <*Flr*>	[0..1]	Text		74
	UnitNumber <*UnitNb*>	[0..1]	Text		74
	PostBox <*PstBx*>	[0..1]	Text		74
	Room <*Room*>	[0..1]	Text		74
	PostCode <*PstCd*>	[0..1]	Text		74
	TownName <*TwnNm*>	[0..1]	Text		75
	TownLocationName <*TwnLctnNm*>	[0..1]	Text		75
	DistrictName <*DstrctNm*>	[0..1]	Text		75
	CountrySubDivision <*CtrySubDvsn*>	[0..1]	Text		75
	Country <*Ctry*>	[0..1]	CodeSet	C4	75
	AddressLine <*AdrLine*>	[0..7]	Text		75

5.1.5.2.1.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "GenericFinancialIdentification1" on page 57 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		58
	SchemeName *<SchmeNm>*	[0..1]			58
{Or	Code *<Cd>*	[1..1]	CodeSet		58
Or}	Proprietary *<Prtry>*	[1..1]	Text		58
	Issuer *<Issr>*	[0..1]	Text		58

5.1.5.2.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following **BranchData5** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[0..1]	Text		56
	LEI *<LEI>*	[0..1]	IdentifierSet		56
	Name *<Nm>*	[0..1]	Text		56
	PostalAddress *<PstlAdr>*	[0..1]	±		56

5.1.5.2.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 84

5.1.5.2.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 82

5.1.5.2.2.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 83

5.1.5.2.2.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress27" on page 72 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	AddressType *<AdrTp>*	[0..1]			72
{Or	Code *<Cd>*	[1..1]	CodeSet		73
Or}	Proprietary *<Prtry>*	[1..1]	±		73
	CareOf *<CareOf>*	[0..1]	Text		73
	Department *<Dept>*	[0..1]	Text		73
	SubDepartment *<SubDept>*	[0..1]	Text		73
	StreetName *<StrtNm>*	[0..1]	Text		74
	BuildingNumber *<BldgNb>*	[0..1]	Text		74
	BuildingName *<BldgNm>*	[0..1]	Text		74
	Floor *<Flr>*	[0..1]	Text		74
	UnitNumber *<UnitNb>*	[0..1]	Text		74
	PostBox *<PstBx>*	[0..1]	Text		74
	Room *<Room>*	[0..1]	Text		74
	PostCode *<PstCd>*	[0..1]	Text		74
	TownName *<TwnNm>*	[0..1]	Text		75
	TownLocationName *<TwnLctnNm>*	[0..1]	Text		75
	DistrictName *<DstrctNm>*	[0..1]	Text		75
	CountrySubDivision *<CtrySubDvsn>*	[0..1]	Text		75
	Country *<Ctry>*	[0..1]	CodeSet	C4	75
	AddressLine *<AdrLine>*	[0..7]	Text		75

5.1.5.3 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		58
	SchemeName *<SchmeNm>*	[0..1]			58
{Or	Code *<Cd>*	[1..1]	CodeSet		58
Or}	Proprietary *<Prtry>*	[1..1]	Text		58
	Issuer *<Issr>*	[0..1]	Text		58

5.1.5.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 84

5.1.5.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following
FinancialIdentificationSchemeName1Choice elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		58
Or}	Proprietary *<Prtry>*	[1..1]	Text		58

5.1.5.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 78

5.1.5.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 84

5.1.5.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 84

5.1.6   Identification Information

5.1.6.1 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		59
	Issuer *<Issr>*	[1..1]	Text		59
	SchemeName *<SchmeNm>*	[0..1]	Text		59

5.1.6.1.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 83

5.1.6.1.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 84

5.1.6.1.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 84

5.1.7   Miscellaneous

5.1.7.1 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	PlaceAndName *<PlcAndNm>*	[0..1]	Text		59
	Envelope *<Envlp>*	[1..1]	(External Schema)		59

Constraints

- **SupplementaryDataRule**

    This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5.1.7.1.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 84

5.1.7.1.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

5.1.8   Party Identification

5.1.8.1 PartyIdentification272

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Name *<Nm>*	[0..1]	Text		61
	PostalAddress *<PstlAdr>*	[0..1]	±		61
	Identification *<Id>*	[0..1]			61
{Or	OrganisationIdentification *<OrgId>*	[1..1]			62
	AnyBIC *<AnyBIC>*	[0..1]	IdentifierSet	C2	63
	LEI *<LEI>*	[0..1]	IdentifierSet		63
	Other *<Othr>*	[0..*]			63
	Identification *<Id>*	[1..1]	Text		64
	SchemeName *<SchmeNm>*	[0..1]			64
{Or	Code *<Cd>*	[1..1]	CodeSet		64
Or}	Proprietary *<Prtry>*	[1..1]	Text		64
	Issuer *<Issr>*	[0..1]	Text		64
Or}	PrivateIdentification *<PrvtId>*	[1..1]			64
	DateAndPlaceOfBirth *<DtAndPlcOfBirth>*	[0..1]			65
	BirthDate *<BirthDt>*	[1..1]	Date		65
	ProvinceOfBirth *<PrvcOfBirth>*	[0..1]	Text		65
	CityOfBirth *<CityOfBirth>*	[1..1]	Text		66
	CountryOfBirth *<CtryOfBirth>*	[1..1]	CodeSet	C4	66
	Other *<Othr>*	[0..*]			66
	Identification *<Id>*	[1..1]	Text		66
	SchemeName *<SchmeNm>*	[0..1]			66
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67
	Issuer *<Issr>*	[0..1]	Text		67
	CountryOfResidence *<CtryOfRes>*	[0..1]	CodeSet	C4	67
	ContactDetails *<CtctDtls>*	[0..1]	±		67

5.1.8.1.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 83

5.1.8.1.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress27" on page 72 for details)

Or	MessageElement<*XML Tag*>	Mult.	Type	Constr. No.	Page
	AddressType <*AdrTp*>	[0..1]			72
{Or	Code <*Cd*>	[1..1]	CodeSet		73
Or}	Proprietary <*Prtry*>	[1..1]	±		73
	CareOf <*CareOf*>	[0..1]	Text		73
	Department <*Dept*>	[0..1]	Text		73
	SubDepartment <*SubDept*>	[0..1]	Text		73
	StreetName <*StrtNm*>	[0..1]	Text		74
	BuildingNumber <*BldgNb*>	[0..1]	Text		74
	BuildingName <*BldgNm*>	[0..1]	Text		74
	Floor <*Flr*>	[0..1]	Text		74
	UnitNumber <*UnitNb*>	[0..1]	Text		74
	PostBox <*PstBx*>	[0..1]	Text		74
	Room <*Room*>	[0..1]	Text		74
	PostCode <*PstCd*>	[0..1]	Text		74
	TownName <*TwnNm*>	[0..1]	Text		75
	TownLocationName <*TwnLctnNm*>	[0..1]	Text		75
	DistrictName <*DstrctNm*>	[0..1]	Text		75
	CountrySubDivision <*CtrySubDvsn*>	[0..1]	Text		75
	Country <*Ctry*>	[0..1]	CodeSet	C4	75
	AddressLine <*AdrLine*>	[0..7]	Text		75

5.1.8.1.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following **Party52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]			62
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	63
	LEI <LEI>	[0..1]	IdentifierSet		63
	Other <Othr>	[0..*]			63
	Identification <Id>	[1..1]	Text		64
	SchemeName <SchmeNm>	[0..1]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	Text		64
	Issuer <Issr>	[0..1]	Text		64
Or}	PrivateIdentification <PrvtId>	[1..1]			64
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			65
	BirthDate <BirthDt>	[1..1]	Date		65
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		65
	CityOfBirth <CityOfBirth>	[1..1]	Text		66
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C4	66
	Other <Othr>	[0..*]			66
	Identification <Id>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	Text		67
	Issuer <Issr>	[0..1]	Text		67

5.1.8.1.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following **OrganisationIdentification39** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	AnyBIC *<AnyBIC>*	[0..1]	IdentifierSet	C2	63
	LEI *<LEI>*	[0..1]	IdentifierSet		63
	Other *<Othr>*	[0..*]			63
	Identification *<Id>*	[1..1]	Text		64
	SchemeName *<SchmeNm>*	[0..1]			64
{Or	Code *<Cd>*	[1..1]	CodeSet		64
Or}	Proprietary *<Prtry>*	[1..1]	Text		64
	Issuer *<Issr>*	[0..1]	Text		64

5.1.8.1.3.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C2 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 81

Constraints

- **AnyBIC**

  Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.1.8.1.3.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 82

5.1.8.1.3.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		64
	SchemeName <SchmeNm>	[0..1]			64
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	Text		64
	Issuer <Issr>	[0..1]	Text		64

5.1.8.1.3.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max256Text" on page 84

5.1.8.1.3.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		64
Or}	Proprietary <Prtry>	[1..1]	Text		64

5.1.8.1.3.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 78

5.1.8.1.3.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 84

5.1.8.1.3.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 84

5.1.8.1.3.2 PrivateIdentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following **PersonIdentification18** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth *<DtAndPlcOfBirth>*	[0..1]			65
	BirthDate *<BirthDt>*	[1..1]	Date		65
	ProvinceOfBirth *<PrvcOfBirth>*	[0..1]	Text		65
	CityOfBirth *<CityOfBirth>*	[1..1]	Text		66
	CountryOfBirth *<CtryOfBirth>*	[1..1]	CodeSet	C4	66
	Other *<Othr>*	[0..*]			66
	Identification *<Id>*	[1..1]	Text		66
	SchemeName *<SchmeNm>*	[0..1]			66
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67
	Issuer *<Issr>*	[0..1]	Text		67

5.1.8.1.3.2.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	BirthDate *<BirthDt>*	[1..1]	Date		65
	ProvinceOfBirth *<PrvcOfBirth>*	[0..1]	Text		65
	CityOfBirth *<CityOfBirth>*	[1..1]	Text		66
	CountryOfBirth *<CtryOfBirth>*	[1..1]	CodeSet	C4	66

5.1.8.1.3.2.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 81

5.1.8.1.3.2.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 84

5.1.8.1.3.2.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 84

5.1.8.1.3.2.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 77

Constraints

• **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.1.8.1.3.2.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification2** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		66
	SchemeName *<SchmeNm>*	[0..1]			66
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67
	Issuer *<Issr>*	[0..1]	Text		67

5.1.8.1.3.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max256Text" on page 84

5.1.8.1.3.2.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following
PersonIdentificationSchemeName1Choice elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		67
Or}	Proprietary *<Prtry>*	[1..1]	Text		67

5.1.8.1.3.2.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 78

5.1.8.1.3.2.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 84

5.1.8.1.3.2.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 84

5.1.8.1.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 77

Constraints

- **Country**

  The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.1.8.1.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact13" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		69
	Name <Nm>	[0..1]	Text		69
	PhoneNumber <PhneNb>	[0..1]	Text		69
	MobileNumber <MobNb>	[0..1]	Text		70
	FaxNumber <FaxNb>	[0..1]	Text		70
	URLAddress <URLAdr>	[0..1]	Text		70
	EmailAddress <EmailAdr>	[0..1]	Text		70
	EmailPurpose <EmailPurp>	[0..1]	Text		70
	JobTitle <JobTitl>	[0..1]	Text		70
	Responsibility <Rspnsblty>	[0..1]	Text		70
	Department <Dept>	[0..1]	Text		70
	Other <Othr>	[0..*]			71
	ChannelType <ChanlTp>	[1..1]	Text		71
	Identification <Id>	[0..1]	Text		71
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		71

5.1.9   Person Identification

5.1.9.1 Contact13

Definition: Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		69
	Name <Nm>	[0..1]	Text		69
	PhoneNumber <PhneNb>	[0..1]	Text		69
	MobileNumber <MobNb>	[0..1]	Text		70
	FaxNumber <FaxNb>	[0..1]	Text		70
	URLAddress <URLAdr>	[0..1]	Text		70
	EmailAddress <EmailAdr>	[0..1]	Text		70
	EmailPurpose <EmailPurp>	[0..1]	Text		70
	JobTitle <JobTitl>	[0..1]	Text		70
	Responsibility <Rspnsblty>	[0..1]	Text		70
	Department <Dept>	[0..1]	Text		70
	Other <Othr>	[0..*]			71
	ChannelType <ChanlTp>	[1..1]	Text		71
	Identification <Id>	[0..1]	Text		71
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		71

5.1.9.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix2Code" on page 79

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

5.1.9.1.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 83

5.1.9.1.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 85

5.1.9.1.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 85

5.1.9.1.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 85

5.1.9.1.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

Datatype: "Max2048Text" on page 84

5.1.9.1.7 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 84

5.1.9.1.8 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 84

5.1.9.1.9 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 84

5.1.9.1.10 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 84

5.1.9.1.11 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 85

5.1.9.1.12 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	ChannelType *<ChanlTp>*	[1..1]	Text		71
	Identification *<Id>*	[0..1]	Text		71

5.1.9.1.12.1 ChannelType <ChanlTp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 85

5.1.9.1.12.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 83

5.1.9.1.13 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod2Code" on page 80

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

5.1.10   Postal Address

5.1.10.1 PostalAddress27

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	AddressType *<AdrTp>*	[0..1]			72
{Or	Code *<Cd>*	[1..1]	CodeSet		73
Or}	Proprietary *<Prtry>*	[1..1]	±		73
	CareOf *<CareOf>*	[0..1]	Text		73
	Department *<Dept>*	[0..1]	Text		73
	SubDepartment *<SubDept>*	[0..1]	Text		73
	StreetName *<StrtNm>*	[0..1]	Text		74
	BuildingNumber *<BldgNb>*	[0..1]	Text		74
	BuildingName *<BldgNm>*	[0..1]	Text		74
	Floor *<Flr>*	[0..1]	Text		74
	UnitNumber *<UnitNb>*	[0..1]	Text		74
	PostBox *<PstBx>*	[0..1]	Text		74
	Room *<Room>*	[0..1]	Text		74
	PostCode *<PstCd>*	[0..1]	Text		74
	TownName *<TwnNm>*	[0..1]	Text		75
	TownLocationName *<TwnLctnNm>*	[0..1]	Text		75
	DistrictName *<DstrctNm>*	[0..1]	Text		75
	CountrySubDivision *<CtrySubDvsn>*	[0..1]	Text		75
	Country *<Ctry>*	[0..1]	CodeSet	C4	75
	AddressLine *<AdrLine>*	[0..7]	Text		75

5.1.10.1.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		73
Or}	Proprietary *<Prtry>*	[1..1]	±		73

5.1.10.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 76

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.1.10.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 58 for details)

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
	Identification *<Id>*	[1..1]	Text		59
	Issuer *<Issr>*	[1..1]	Text		59
	SchemeName *<SchmeNm>*	[0..1]	Text		59

5.1.10.1.2 CareOf <CareOf>

Presence: [0..1]

Definition: Identifies an addressee that is accepting the correspondence for the intended recipient. Using care of ensures the correspondence reaches the right recipient rather than getting returned to the sender.

Datatype: "Max140Text" on page 83

5.1.10.1.3 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 85

5.1.10.1.4 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 85

5.1.10.1.5 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max140Text" on page 83

5.1.10.1.6 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 83

5.1.10.1.7 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max140Text" on page 83

5.1.10.1.8 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 85

5.1.10.1.9 UnitNumber <UnitNb>

Presence: [0..1]

Definition: Identifies a flat or dwelling within the building.

Datatype: "Max16Text" on page 83

5.1.10.1.10 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 83

5.1.10.1.11 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 85

5.1.10.1.12 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 83

5.1.10.1.13 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max140Text" on page 83

5.1.10.1.14 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max140Text" on page 83

5.1.10.1.15 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max140Text" on page 83

5.1.10.1.16 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 84

5.1.10.1.17 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 77

Constraints

- **Country**

  The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.1.10.1.18 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 85

5.1.11   System Identification

5.1.11.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement*<XML Tag>*	Mult.	Type	Constr. No.	Page
{Or	Code *<Cd>*	[1..1]	CodeSet		76
Or}	Proprietary *<Prtry>*	[1..1]	Text		76

5.1.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "ExternalClearingSystemIdentification1Code" on page 78

5.1.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "Max35Text" on page 84

5.2 Message Datatypes

5.2.1 CodeSet

5.2.1.1 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern   [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

  The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

5.2.1.2 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.2.1.3 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern   [A-Z]{2,2}

Constraints

- **Country**

  The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.2.1.4 ExternalAccountIdentification1Code

Definition: Specifies the external account identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength   1
maxLength   4

5.2.1.5 ExternalCashAccountType1Code

Definition: Specifies the nature, or use, of the cash account in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

5.2.1.6 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

5.2.1.7 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

5.2.1.8 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

5.2.1.9 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

5.2.1.10 ExternalProxyAccountType1Code

Definition: Specifies the external proxy account type code, as published in the proxy account type external code set.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

5.2.1.11 InvestigatedParties1Code

Definition: Specifies the investigated parties.

Type: CodeSet

CodeName	Name	Definition
ALLP	AllParties	Investigated parties are all parties playig a role matching the search criteria.
OWNE	Owner	Investigated party is the owner.

5.2.1.12 InvestigationStatus1Code

Definition: Provides the status of the investigation response related to a previously sent request.

Type: CodeSet

CodeName	Name	Definition
FOUN	DataFound	Search for requested data is complete, the data has been found and will be sent using a separate message flow.
NFOU	DataNotFound	Search for requested data is complete, data not found.
NOAP	NotApplicable	Search for requested data not complete yet.

5.2.1.13 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

5.2.1.14 PreferredContactMethod2Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

5.2.1.15 StatusResponse1Code

Definition: Specifies the status of the response.

Type: CodeSet

CodeName	Name	Definition
NRES	NoResponseYet	Response not provided yet.
PART	PartialResponse	Response is partially provided.
COMP	CompleteResponse	Response is complete.

5.2.1.16 TransactionRequestType1Code

Definition: Specifies the type of information request related to a transaction number.

Type: CodeSet

CodeName	Name	Definition
DTTX	DateTimeTransaction	Request is the date and time related to the original transaction numlber.

CodeName	Name	Definition
OREC	OriginalReceipt	Request is the original receipt.

5.2.2 Date

5.2.2.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

5.2.3 DateTime

5.2.3.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

5.2.4 IdentifierSet

5.2.4.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern	[A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.2.4.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern	[A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

5.2.4.3 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern	[A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

5.2.4.4 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern   [A-Z0-9]{18,18}[0-9]{2,2}

5.2.5   Indicator

5.2.5.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

5.2.6   Text

5.2.6.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern   [a-zA-Z0-9]{4}

5.2.6.2 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength   1

maxLength   128

5.2.6.3 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength   1

maxLength   140

5.2.6.4 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

5.2.6.5 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

5.2.6.6 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

5.2.6.7 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.

Type: Text

Format

minLength	1
maxLength	34

5.2.6.8 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

5.2.6.9 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

5.2.6.10 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

5.2.6.11 Max500Text

Definition: Specifies a character string with a maximum length of 500 characters.

Type: Text

Format

minLength	1
maxLength	500

5.2.6.12 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

5.2.6.13 Min8Max28NumericText

Definition: Specifies a numeric string with a minimum length of 8 digits, and a maximum length of 28 digits.

Type: Text

Format

pattern	[0-9]{8,28}

5.2.6.14 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern　　　　　　　　　　　　　　　\+[0-9]{1,3}-[0-9()+\-]{1,30}